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## **ANALYSIS OF STREET COCAINE SAMPLES IN NASAL FLUID BY RAMAN SPECTROSCOPY**

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### **ABSTRACT**

The principal objective of this work was to demonstrate the capability of Raman spectroscopy to detect small amounts of cocaine (COC) in nasal fluid, and to selectively identify the main drug and the most widely used cutting agents. Initially, standard samples were analyzed and sampling conditions were studied by comparing different swabs used for the sample collection. Once the most appropriate swab was selected, which permitted a relatively simple detection of the standard cocaine hydrochloride (COC.HCl), qualitative analyses of real samples were carried out. Three street COC samples were analyzed, and the presence of cutting substances was highlighted by the appearance of different bands not corresponding to the ones of the standard COC. To identify the substances present in each sample, the spectra of the street COC samples were collected and compared with a digital library created on purpose with the spectra of the most common cutting agents. In this case, correlation coefficients permitted to recognize the most important substances presumably present in the samples, and gave an estimation of the purity of the COC. However, when nasal fluid was present, its strong signal could overlap or interfere with the smaller signal of the cutting substances, hindering their identification.

## Introduction

Today, the main objectives of drug testing are the detection and identification of substances of abuse. COC, in particular, is the second most commonly consumed drug overall, after cannabis [1]. COC is a natural organic substance of vegetable origin belonging to the family of alkaloids. It is extracted from the leaves of the coca plant (*Erythroxylum coca*), mainly cultivated in the tropical regions of South America such as Colombia, Peru and Bolivia. This drug could be consumed in different ways according to the form in which it is purchased. It could be ingested by chewing its leaves directly, but it is also sold as freebase (crack) or in its hydrochloride salt form (powder). When it is in the freebase form it is smoked. When it is in the hydrochloride salt form it could be injected after the dissolution in water, or inhaled by sniffing or snorting. The last way is the most commonly employed and often leads to the persistence of drug residues in the nostrils [2]. For this reason, even if up to date different body fluids have been successfully used to perform drug analyses [3], in the case of COC, the analysis in nasal fluid could be much more useful.

The main functions of nasal mucus are to trap small particles such as dust, particulate pollutants, and allergens, and make sure they do not enter the respiratory system. Another advantage of this fluid over other biological fluids is that the parent snorted compound is present instead of its metabolites [4]. The nasal fluid analysis offers different advantages because it can be collected in a simple, inexpensive and non-invasive manner also by nonmedical personnel. However, the principal drawback of the use of nasal fluid is the small quantity of sample collectable.

Until now, very few works concerning the analysis of illicit drugs in nasal fluid have been published [4-10]. Furthermore, most of them focused principally on the study of the physiological effects caused by the use of COC, in human [5-7] but also in experimental animals [8, 9]. As far as the authors know, up to date, only two articles have been published dealing specifically on the detection of COC in nasal fluid [4, 10]. Both of these studies, however, employed infrared spectroscopy (coupled with ion mobility spectrometry) due to its high selectivity. In this study, instead, we propose the use of Raman spectroscopy as rapid and non-destructive analytical technique, which require only small amounts of sample with no or minor pretreatment.

Raman spectroscopy is able to recognize even slight differences in chemical structures and provides a characteristic spectrum for each compound. In the case of COC, this is of fundamental importance considering that, once placed on the market, the drug is often "cut" with a variety of substances to stretch the amount of the product and increase profits. These substances, called cutting agents, could be classified according to their effect when mixed with pure COC [11]. The Active Cutting Agents are stimulants, with psychoactive effects similar to those of COC, but more perceptible physically and with more lasting effect. The Cosmetic Cutting Agents are substances that simulate some side effects of COC and are made with substances used in medicine, which can be very dangerous when taken intravenously. Most of them are local anaesthetics, but also benzodiazepines can be included in this group. Finally, the Inert Cutting Agents are substances with similar appearance to the one of COC, but without side effect, which only serve to increase the volume [11].

As a consequence, the main objective of this work was to demonstrate the capability of Raman spectroscopy to detect small amounts of COC in nasal fluid, and to distinguish the main drug from the most widely used cutting agents. To achieve this purpose, a spectroscopic study on

standard COC samples was initially performed. The influence of the swab used to collect the samples was subsequently studied by comparing different commercial swabs. Then, different samples of street COC were analyzed to identify the main drug and the most important cutting agents used, by comparison with a digital library created on purpose with the spectra of a great quantity of substances that may be added to pure COC.

