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Ruiz-Benito, P. et al., 2014. Stand Structure and Recent Climate Change Constrain Stand Basal Area Change in European Forests: A Comparison Across Boreal, Temperate, and Mediterranean Biomes. *Ecosystems*, 17(8), pp.1439–1454.

Available at http://dx.doi.org/10.1007/s10021-014-9806-0

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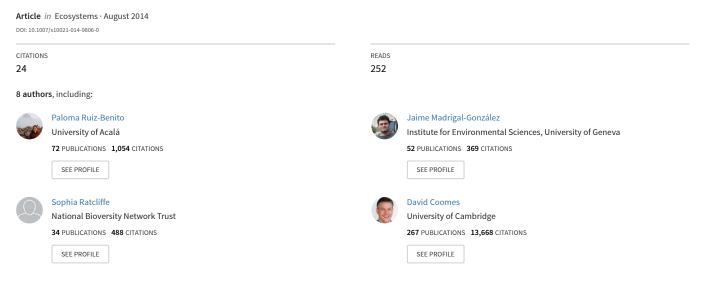


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# Stand Structure and Recent Climate Change Constrain Stand Basal Area Change in European Forests: A Comparison Across Boreal, Temperate, and Mediterranean Biomes



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# Stand structure and recent climate change constrain stand basal area change in European forests: a comparison across boreal, temperate and Mediterranean biomes

Journal:	Ecosystems
Manuscript ID:	ECO-13-0326.R3
Types:	Original Article
Date Submitted by the Author:	n/a
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Key Words:	carbon sink, climatic variability, competition, inventory-based data, minimum temperature, mixed models, stand basal area change, water availability

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5 6 7	2	European forests: a comparison across boreal, temperate and Mediterranean
7 8 9	3	biomes
10 11 12 13 14 15 16	4	Short title: Drivers of basal area change across Europe
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59 60	27	Type of paper: Original Article

Authorship: JM, PRB and MAZ conceived the design; DC, GK, AL, SR, PRB, CW and MAZ
collected the data; JM, PRB and SR analyzed data; DC, JM, SR and PRB contributed to the
methods, and all the authors wrote the article.

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Ruiz-Benito et al. 3

### 32 Abstract

European forests have a prominent role in the global carbon cycle and an increase in carbon storage has been consistently reported during the 20<sup>th</sup> century. Any further increase in forest carbon storage, however, could be hampered by increases in aridity and extreme climatic events. Here we use forest inventory data to identify the relative importance of stand structure (stand basal area and mean d.b.h.), mean climate (water availability) and recent climate change (temperature and precipitation anomalies) on forest basal area change during the late 20<sup>th</sup> century in three major European biomes. Using linear mixed-effects models we observed that stand structure, mean climate and recent climatic change strongly interact to modulate basal area change. Although we observed a net increment in stand basal area during the late 20<sup>th</sup> century, we found the highest basal area increments in forests with medium stand basal areas and small to medium sized trees. Stand basal area increases correlated positively with water availability, and were enhanced in warmer areas. Recent climatic warming caused an increase in stand basal area, but this increase was offset by water availability. Based on recent trends in basal area change we conclude that the potential rate of aboveground carbon accumulation in European forests strongly depends on both stand structure and concomitant climate warming, adding weight to suggestions that European carbon stocks may saturate in the near future. 

51 Keywords: carbon sink, climatic variability, competition, inventory-based data,
52 minimum temperature, mixed models, water availability, stand basal area change.

Ruiz-Benito et al. 4

## 53 INTRODUCTION

Forests cover more than 30% of the global land surfaces (FRA, 2010), store large reservoirs of carbon (Goodale and others 2002; Pan and others 2011), harbour around two thirds of terrestrial biodiversity (Millennium Ecosystem Assessment 2005) and promote multiple ecosystem services (Gamfeldt and others 2013). Forests play a central role in the global carbon cycle, but the factors controlling terrestrial carbon exchanges and their magnitude remain controversial (Valentini and others 2000; Nabuurs and others 2003; Bellassen and Luyssaert 2014). For example, it is widely accepted that current increases in forest biomass observed in many temperate forests result partially from positive effects of global change (e.g. Pastor and Post 1988; Nabuurs and others 2003; Ciais and others 2008; Hember and others 2012; Peng and others 2014) and changes in forest management regimes (e.g. Spiecker 1999; Luyssaert and others 2010), but the influences of climate change and extreme climatic events on biomass changes are not well understood (Dixon and others 1994; Schimel 2007; McMahon and others 2010).

Future forest carbon sinks could be affected by large-scale changes in mortality and growth rates, both of which are related to climate, forest structure and the interactions between these factors (e.g. van Mantgem and others 2009; Dietze and Moorcroft 2011; Ruiz-Benito and others 2013). The rate of increase in carbon storage depends on forest structure, climate warming, CO<sub>2</sub> fertilisation and nitrogen deposition effects (Nabuurs and others 2003; Ciais and others 2008; Pan and others 2011). Although the magnitude of these effects remains uncertain, it has been shown that recent climate change and CO<sub>2</sub> fertilisation could have a positive impact on tree growth (Cao and Woodward 1998; Ciais and others 2008; Bellassen and others 2011). However, these positive effects could be overwhelmed by the effects of increased 

#### Ecosystems

climatic variability and extreme climatic events, such as more frequent and more intense drought events (e.g. Ciais and others 2005; Zhao and Running 2010; Hoch and Körner 2012). Moreover, regional studies have not shown consistent trends in forest growth rates; growth is increasing in temperate areas but no clear trends have been found in European boreal or Mediterranean forests (Spiecker, 1999). On the other hand, recent worldwide episodes of increased defoliation and tree mortality have been related to climate-induced processes (Allen and others 2010; Carnicer and others 2011). Forest carbon sinks could be potentially affected by large-scale changes in mortality and growth rates, both of which have been related to climate and/or its interaction with forest structure (e.g. van Mantgem and others 2009; Dietze and Moorcroft 2011; Ruiz-Benito and others 2013). 

European forests have been globally important carbon sinks (Ciais and others 2008; Nabuurs and others 2003), but what will happen in future is a matter of intense debate (Narbuurs and others 2013). As a result of climate change, mean temperatures are likely to increase, with northern Europe experiencing warmer winters and Mediterranean regions warmer summers (Christensen and others 2007). Meanwhile, climate change scenarios suggest that precipitation could increase in northern Europe and decrease in Mediterranean regions (Christensen and others 2007). The exposure of Mediterranean systems to even hotter, drier summers could result in the death of trees normally regarded as drought tolerant, because the combination of low soil moisture potentials and strong vapour pressure deficits push water transport systems to their limit (Allen and others 2010; Ruiz-Benito and others 2013). Thus, climate change could result in a reduction of carbon gains in the water-limited forests of Europe (Vayreda and others 2012), that could even counteract gains arising from the abandonment of agricultural lands (Canadell and Raupach 2008).

#### Ruiz-Benito et al. 6

> Understanding how forest structure and climate interact to drive biomass change across European forests, from boreal to temperate and Mediterranean forests is critical to infer future trends in forest carbon sinks. The role of European forests in the global carbon cycle in the second half of the 20<sup>th</sup> century has been largely estimated through inventory-based national statistics (e.g. Goodale and others 2002; Nabuurs and others 2003; Ciais and others 2008; Bellassen and others 2011). Recently tree level information from consecutive inventories has become available in a growing number of EU countries, allowing us to better estimate large-scale demographic processes (e.g. Kunstler and others 2011; Benito-Garzón and others 2013; García-Valdés and others 2013, Vilà and others 2013). In this study we performed, for the first time, a large-scale analysis of the main patterns and drivers of recent stand basal area change in the three main biomes of European forests, using plot-level forest inventory information. Our specific objectives were: (i) to examine recent decadal patterns of forest basal area change, growth and mortality across Mediterranean, temperate and boreal biomes in Europe; and (ii) to quantify the effect of stand structure, mean climate and recent climate change on stand basal area change.

## **MATERIAL AND METHODS**

## 120 Data of stand basal area change and its components

We compiled information from consecutive National Forest Inventories (NFI hereafter) of Spain, Germany and Finland (see methodological details in Appendix 1 of supplementary material), which encompass stands belonging to Mediterranean, temperate and boreal biomes (Figure 1a). We selected plots from consecutive surveys where tree-level data on ingrowth, surviving and dead trees was recorded in both surveys (see supplementary Appendix 1 and Table S1).

#### Ecosystems

From the initial plots of the three NFIs we selected a total of 40,521 plots where at least one adult tree was measured (i.e. d.b.h. > 10 cm) and where there was no evidence of thinning or harvesting in either of the two consecutive surveys. Plots with any sign of harvesting were excluded for two reasons: (i) biomass loss due to harvesting implies an assessment of growth considering only surviving trees, which could result in biased estimates of real productivity in natural forests-; and (ii) harvesting usually triggers an immediate growth release in neighbouring trees and, therefore, management could affect carbon stock changes (Vayreda and others 2012). 

In the 40,521 plots each tree alive in the first inventory was recorded as either alive or dead in the second inventory. We estimated the absolute change in basal area and the relative growth and mortality rates in each plot. Thus, we calculated: (i) stand basal area change ( $m^2$  ha<sup>-1</sup> vr<sup>-1</sup>. SBAc hereafter) as the difference in stand basal area between the two surveys with respect to the time interval; (ii) basal area growth rate (annual percentage, SBA<sub>gain</sub>) as the sum of basal area increments of all live trees present in each survey with respect to the time interval and initial stand basal area; and (iii) basal area loss rate due to natural mortality (annual percentage, SBA<sub>loss</sub>) as the basal area lost between consecutive surveys due to mortality, again with respect to the initial stand basal area and time interval following Sheil and others (1995). Basal area loss rate was greater than zero in 25.4% of the plots included in this analysis (i.e. from the 40,521 measured plots included in this analysis 10,303 had a basal area loss rate greater than zero). We used stand basal area change instead of biomass change directly because: (i) basal area has been identified as reliable a proxy for biomass (e.g. Slik and others 2010); (ii) allometric equations do not exist for all 158 species present in the 40,521 plots included in the analysis; and (iii) allometric relationships can vary along the large climatic gradient covered in this study (e.g. Lines and others 2012). We produced maps 

Ruiz-Benito et al. 8

of SBAc, SBA<sub>gain</sub> and SBA<sub>loss</sub> using ArcGIS 10 (ESRI Inc., Redlands, CA, USA; Figure
1).

## **Forest structure and climate data**

We used two forest structure variables, two climatic variables and two variables representative of recent climatic change as potential predictors of recent stand basal area changes. Mean tree diameter ( $d_m$ , mm) and stand basal area (BA, m<sup>2</sup> ha<sup>-1</sup>), in the first survey, were used to represent forest structure.

To characterise the spatial variability of climate across the three biomes, for each of the plots we obtained climatic variables from WorldClim (Hijmansand others 2005) and CGIAR-CSI GeoPortal, using CGIAR-CSI Global-Aridity and Global-PET Database (Zomer and others 2007; 2008). Two climatic variables were selected to characterise the climate in each plot (see details of variable selection in supplementary Appendix 2 and Table S2): an index of water availability (WAI) and mean temperature of the coldest quarter (hereafter minimum temperature, Tmin) (based on data between 1950 and 2000). WAI integrates temperature and rainfall in each plot (i.e. annual evapotranspiration precipitation minus potential divided by potential evapotranspiration). Negative values of WAI correspond to dry areas and positive values to wet areas, and it has been shown to be an important driver of tree carbon storage in the Mediterranean region (Vayreda and others 2012). Minimum temperature is thought to be an important constraint in eastern European limits of tree species distribution (e.g. Sykes and Prentice 1996). 

The magnitude of recent climate change was quantified by comparing mean
annual temperature and precipitation over the study period with the mean of each
climatic variable over the reference period 1900-2006, using mean monthly climate data

#### Ecosystems

at 0.5 x 0.5 degree resolution from UDel AirT Precip data provided by the NOAA/OAR/ESRL PSD (Boulder, Colorado, USA). The study period was defined as the number of years between the two consecutive inventories plus two years before the first survey (i.e. 1984-2006 Spain; 1984-2002 Germany; 1983-1995 Finland) to include lagged effects of climate on growth or mortality (Vayreda and others 2012). We calculated absolute temperature anomalies and relative precipitation anomalies, using yearly averages calculated using mean monthly climate data (i.e. from January to December). The absolute temperature anomaly (°C) was defined as the difference between the mean temperature for the study period and the mean value for the reference period (1900-2006). The relative precipitation anomaly (%) was defined as the ratio between the equivalent differences for precipitation and the mean value for precipitation for the reference period. The absolute temperature anomalies varied from -0.3 to 1 °C among grid cells (with an average increment of 0.46 °C), while the relative precipitation anomalies varied from -18.7% to 14.6% (with an average of -2.5%, see supplementary Figure S1 and S2).

191 Statistical analyses

We modelled stand basal area change (SBAc,  $m^2 ha^{-1} yr^{-1}$ ) using linear mixedeffects models, with a Gaussian distribution of residuals and used an identity link for the response variable. All analyses were performed in R version 2.15.1 (R Core Team 2012), using the "lme4" package (Bates and others 2012).