## **Materials and methods**

### ***Reagents***

All the reagents used for the analysis of the cutting agents were supplied by Sigma-Aldrich (St. Louis, MO, USA). COC.HCl was acquired from Lipomed, while minimal quantities of street COC were donated by volunteer consumers. The commercial swabs were provided from Seidden (SWAB 1 - DNA free), from Deltalab (SWAB 2) and from local supermarkets (SWAB 3 - Dr.SOS and SWAB 4 - Día) (Spain). Real nasal fluid samples were provided by non-consumer volunteer donors.

### ***Nasal fluid samples preparation***

At first, four swabs were compared in order to select the most suitable to perform the analysis: two double-ended cotton tipped, and the other two with a unique cotton tip. The preparation procedure was the same in all cases. The swab was inserted into the nostril, approximately 1.5 cm, rotated three times ( $3 \times 360^\circ$  turns) to collect the fluid and then slowly removed.

Since there is not clear information about the quantity of COC that could remain in the nose after the snort of a line of COC (50-200 mg), the amount of COC used to dope the nasal fluid sample was arbitrarily selected. In this case, about 200  $\mu\text{g}$  (estimated by weighing after deposition) of standard COC.HCl was used. The drug was deposited on a glass microscope slide and the nasal fluid was doped by rotating the swab on it until all the COC had been collected. Then, the swab with the doped nasal fluid sample was placed on the Raman microscope stage and directly analyzed.

To obtain the Raman spectroscopic signature of the undoped nasal fluid, instead, the sample was deposited directly on a glass support by sliding on it another wet swab and then immediately analyzed.

### ***Instrumental***

A Thermo Scientific DXRTM Raman Microscope (Waltham, MA, USA) was used to collect Raman spectra, by using a 532-nm laser excitation. The selected region of interest extended in the wavenumber range from 300 to 1800  $\text{cm}^{-1}$  and a 50x objective was used. The laser power was set to 10 mW with an aperture of 50  $\mu\text{m}$ . The exposure time for each scan was fixed to 15 s, while the number of scans was varied for the different analyses in order to observe the best spectrum, obtained by averaging all the spectra collected for each scan during a measurement.

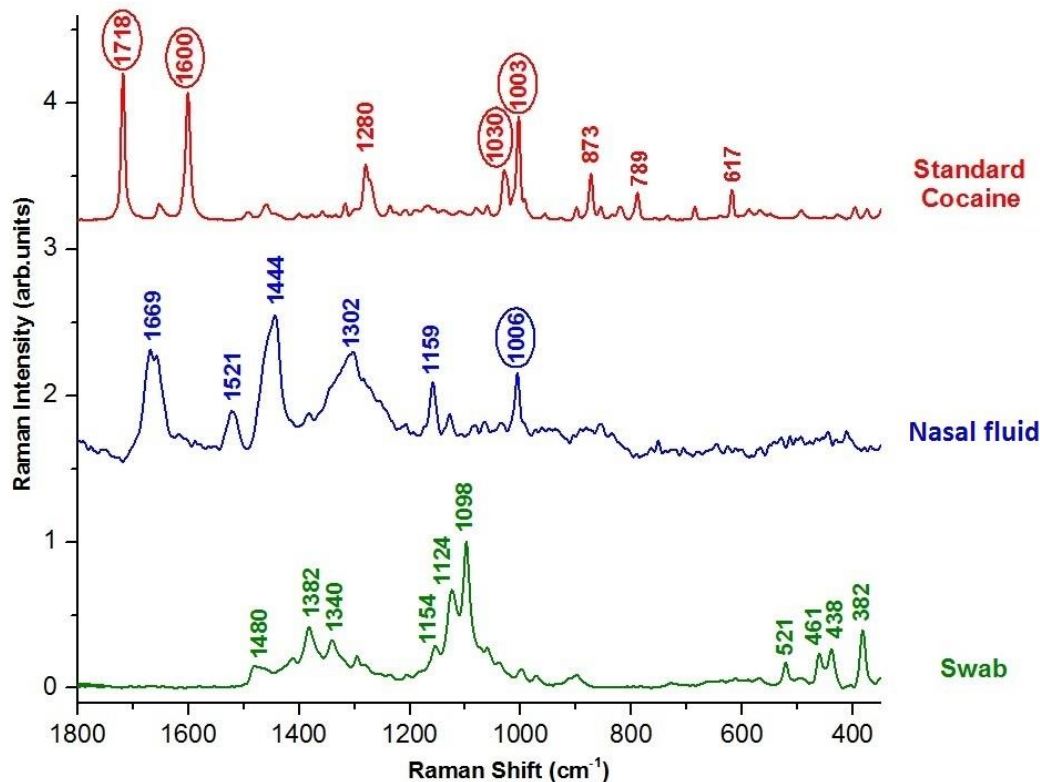
All the spectra were collected without any automatic correction and then processed with the Thermo Scientific OMNICTM for dispersive Raman 8.3.103 software (Waltham, MA, USA): the fluorescence effect was corrected by means of a 3rd-order polynomial baseline, the noise interference was reduced by an 11-point smoothing and finally the spectra were normalized. In the case of the undoped nasal fluid sample, the glass background was also subtracted.

The same software was used to create the specific library of cutting agents and to carry out the matching among spectra by means of the “Library Search” function. The “correlation” algorithm was selected, since it removes any effect of offset in the unknown spectrum, thus eliminating the effects of baseline variation. All the graphs presented in this paper have been designed by using the OriginPro 8.6 software (Northampton, MA, USA).

## Results and discussion

### *Reference spectra collection and peak assignment*

Initially, the Raman spectra of the four swabs in comparison were collected and all of them showed identical spectra corresponding, as expected, to the one of the cotton (in figure 1, the spectrum of SWAB 1 has been represented as an example). Then, the spectra of standard COC.HCl and of undoped nasal fluid samples were measured as references and compared with the one of the swab. Figure 1 shows the collected spectra, in which all the most important bands have been indicated. As it can be seen, four of the characteristic bands of COC are also circled (1718, 1600, 1030 and 1003  $\text{cm}^{-1}$ ), because they are the ones on which we will focus to verify if the drug is detectable in nasal fluid. The others (1280, 873, 789 and 617  $\text{cm}^{-1}$ ), in fact, are less intense and could be overlapped by the large bands of the nasal fluid. Furthermore, a band of the nasal fluid spectrum has also been circled (1006  $\text{cm}^{-1}$ ) because it is the only one that really could lead to misunderstanding in the identification of COC. On the contrary, the swab bands do not appear in the spectral fingerprint region of COC and, for this reason, none of them has been highlighted.



**Figure 1.** Spectra of standard COC, nasal fluid and swab (SWAB1). All the most important bands have been indicated. The ones circled in the first spectrum correspond to the characteristic bands of COC, the one in the second spectrum corresponds to the possible interfering band of the nasal fluid with the COC.