The six fixed predictor variables of SBAc used were: stand basal area (BA, m<sup>2</sup>/ha), mean d.b.h. (d<sub>m</sub>, mm), water availability (WAI, %), minimum temperature (Tmin, °C), absolute temperature anomaly (TA, °C) and relative precipitation anomaly (PA, %) (see mean values in supplementary Figure S3 and Table S3). Due to the

Ruiz-Benito et al. 10

clustered nature of the sampling in Finland and Germany (where plots are aggregated in groups of four; see Appendix 1 for more information), we included cluster as a random effect in the model. We fitted country as a fixed effect because it only has three levels, and as such is inappropriate as a random effect (see Bolker and others 2009). Our full model also included as fixed effects linear and quadratic terms for each explanatory variable. Based on our initial hypothesis we also included pair-wise interactions between stand structure and climate variables:  $d_m \times BA$ , WAI  $\times$  Tmin, BA  $\times$  WAI, BA  $\times$ Tmin, BA  $\times$  TT, BA  $\times$  PT, d<sub>m</sub>  $\times$  WAI, d<sub>m</sub>  $\times$  Tmin, d<sub>m</sub>  $\times$  TT, d<sub>m</sub>  $\times$  PT and WAI  $\times$  TT, WAI  $\times$  PT and Tmin  $\times$  TT. All the numerical predictor variables were standardised (i.e. the mean was subtracted from each value and divided by the standard deviation), enabling the interactions to be included in the model (Zuur and others 2009). Additionally, in order to detect colinearity between explanatory variables, we calculated the variance inflation factors (VIFs) for each predictor variable. VIFs calculate the degree to which collinearity inflates the estimated regression coefficients as compared with the orthogonal predictors (Belsey, 1991; Oksanen and others 2010). Our results confirmed that collinearity was not a major problem in our data (VIF < 3).

The most parsimonious model was determined using BIC (Bayesian Information Criterion) as an indicator of both parsimony and likelihood (Burnham and Anderson 2002). To identify the best-supported model we first constructed candidate models in which each of the interactions were dropped and if the difference in BIC between the reduced and full models was less than two then the simpler model was selected (Hilborn and Mangel 1997; Pinheiro and Bates 2000). The process was then repeated for all the independent variables this time comparing each individual predictor variable with a model containing all response variables without any interactions, using the differences in BIC to quantify the relative importance of each predictor variable. Finally, parameter 

#### Page 11 of 56

#### Ecosystems

estimates and confidence intervals of the best-supported model were obtained using restricted maximum likelihood (REML), which minimizes the likelihood of the residuals from the fixed-effect portions of the model (Zuur and others 2009).

The marginal  $R^2$  (proportion of variance explained by fixed factors) and conditional  $R^2$  (proportion of variance explained by both the fixed and random factors) were estimated following Nakagawa and Schielzeth (2013). The parameter estimates provide the basis for determining the magnitude of the effect of a given process, with maximum likelihood estimates of parameter values close to zero indicating no effect. Mean parameter estimates and 95% confidence intervals for the fixed effects were estimated using bootstrapping methods available in the lme4 package (Bates and others 2012). 

Response curves for each explanatory variable (varying between the 99% percentiles observed in the data) were computed using the best supported model, fixing the values of the other continuous variables at the observed mean (Table 1), and the categorical variables to zero (i.e. the fixed country effect, Eq. (1)). Approximate confidence intervals of the prediction were calculated from the variance-covariance matrix of the fixed effects ( $\pm 2 \times$  standard error of prediction). Response curves were also computed with two variables varying between the 99% percentiles observed in the data, with the rest held constant to the mean; these were visualised using three-dimensional graphs. 

Ruiz-Benito et al. 12

## **Results**

# 246 Patterns of stand basal area change and its components

During the late 20<sup>th</sup> century there were positive mean stand basal area changes (SBAc) in the Mediterranean, temperate and boreal biomes (Table 1, supplementary Table S3), confirming that forests in these regions were accumulating basal area at a mean relative annual rate of 3.82%. We observed the largest mean SBAc, growth and loss rates in the temperate biome (Table 1, Figure 1b-d), with the highest basal area loss rates occurring in Spanish temperate forests (i.e. Northern Iberian Peninsula, see Figure 1d and supplementary Table S3). Forests with negative or near-zero SBAc were mainly concentrated in the Mediterranean and northern boreal regions (Table 1, Figure 1b). There was a positive correlation between SBAc and relative basal area gains due to growth (r = 0.41, P < 0.001, Figure 1b,c), but SBAc was also affected by natural mortality as it can be observed by the negative correlation between SBAc and basal area loss (r = -0.26, P < 0.001, Figure 1b,d). 

A latitudinal gradient in water availability (WAI) and minimum temperature was observed (supplementary Figure S1). The Mediterranean biome had the driest areas (i.e. negative WAI) with increasing water availability towards the temperate and boreal biomes (Table 1), and minimum temperatures were lowest in the boreal biomes (Table 1). Regarding climatic anomalies in the late 20<sup>th</sup> century, the largest temperature increments and precipitation reductions tended to be concentrated in Mediterranean and cool temperate biomes (Table 1 and supplementary Figure S1).

# Effects of stand structure, climate and recent climate change on basal area change

#### Ecosystems

0.2743, conditional  $R^2 = 0.3761$ ) and took the following functional form:  $SBAc = \beta_1 + \beta_2(BA) + \beta_3(BA^2) + \beta_4(d_m) + (\beta_5(d_m^2) + \beta_6(WAI) + \beta_7(Tmin) + \beta_7(T$  $\underline{\beta_8}(\text{Tmin}^2) + \underline{\beta_9}(\text{TA}) + \underline{\beta_{10}}(\text{PA}) + (\underline{\beta_{11}}\text{PA}^2) + \underline{\beta_{12}}(\text{SP}) + \underline{\beta_{13}}(\text{FI}) + \underline{\beta_{14}}(\text{BA})(d_m) + \underline{\beta_{14}}($  $\underline{\beta_{15}} (\text{WAI})(\text{Tmin}) + \underline{\beta_{16}} (\text{BA})(\text{WAI}) + \underline{\beta_{17}} (\text{BA})(\text{Tmin}) + \underline{\beta_{18}} (\text{BA})(\text{TA}) + \underline{\beta_{19}} (\text{BA})(\text{PA}) + \underline{\beta_{19}} (\text{PA})(\text{PA}) + \underline{\beta_{19}} (\text{PA})(\text{PA})(\text{PA}) + \underline{\beta_{19}} (\text{PA})(\text{PA}) + \underline{\beta_{19}} (\text{PA})(\text{PA})(\text{PA}) + \underline{\beta_{19}} (\text{PA})(\text{PA})(\text{PA})(\text{PA}) + \underline{\beta_{19}} (\text{PA})(\text{PA})(\text{PA}) + \underline{\beta_{$  $\beta_{20}(d_m)(\text{WAI}) + \underline{\beta_{21}}(d_m)(\text{Tmin}) + \underline{\beta_{22}}(d_m)(\text{TA}) + \underline{\beta_{23}}(d_m)(\text{PA}) + \underline{\beta_{24}}(\text{WAI})(\text{TA}) + \underline{\beta_{24}}(\text{WAI})(\text{WAI})(\text{WAI}) + \underline{\beta_{24}}(\text{WAI})(\text{WAI})(\text{WAI})(\text{WAI})(\text{WAI}) + \underline{\beta_{24}}(\text{WAI})(\text{WAI})(\text{WAI})(\text{WAI})(\text{WAI}) + \underline{\beta_{24}}(\text{WAI})(\text{WAI})(\text{WAI})(\text{WAI})(\text{WAI}) + \underline{\beta_{24}}(\text{WAI})(\text{WAI$ (1)

where the response variable is the absolute stand basal area change (SBAc), and the numerical predictor variables were: stand basal area (BA), mean d.b.h. (d<sub>m</sub>), minimum temperatures (Tmin) and precipitation anomalies (PA) as quadratic terms; and water availability (WAI) and temperature anomalies (TA) as linear terms (see Table 2 and supplementary Table S4 for model comparisons, Table 3 for fitted parameter values, supplementary Figure S4 for observed and predicted SBAc and supplementary Figure S5 for model residuals). Country (i.e. Spain, Germany and Finland) was included as a fixed categorical effect and thus linear terms were also included for Spain (SP) and

BIC model comparisons indicated that mean d.b.h. had the largest effect on SBAc, followed by WAI, stand basal area, temperature anomaly and minimum temperature (Table 2). The relative precipitation anomaly explained the smallest variation compared to the rest of explanatory variables (Table 2). With regards to the interaction terms, it is important to note that the full model included all possible pairwise interactions between the stand structure and climatic variables, but also strong interactions between climate and recent climatic anomalies were found (Table 2,3). 

Ruiz-Benito et al. 14

The largest SBAc was observed in stands dominated by small trees ( $d_m < 200$ mm). SBAc decreased rapidly with mean tree diameter up to *c*. <u>98</u>00 mm after which it increased again (Figure 2). Considering stand basal area, SBAc increased from low to medium stand basal area values, stabilising from medium to high stand basal area values (Figure 2).

The effect of WAI on SBAc was particularly strong in stands with low mean d.b.h. (Figure 3a) and low basal area (Figure 3b). With increasing minimum temperature, a non-linear relationship with SBAc was observed with a SBAc peak at intermediate temperatures (Figure 4c), but this relationship was strongly affected by mean d.b.h. (Figure 3c) and stand basal area (Figure 3d). The positive effect of increasing minimum temperature on SBAc was particularly strong at high mean d.b.h., showing a more neutral relationship at low mean d.b.h. (Figure 3c). Stands with low basal area showed the lowest SBAc at negative minimum temperatures, and the highest SBAc at high basal area (Figure 3d). Moreover, we observed that the effect of minimum temperature on SBAc was greater in wet areas (WAI positive) than in dry areas (WAI negative) (Figure 4a). SBAc was positively associated with water availability (i.e. WAI) in hot regions (i.e. Figure 4a,b) but no such relationship was found in regions with low minimum temperatures (Figure 4a).

We observed an increase in SBAc with increases in recent temperature anomalies (see positive value of parameter  $\beta_9$ , Table 3). This positive effect of recent warming on SBAc was particularly strong in stands with low mean d.b.h. (Figure 3e) and high basal area (Figure 3f). The positive effect of recent temperature increase on SBAc was also particularly high in wet areas, turning to neutral in dry sites (Figure 4b). The positive effect of recent temperature increase was observed along the full length of the minimum temperature gradient and was particularly strong at low minimum

#### **Ecosystems**

Ruiz-Benito et al. 15

temperatures (Figure 4c). The negative effects of recent precipitation reductions on
SBAc increments were observed in both dry and wet areas, but the positive effects of
precipitation increase only occurred in wet areas (i.e. positive WAI, Figure 4d).

## **DISCUSSION**

The plot-based forest inventory information from Spain, Germany and Finland showed that in the late 20<sup>th</sup> century undisturbed European forests experienced a net increase in stand basal area, in agreement with previous studies (e.g. Ciais and others 2008; Bellassen and others 2011). These increments were particularly large in the temperate biome, turning to neutral or even negative in some areas of the Mediterranean and northern boreal forests. Patterns of stand basal area increase were highly influenced by stand structure (mean d.b.h. and stand basal area) and climate (water availability and minimum temperatures), but also by recent temperature and precipitation anomalies. The largest stand basal area changes (SBAc) occurred in relatively young forests or forests in early development stages (i.e. low mean d.b.h. and low-medium basal area) in mesic environments (i.e. not constrained by water or energy availability). Together, these results suggest that the carbon sink potential of European forests could be strongly constrained in water-limited Mediterranean forests, where the positive effects of recent climate warming may be offset by competition and climatic stress.

# 336 Patterns of stand basal area change and its components

All three biomes showed a net increase in stand basal area, in agreement with previous studies that have reported a general increase in biomass in the second half of the 20<sup>th</sup> century (Kauppi and others 1992; Ciais and others 2008; Bellassen and others 2011; Pan and others 2011). The positive correlation between stand basal area change (SBAc) and growth suggests that factors controlling tree growth, such as stand structure, climate and

recent climatic anomalies are fundamental drivers of SBAc (Gómez-Aparicio and others 2011; Vayreda and others 2012). However, we observed a negative correlation between SBAc and stand loss suggesting that stochastic mortality processes may have a key role in the future on aboveground productivity and forest structure, particularly under climate change (Allen and others 2010; Benito-Garzón and others 2013; Ruiz-Benito and others 2013). These results suggest that both growth and mortality could potentially affect species performance and future species distribution (Benito-Garzón and others 2013).

The temperate biome had the highest SBAc increments, which agrees with global analyses of the aboveground forest carbon sink (Pan and others 2013). The largest SBAc increments in temperate forest are probably due to increased tree growth in parts of the latitudinal gradient not strongly limited by temperature or water availability (e.g. Gerten and others 2008). It has been suggested that temperature controls tree growth in boreal forests, whereas moisture and water availability are key drivers in central and southern Europe (e.g. Vayreda and others 2012; Babst and others 2013). The highest mortality rates were observed in the Spanish part of the temperate biome, probably due to the fact that the Iberian Peninsula harbours the southern distribution limit of several widespread European species (Hewitt 2000; Hampe and Petit 2005). In high-density Iberian forests increased temperature and drought events have been related to tree mortality and forest decline (e.g. Carnicer and others 2011; Sánchez-Salguero and others 2012; Ruiz-Benito and others 2013), most likely due to an increase in tree density resulting from a reduction in management practices throughout the Iberian Peninsula (e.g. Madrigal 1998; Ruiz-Benito and others 2012). Moreover, most data from the Iberian Peninsula covers the early 21<sup>th</sup> century coinciding with the 

#### Ecosystems

severe drought of the 2000s (see Table S1), of which the effects on European forestprimary productivity have already been reported (Ciais and others 2005).