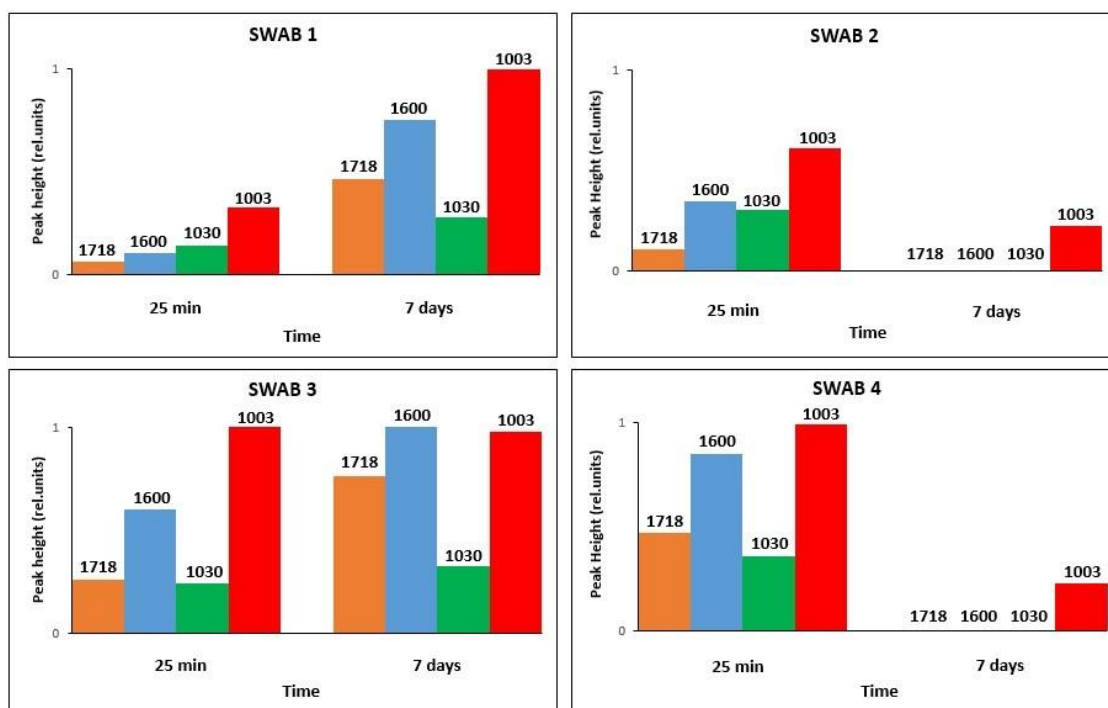
Considering the instrumental variability of the Raman spectrometer employed (about  $\pm 4$  cm<sup>-1</sup>), the bands of COC spectrum have been assigned as follows: the band at 617 cm<sup>-1</sup>, was attributed to the phenyl ring deformation; the band at 789 cm<sup>-1</sup>, corresponded to a piperidine ring C-C stretching; the band at 873 cm<sup>-1</sup>, was attributed to a tropine ring C-C stretching; the bands at 1003 and 1030 cm<sup>-1</sup>, corresponded respectively to the symmetric and asymmetric phenyl ring breathing modes; the band at 1280 cm<sup>-1</sup>, was attributed to the C-phenyl stretching; the one at 1600 cm<sup>-1</sup>, corresponded to the trigonal phenyl ring breathing mode and, finally, the band at 1718 cm<sup>-1</sup>, was attributed to the ester carbonyl C=O stretching [12, 13]. Also for the nasal fluid spectrum, the principal bands were highlighted, even though it was not possible assign them due to the complex composition of the fluid. However, the presence of antiseptic enzymes, glycosylated proteins and salts, could explain the great width of the bands. Finally, for the swab the strongest bands observed were at 1480, 1382, 1340, 1154, 1124, 1098, 521, 461, 438 and 382 cm<sup>-1</sup>. The bands at 1480, 1382 and 1340 cm<sup>-1</sup>, were associated with CH<sub>2</sub> deformation vibrations, although the band at 1340 cm<sup>-1</sup>, could also be due to OH deformation vibrations. The bands observed at 1154, 1124 and 1098 cm<sup>-1</sup>, were attributed to the stretching vibrations of the  $\beta$ -1,4-glycosidic ring linkages between the D-glucose units in cellulose. The 1154 cm<sup>-1</sup> band, was associated with C-C ring asymmetric stretching vibrations, while the 1124 and 1098 cm<sup>-1</sup> bands, were due to the symmetric and asymmetric stretching modes, respectively, of the C-O-C glycosidic link. The 521 and 382 cm<sup>-1</sup> bands were attributed to C-O-C glycosidic link deformation and C-C-C ring deformation vibrations, respectively. The bands at 461 and 438 cm<sup>-1</sup>, were associated to C-C-O ring deformation modes [14].

#### ***Comparison of the different swabs for the detection of cocaine in nasal fluid***

As previously stated, since COC does not metabolize in nasal fluid, the parent compound instead of its metabolites was present in the sample. In fact, despite the presence of the nasal fluid, solid particles of COC were visible under the microscope. For this reason, independently of the amount of COC taken, the detection of residues of the drug in nasal fluid could be considered as a proof of its consumption by snorting.

The analyses of the nasal fluid samples were conducted monitoring the spectral changes over time, from the moment in which the sample was collected until it was completely dry. However, it should be underlined that the desiccation of the sample depended not only on the time but also on the heat emanated from the laser during the measurements.