# 368 Structural and climatic factors determining stand basal area change

Mean d.b.h. was the variable with the highest overall effect on basal area change, followed by water availability and stand basal area (Table 2). Mean d.b.h. and stand basal area are both related to stand age, and reflect past disturbances (e.g. fire or logging history). Our results are consistent with other studies that found that structural variables are particularly important in driving biomass changes, and thus growth and mortality processes (e.g. Vilá-Cabrera and others 2011; Vayreda and others 2012). Stand age has been shown to be particularly important in the net ecosystem productivity of different forest types including boreal and temperate broadleaved forests (Magnani and others 2007). 

The high SBAc observed at medium stand basal area and low mean d.b.h. (see Figure 2 and supplementary Figure S1 and S2) suggests that European forests could be in competitive thinning stages and that they will continue to act as carbon sinks in the near future (Ciais and others 2008; Vayreda and others 2012). The form of the relationship between SBAc and stand basal area is similar to the well-known pattern for above-ground biomass increment, which often increases with stand basal area then levels off at higher population densities (e.g. Charru and others 2010; McMahon and others 2010). Our results agree with typical forest development, where relatively young stands accumulate carbon (i.e. in developing stages), but biomass increments start to decline when the stands are at high competitive levels (i.e. intermediate mean d.b.h. and high stand basal area, Coomes and Allen 2007). 

Ruiz-Benito et al. 18

Water availability had a strong, linear influence on SBAc (Table 2, Figure 3a,b), emphasising the central role that heat and water stress have in driving growth and mortality and, thus, are fundamental factors of carbon balance (Magnani and others 2007; Charruand others 2010). The positive effect of water availability on SBAc was particularly pronounced in relatively young forest (i.e. low mean d.b.h. and low stand basal area) and in hot areas (i.e. high minimum temperatures). Although differential sensitivity in tree growth and tree mortality with age have been reported, greater sensitivities have been found in either young trees (e.g. Suarez and others 2004; Vieira and others 2009) or older trees (possibly related to hydraulic limitation, see Carrer and Urbinati 2004). Our results suggest that relatively young forests or forests in developing stages are particularly sensitive to low water availability and temperature-related stress (see Coll and others 2013; Madrigal-González and Zavala 2014). 

The relationship between SBAc and the minimum temperature gradient reflects the large gradient covered from cold boreal to warm Mediterranean forests (see Figure 3c,d), which is a primary factor influencing tree species distributions (Woodward and Williams 1987). Moreover, we observed that minimum temperatures had a positive correlation with SBAc in forests with high mean d.b.h., low stand basal area or positive water availability (Figure 3c,d and Figure 4a, respectively). This result suggests that minimum temperature could be an important factor limiting primary productivity in northern European forests (i.e. WAI positive and minimum temperature lower than -8 °C, see supplementary Figure S1), but in southern dry forests water availability is the main constraint (Boisvenue and Running 2006).

# 411 Effect of recent temperature and precipitation anomalies on stand 412 basal area change

#### Ecosystems

Recent climate change has had a profound impact on SBAc. Increases in temperature and precipitation were associated with increased SBAc (Figure 3e-g), and although its effect was lower than those of stand structure or mean climate, we observed significant interactive effects (Fig 3,4). Vayreda and others (2012) found that recent shifts in climate had important effects on biomass growth in Spanish forests, and reported that this effect had less influence on growth than stand structure or spatial climatic variability. Sala and others (2012) have also suggested that productivity is more affected by spatial than temporal variation in climate.

The general positive effect of increased temperature on basal area increments observed in wet areas, agrees with other studies that have reported this effect when water is not a limiting factor (McMahon and others 2010; Vayreda and others 2012). Thus, warming could particularly enhance plant growth in boreal and temperate European forests because of increases in metabolic rates (Anderson and others 2006; Way and Oren, 2010) or longer growing seasons (Myneni and others 1991). In our study, the trend for increased SBAc with increasing recent temperatures was observed in relatively young forests, which are likely to be in a growth peak (Gómez-Aparicio and others 2011). Overall, these results suggest that the positive effects of warming on SBAc could vary greatly, depending on climate and stand structure. Thus stand basal area increments could potentially be neutralised in water-limited forests, such as those found in Mediterranean regions (see also Vayreda and others 2012), and in mature forests where growth is generally less than forests in competitive thinning stages if there is a slow filling of canopy gaps, or water or nutrient limitation (Coomes and others 2012). 

436 Although the effect of recent shifts in precipitation on SBAc was much smaller437 than the effect of increasing temperatures (Table 2), the relatively small SBAc in areas

Ruiz-Benito et al. 20

with reduced precipitation was maintained along the entire water availability gradient (Figure 4d), but was particularly important in wet areas (i.e. temperate and boreal biomes). This result suggests that although drought stress could cause reduced growth (Barber and others 2000; Silva and others 2010) rainfall shortage could also cause important decreases in productivity (Ciais and others 2005). This could be particularly severe in wet compared to dry areas, probably due to the poor adaptation of plants to water shortages in these regions (Vicente-Serrano and others 2013). Nevertheless, in dry sites, such as water-limited Mediterranean forests, temporal increases in precipitation correlated with increases in SBAc (Figure 4d). This result suggests that water-limited areas can be expected to respond to any increasing precipitation with large biomass increments (e.g. Knapp and Smith 2001; Gerten and others 2008). 

# 449 Implications for stand basal area change in European forests

This work provides support for the view that stand structure and climatic heterogeneity are critical drivers of stand basal area change. These drivers should be taken into account when determining the potential carbon sink or source of European forests over time across biomes, because limiting factors and possible trends may radically differ depending on climatic and structural conditions.

We observed a high net annual increment in recent stand basal area change of
0.43 m<sup>2</sup> ha<sup>-1</sup> yr<sup>-1</sup>, mainly due to stand basal area gains (c. 3.8%) and partially
constrained by stand basal area losses due to mortality (c. 0.06%, Table 1). A large
fraction of European forests are undergoing post-disturbance secondary succession
(including management practices)European forests are recovering from disturbances
and are undergoing management, which could be an explanation for the sink role
observed during the 1990s (e.g. Schimel and others 2001). Despite of the relatively high

increase in stand basal area in the period considered in this study, we observed a high variability in the response. Our results suggest that the changes in basal area are highly influenced by interactive effects between stand structure, climate and climate warming. The repeated inventory-based measures used in this study highlight the potential role of forests in accumulating biomass, but our results suggest that current stand structure (i.e. the relatively young age and high density of European forests) and the potential effects of spatial and temporal variations in climate could constrain biomass increases in the absence of disturbances or other management actions (e.g. fire or extensive management were not explicitly considered in this study). On the one hand, we observed that relatively young forests or forests in competitive thinning stages have a greater potential to act as aboveground carbon sinks than mature forest (e.g. Luyssaert and others 2010; Pan and others 2011), however large areas of European forests are increasing in density which may result in biomass increments levelling off (e.g. Charru and others 2010). In addition, the largest increments in stand basal area were observed in forests least limited by water or temperature, and the carbon sink role of European forests could be strongly modulated by climate change. Stand basal area change could be caused by either reduced forest growth or increased tree mortality, and thus may affect species distributions (Benito-Garzón and others 2013). Moreover, rapid climate warming may cause large-scale dieback in some forests (e.g. Allen and others 2010), increased mortality or reduced growth caused by interactions between climate and stand structure (e.g. Gómez-Aparicio and others 2011; Ruiz-Benito and others 2013). 

Limitations in water and/or energy availability are fundamental drivers constraining biomass increment (e.g. Boisvenue and Running 2006), as demonstrated by the fact that Mediterranean (dry areas limited by water availability) and northern boreal forests (limited by minimum temperature) had the lowest SBAc increments. Biomass

Ruiz-Benito et al. 22

increments in Mediterranean water-limited forests have been relatively less affected by recent climate warming compared to stands in temperate and boreal biomes (i.e. see reduced SBAc response to increased temperature, Fig. 4b). However, basal area accumulation due to the positive effects of climate warming is unlikely to continue at its current rate in regions where precipitation is declining and forests are ageing. Early signs of carbon sink saturation have been observed in European forests (Narbuurs and others 2013), congruent with our results because aboveground biomass increments are strongly dependent on current forest structure (see also Vayreda and others 2012). However, our results may overestimate the rate of aboveground basal area accumulation in European forests because we deliberately excluded harvested plots from our analyses, in which stand basal area could have dropped substantially. Overall, we suggest that forests in developing stages constitute an important short-term aboveground carbon sink, but these forests could be particularly vulnerable to climate stress and competition, especially in the water-limited Mediterranean region.

# 501 ACKNOWLEDGEMENTS

This research was supported by the CARBO-Extreme (FP7-ENV-2008-1-226701) and Leverhulme Trust project IN-2013-004. PRB was supported by a FPU fellowship (AP2008-01325). We thank A. Herrero for interesting discussion on earlier versions of this manuscript, the MAGRAMA for granting access to the Spanish Forest Inventory data, the Johann Heinrich von Thünen-Institut for making data from the first and second German National Forest Inventory available, and to the Finnish Forest Research Institute (METLA) for making permanent sample plot data from 1985-86 and from 1995 available. We also acknowledge access to UDel AirT Precip data provided by the NOAA/OAR/ESRL PSD, Boulder, Colorado, USA (http://www.esrl.noaa.gov/psd/). 

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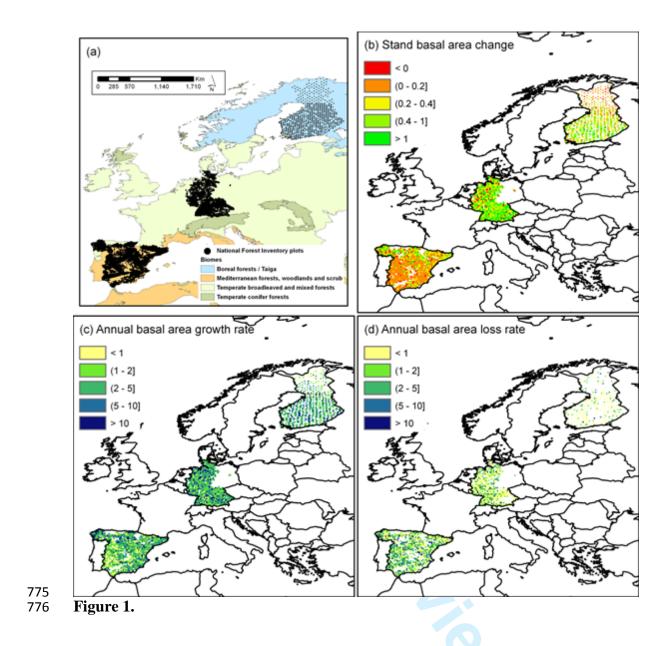
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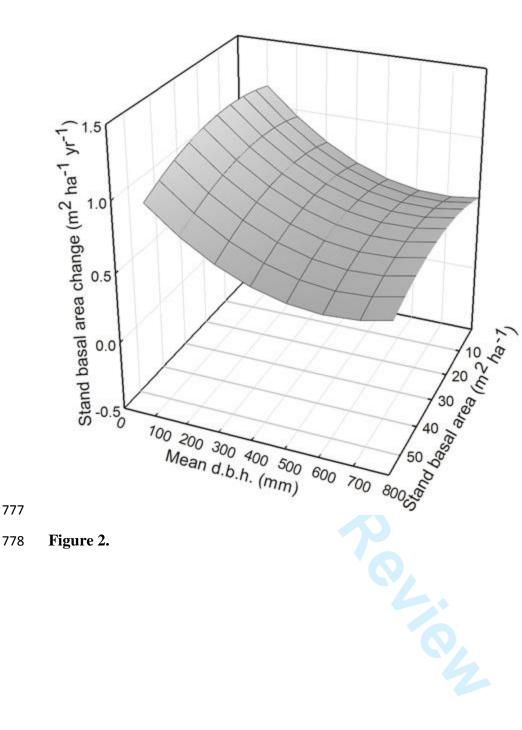
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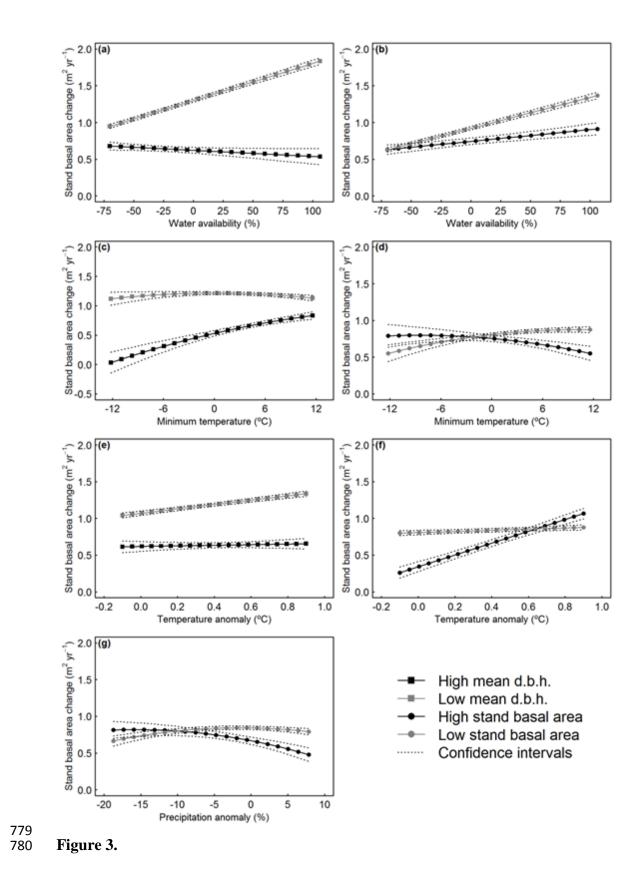
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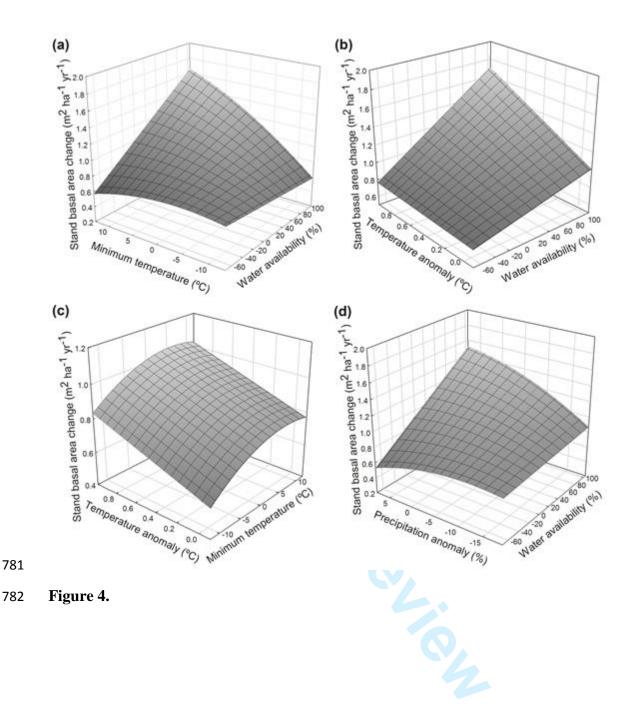
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Ruiz-Benito et al. 34