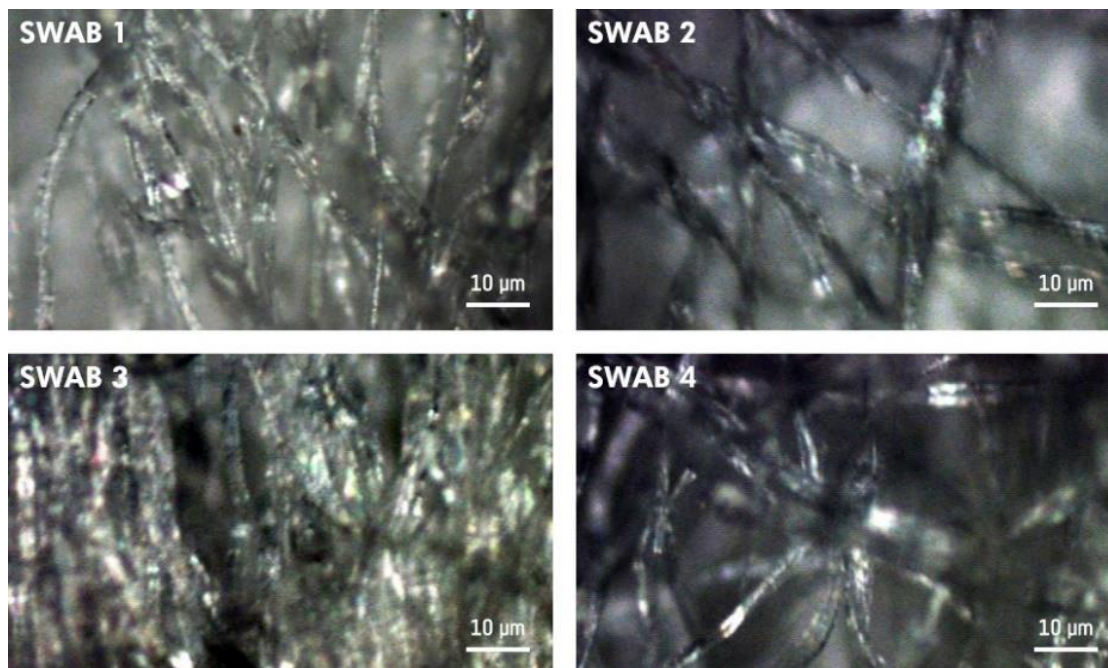
Analyzing undoped samples, it was observed that initially only the characteristic bands of nasal fluid were visible in the spectra collected. Instead, when the sample began to dry out (over 20 min), its signal became less intense and some bands of the swabs appeared. Then, as expected, when doped samples were analyzed, the bands of COC appeared clearly visible only when the signal of the nasal fluid was relatively weak. Figure 2 shows the relative intensity of the four characteristic bands of COC, after 25 minutes from the collection, when the nasal fluid was dry enough to observe the COC, and after 7 days, when the sample on the swab was completely dried (and no more changes are observed over time).



**Figure 2.** Relative intensity of the four characteristic bands of COC (1718, 1600, 1030, 1003 cm<sup>-1</sup>) after 25 minutes and after 7 days from the sample collection.

As it can be seen, only in two cases, for SWAB 1 and SWAB 3, it was possible to observe the characteristic bands of COC even after a relatively long time (7 days) from the sample collection. This is a great advantage considering that, in most of the cases, the analyses are not carried out immediately after the collection of the samples. For SWAB 2 and SWAB 4, instead, it was not possible to detect the COC when the nasal fluid on the swab was completely dry. Furthermore, it is possible that even the small band observed at 1003 cm<sup>-1</sup> is not representative of COC. In fact, it could be also attributed to the characteristic band of the nasal fluid before highlighted (Fig. 1).

In order to understand the reason of this discrepancy, the patterns of the cotton swabs employed were carefully observed with the optical microscope of the Raman spectrometer (see comparison in Figure 3).



**Figure 3.** Comparison of the photomicrographs (10x magnification) of the fiber patterns of the four swabs employed.

It was evident that SWAB 1 and SWAB 3 presented a quite compact fiber pattern, while in SWAB 2 and SWAB 4 the cotton fibers are much more separated from each other. This latter conformation could then cause the entrapment of the particles of COC by part of the fibers so that, once dried the nasal fluid, the signal of the drug was overlapped by the one of the matrix.