#### Ecosystems

# 783 FIGURE LEGENDS

**Figure 1.** Map of Spanish, German and Finnish NFI at a spatial resolution of 0.2 x 0.2 degrees: (a) the stands included in this study and the underlying biome distribution (Olson and others 2001), and the spatial distribution of (b) stand basal area change (SBAc,  $m^2 ha^{-1} yr^{-1}$ ), (c) annual basal area growth rate (SBA<sub>gain</sub>, % yr<sup>-1</sup>), (d) annual loss rate (SBA<sub>loss</sub>, % yr<sup>-1</sup>).

**Figure 2.** Predicted basal area change  $(m^2 ha^{-1} yr^{-1})$  by mean d.b.h. (mm) and stand basal area  $(m^2 ha^{-1})$ .

Figure 3. Predicted basal area change in relation to climatic variables in two combinations of mean d.b.h. and basal area. The predicted variation in basal area change ( $m^2$  ha<sup>-1</sup> yr<sup>-1</sup>, i.e. proxy of biomass change) and 95% confidence intervals were calculated for two combinations of mean d.b.h. (99 percentiles showing high and low d.b.h.) and stand basal area (99 percentiles showing high and low basal area) along: (a,b) water availability (%), (c,d) minimum temperatures, (e,f) temperature anomaly, and (g) precipitation anomaly. The effect of precipitation anomaly on stand basal area change is only shown for combinations of stand basal area, because the interaction between precipitation anomaly and mean d.b.h. did not support a substantial improvement in the model (see Table 2). 

Figure 4. Predicted basal area change against main interactions between climatic variables. Tridimensional plot showing the predicted effects on basal area change (m<sup>2</sup> ha<sup>-1</sup> yr<sup>-1</sup>) of the main interactions: (a) water availability  $\times$  minimum temperature, (b) water availability  $\times$  temperature anomaly, (c) minimum temperature  $\times$  temperature anomaly, and (d) water availability  $\times$  precipitation anomaly.

Ruiz-Benito et al. 36

	Mediterranean	Temperate	Boreal	All data
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$\begin{array}{cccccccccccccccccccccccccccccccccccc$				
(mm) $[115.00, 612.60]$ $[113.47, 572.81]$ $[106.83, 284.54]$ $[113.00, 591.92]$ WAI $-42.46 \pm 0.12$ $19.77 \pm 0.25$ $15.77 \pm 0.21$ $-18.67 \pm 0.19$ (%) $[-67.55, 6.15]$ $[-17.82, 94.48]$ $[0.55, 30.36]$ $[-65.86, 63.99]$ Tmin $5.45 \pm 0.17$ $1.3 \pm 0.24$ $-9.62 \pm 0.56$ $3.42 \pm 0.21$ (°C) $[0.90, 10.60]$ $[-3.00, 8.40]$ $[-14.60, -5.60]$ $[-8.70, 10.10]$ TA $0.57 \pm 0$ $0.32 \pm 0$ $0.1 \pm 0$ $0.46 \pm 0$ (°C) $[0.30, 0.90]$ $[0.00, 0.70]$ $[0.00, 0.30]$ $[0.00, 0.90]$ PA $-3.44 \pm 0.02$ $-1.74 \pm 0.03$ $3.73 \pm 0.07$ $-2.56 \pm 0.02$ (%) $[-9.38, 2.04]$ $[-7.69, 3.70]$ $[-2.00, 8.89]$ $[-8.33, 4.08]$ No. Plots $61.52\%$ $34.48\%$ $4.00\%$ $100.00\%$				
(%) $[-67.55, 6.15]$ $[-17.82, 94.48]$ $[0.55, 30.36]$ $[-65.86, 63.99]$ Tmin (°C) $5.45 \pm 0.17$ $[0.90, 10.60]$ $1.3 \pm 0.24$ $[-3.00, 8.40]$ $-9.62 \pm 0.56$ $[-14.60, -5.60]$ $3.42 \pm 0.21$ $[-8.70, 10.10]$ TA (°C) $0.57 \pm 0$ $[0.30, 0.90]$ $0.32 \pm 0$ $[0.00, 0.70]$ $0.1 \pm 0$ $[0.00, 0.30]$ $0.46 \pm 0$ $[0.00, 0.90]$ PA (%) $-3.44 \pm 0.02$ $[-9.38, 2.04]$ $-1.74 \pm 0.03$ $[-7.69, 3.70]$ $3.73 \pm 0.07$ $[-2.00, 8.89]$ $-2.56 \pm 0.02$ $[-8.33, 4.08]$ No. Plots (%) $61.52\%$ $34.48\%$ $4.00\%$ $100.00\%$				265.4 ± 0.66 [113.00, 591.92
(°C) $[0.90, 10.60]$ $[-3.00, 8.40]$ $[-14.60, -5.60]$ $[-8.70, 10.10]$ TA $0.57 \pm 0$ $0.32 \pm 0$ $0.1 \pm 0$ $0.46 \pm 0$ (°C) $[0.30, 0.90]$ $[0.00, 0.70]$ $[0.00, 0.30]$ $[0.00, 0.90]$ PA $-3.44 \pm 0.02$ $-1.74 \pm 0.03$ $3.73 \pm 0.07$ $-2.56 \pm 0.02$ (%) $[-9.38, 2.04]$ $[-7.69, 3.70]$ $[-2.00, 8.89]$ $[-8.33, 4.08]$ No. Plots $61.52\%$ $34.48\%$ $4.00\%$ $100.00\%$				$-18.67 \pm 0.19$ [-65.86, 63.99]
(°C) $[0.30, 0.90]$ $[0.00, 0.70]$ $[0.00, 0.30]$ $[0.00, 0.90]$ PA $-3.44 \pm 0.02$ $-1.74 \pm 0.03$ $3.73 \pm 0.07$ $-2.56 \pm 0.02$ (%) $[-9.38, 2.04]$ $[-7.69, 3.70]$ $[-2.00, 8.89]$ $[-8.33, 4.08]$ No. Plots $61.52\%$ $34.48\%$ $4.00\%$ $100.00\%$				$\begin{array}{c} 3.42 \pm 0.21 \\ [-8.70, 10.10] \end{array}$
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**Table 1.** Summary statistics of the inventory plots.