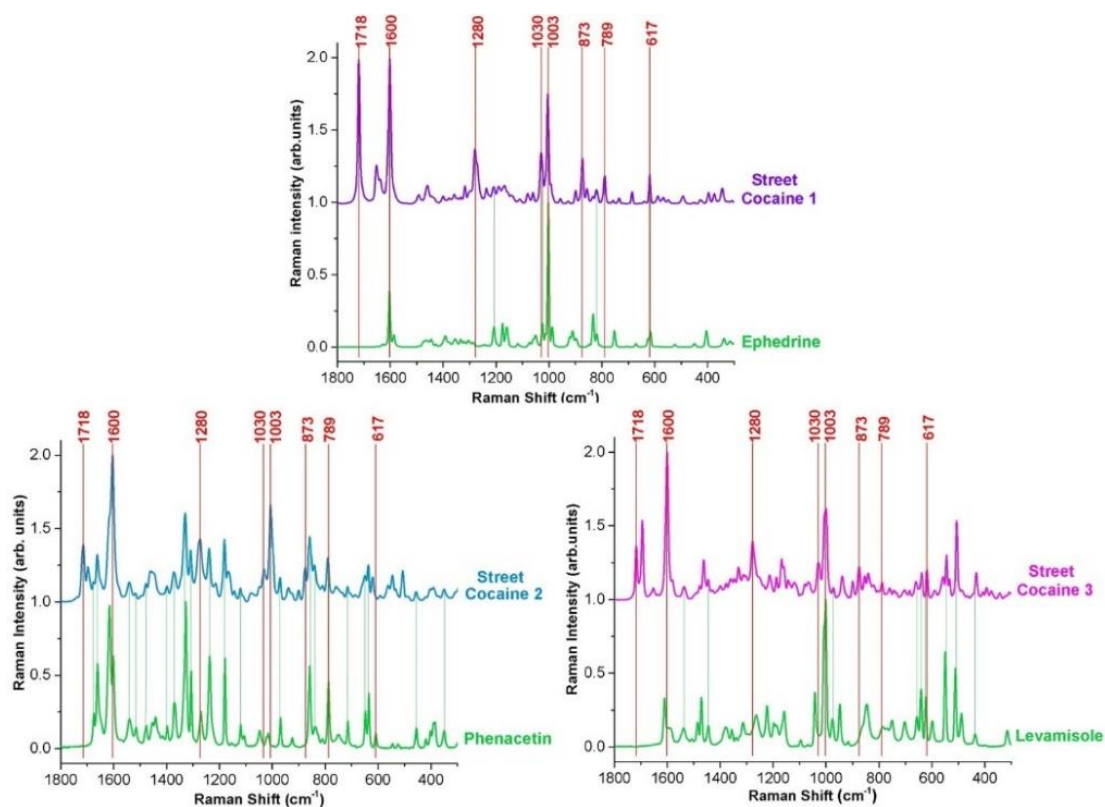
Finally, to select which of the two best working swabs was the most appropriate for the analysis, the attention was focused on the intensity of the characteristic COC bands. As it can be seen in figure 2, either the sample was partially or completely dry, the bands observed with SWAB 3 were more intense than the ones observed with SWAB 1. For this reason, SWAB 3 was chosen and employed for the successive analyses.

With this comparison it was also demonstrated that no significant differences were observed between “DNA free” (SWAB 1) and common-use (SWAB 3) swabs. Furthermore, the choice of SWAB 3 was advantageous from an economic point of view, since its cost was very much lower than the one of SWAB 1.

#### ***Analysis of street cocaine samples***

Once the swab to be used was chosen, three samples of street COC declared from Colombia were qualitatively analyzed. At first, the spectra of the three drug powders were collected by depositing them, as well as they had been provided, on a glass microscope slide and directly analyzed by Raman spectroscopy. As expected, even if all the most intense band of standard COC were clearly observable, some bands were characteristic of each sample (see Figure 4).





**Figure 4.** Correspondence between the spectra of the street COC samples and the respective most relevant cutting agent spectra identified by matching with the digital library created. Raman shifts of the characteristic bands of standard COC have also been marked.

The appearance of these bands was surely due to the presence in the analyzed samples of one or more cutting agents. Sometimes these substances, in addition to diluting the active compound, can modify the pharmacological effect of the final product, so they may themselves be drugs of abuse, such as amphetamines, narcotics, or psychedelics [15, 16].

After an extensive research about all the cutting agents employed so far to cut the pure COC, the 40 most widely used were selected and their spectra were collected and treated mathematically with the OMNICTM Software. In Table 1 the studied cutting agents have been listed and classified according to their effect in the groups described in the introduction section (Active, Cosmetic and Inert). The most important bands of each substance have also been indicated. The bands framed and highlighted in bold text correspond to bands which have Raman shifts very similar to the ones of the most important bands of the standard COC. The presence of these bands, in fact, could make it difficult the identification of COC.

Later, a digital library was created on purpose, collecting the spectra of the selected cutting agents, in order to identify which of them had been used for cutting the street COC samples analyzed. In fact, by using the “Library Search” function of the OMNICTM Software, with the “correlation” algorithm, it was possible to compare the spectrum of each street COC sample with those included in the library, and then identify the cutting agents presumably employed. It should be remarked that, even if Raman spectroscopy is able to distinguish the different substances present in a sample, it is not a very sensitive technique. For this reason, only the substances with a relatively high signal intensity could be clearly identified.





In figure 4, the spectra of the cutting agents with the highest correlation coefficients, estimated for each street COC sample by matching their spectra with the library, are also shown.

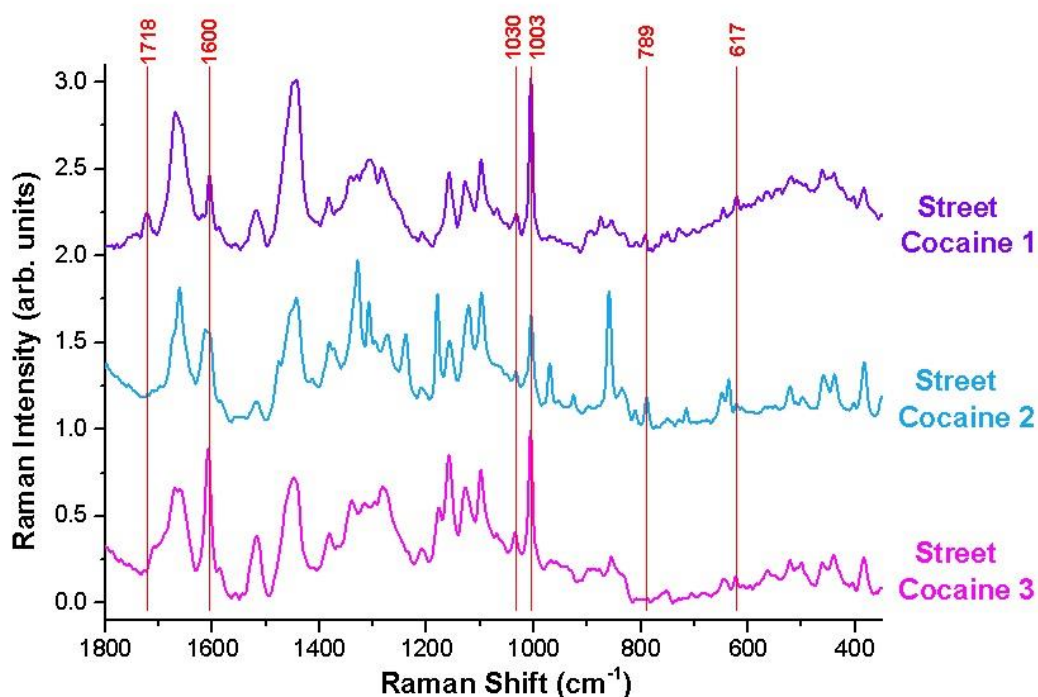
As it can be observed, the spectrum of the first sample (Street Cocaine 1) is almost identical to the one of the standard COC.HCl (Fig. 1), which could mean that the COC was not or barely adulterated. In fact, by matching the spectrum with the library, we obtained a correlation coefficient of  $\sim 98$  for standard COC.HCl and a smaller coefficient of  $\sim 48$  for ephedrine.

For the second sample (Street Cocaine 2), a lot of bands which do not correspond to the ones of pure COC were observed, meaning that the signal of the cutting substances was very strong. Surprisingly, by matching the spectrum with the library, we obtained a correlation coefficient of  $\sim 65$  for phenacetin, even higher than the one of  $\sim 49$  for standard COC.HCl.

Finally, the matching of the third spectrum (Street Cocaine 3) with the library, provided a correlation coefficient of  $\sim 58$  for standard COC.HCl and of  $\sim 40$  for levamisole.

However, to avoid confusion, it should be underlined that the correlation coefficient does not reflect the quantity of a substance present in the sample. This value, in fact, corresponds to the percentage of match between the spectra obtained from the analysis of the street COC samples and those included in the library. In spite of that, after the comparison, the correlation coefficient could permit to obtain an estimation of the purity of COC.

Subsequently, samples of nasal fluid collected with SWAB 3 were doped with the three before mentioned street COC and stored in the dark at room temperature. Since a better signal was observed when nasal fluid was completely dried (Fig. 3), the spectra were collected after 7 days (Figure 5) and compared with those collected in the digital library.



**Figure 5.** Spectra of nasal fluid samples collected with SWAB 3 and doped with the three street COC samples before analyzed. Raman shifts of the characteristic bands of standard COC have also been marked.

In this case, two different results were observed. For the first (Street Cocaine 1 in nasal fluid) and the third (Street Cocaine 3 in nasal fluid) samples, the software provided the highest correlation coefficients for a cutting substance, hydroxyzine, which is different from those before estimated for the corresponding street COC samples in powder form. For Street Cocaine 1, the correlation coefficient was of ~59 for hydroxyzine and of ~56 for ephedrine. For Street Cocaine 3, the correlation coefficient was of ~55 for hydroxyzine and only ~26 for levamisole. For the second sample (Street Cocaine 2), on the contrary, the main cutting substance identified was again the phenacetin (correlation coefficient of ~67). The difference of the detected cutting agents, for samples 1 and 3, could be mainly due to the presence of the nasal fluid and of the swab. In fact, by matching the spectrum of the undoped nasal fluid on the swab (used as control) with the library, the highest correlation coefficient (~15) was actually obtained for hydroxyzine. Evidently, unlike the ephedrine and levamisole signals, the signal of phenacetin in sample 2 is strong enough to not be affected by the presence of the matrix, so no differences are observed.

Therefore, it could be concluded that the signal of nasal fluid could affect the spectral identification of the cutting agents, because it could overlap or interfere with their signal, but does not prevent the detection of COC. It was thus demonstrated the capability of Raman spectroscopy to clearly identify COC in nasal fluid also when samples are adulterated.

### **Conclusions and future trends**

In this work it has been demonstrated the capability of Raman spectroscopy to detect very small amounts of COC (~ 200 µg) in nasal fluid and to distinguish its signal from those of other cutting agents eventually present in street COC samples. Sampling conditions were studied by comparing different swabs and monitoring the times of spectra collections. The four swabs in comparison presented the same Raman spectrum, corresponding to the one of the cotton, even if their fibers patterns were different. Among these four swabs, SWAB 3 (Dr.SOS), which was a common-use swab bought in a local supermarket, was selected as the best to make the analyses. The choice was mainly due to its characteristic interlacement of cotton fibers that permitted to detect an intense signal for COC in nasal fluid. Furthermore, the identification was possible both if the sample was recently collected (after 25 minutes) or completely dry (after 7 days from the collection).

The qualitative analysis of street COC samples showed the presence of one or more different cutting agents other than COC. The collection of the spectra of the forty most widely used cutting agents allowed to create a digital spectral library. The comparison of the street COC spectra with those included in the digital library permitted to detect which were the major substances present in the analyzed sample. The analysis of doped nasal fluid samples, collected with the previously selected swab (SWAB 3), indicated that sometimes the signal of the matrix could affect the cutting agent recognition. Its signal, in fact, could overlap or interfere with the signal of the cutting agents, causing the identification of substances different than those actually present in the sample. On the other hand, the presence of the nasal fluid did not prevent the identification of COC. Consequently, this qualitative analytical method has a high potential as non-destructive screening tool, even if a separation technique implemented with mass spectroscopy is recommendable for quantitative purposes.

In this study a benchtop Raman spectrometer was used, but a future trend could be to employ portable instrumentation to conduct in situ screening analyses. This could be a very important

goal especially in forensic and clinical fields, permitting to perform roadside tests but also controls in emergency situations to recognize possible overdoses.

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