**Table 2.** Alternative models of stand basal area change.

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810	<b>Table 3.</b> Parameters of the final model of stand basal area change.
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	Parameter	Mean	SE	LCI	UCI
Intercept	$\beta_1$	0.9142	0.0102	0.8960	0.9370
BA	$\beta_2$	0.0424	0.0042	0.0348	0.0498
$BA^2$	$\beta_3$	-0.0265	0.0020	-0.0302	-0.0229
d <sub>m</sub>	$\beta_4$	-0.1983	0.0035	-0.2055	-0.1911
$d_m^2$	$\beta_5$	0.0327	0.0013	0.0296	0.0356
WAI	β <sub>6</sub>	0.1371	0.0037	0.1300	0.1442
Tmin	$\beta_7$	0.0161	0.0054	0.0064	0.0288
Tmin <sup>2</sup>	<u>β</u> 8	-0.0115	0.0035	-0.0183	-0.0043
ТА	β9	0.0505	0.0040	0.0419	0.0603
PA	$\beta_{10}$	-0.0090	0.0034	-0.0156	-0.002
$PA^2$	β <sub>11</sub>	-0.0075	0.0014	-0.0106	-0.0047
SP	<u>β<sub>12</sub></u>	-0.5614	0.0122	-0.5895	-0.5396
FI	β <sub>13</sub>	-0.5288	0.0371	-0.5965	-0.447
$BA \times d_m$	$\beta_{14}$	0.0065	0.0029	0.0009	0.0123
WAI × Tmin	$\beta_{15}$	0.0435	0.0042	0.0368	0.0517
$BA \times WAI$	$\beta_{16}$	-0.0209	0.0034	-0.0279	-0.0131
$BA \times Tmin$	$\beta_{17}$	-0.0215	0.0043	-0.0287	-0.0137
$BA \times TA$	$\beta_{18}$	0.0336	0.0034	0.0273	0.0409
$BA \times PA$	β <sub>19</sub>	-0.0130	0.0031	-0.0185	-0.0063
$d_m \times WAI$	$\beta_{20}$	-0.0431	0.0039	-0.0506	-0.0366
$d_m \times Tmin$	$\beta_{21}$	0.0277	0.0040	0.0195	0.0349
$d_m \times TA$	$\beta_{22}$	-0.0107	0.0036	-0.0182	-0.0038
$d_m \times PA$	$\beta_{23}$	-0.0031	0.0027	-0.0096	0.0021
WAI × TA	$\beta_{24}$	0.0263	0.0050	0.0143	0.0366
$WAI \times PA$	$\beta_{25}$	0.0249	0.0040	0.0168	0.0319
Tmin× TA	β <sub>26</sub>	0.0215	0.0049	0.0112	0.0309

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# 812 TABLES LEGENDS

**Table 1.** Summary statistics of the inventory plots.

Mean, standard error and 95% percentiles [min., max.] of stand basal area change (SBAc, m<sup>2</sup> ha<sup>-1</sup> yr<sup>-1</sup>), basal area growth rate (SBA<sub>gain</sub>, % yr<sup>-1</sup>), basal area loss rate (SBA<sub>loss</sub>, % yr<sup>-1</sup>), stand basal area (BA, m<sup>2</sup> ha<sup>-1</sup>), mean d.b.h. (d<sub>m</sub>, mm), water availability (WAI, %), minimum temperature (Tmin, °C), temperature anomaly (TA, °C) and precipitation anomaly (PA, %). Percentage of plots in boreal, temperate, Mediterranean biomes is also shown.

**Table 2.** Alternative models of stand basal area change.

Comparisons of alternate models of stand basal area change (m<sup>2</sup> ha<sup>-1</sup> yr<sup>-1</sup>) based on Bayesian Information Criterion (BIC): (a) to test main effects including pair-wise interactions between explanatory variables (Main and interaction effect models, i.e. ignore the effect of each predictor variable and the interactions where the variable is involved), (b) to test main effects without include pair-wise interactions between explanatory variables (Main effect models, i.e. ignore the effect of each predictor variable without considering any interaction), and (c) to test only the individual effect of the interactions ( Interactions effect models). The full models include the effects of mean d.b.h., stand basal area, minimum temperature, temperature anomaly, and precipitation anomaly. The best fitting model is given in  $\Delta BIC$  value of zero (bold), comparing the full model with models dropping the effect of the predictor variables considering the main effects and/or the interactions. Thus, the alternate models ignore the effects ('No') of: (a) main effects of the predictor variables and the interactions where the variable is involved, (b) main effects of the predictor variables without interactions or (c) interactions. 

**Table 3.** Parameters of the final model of stand basal area change.

Ruiz-Benito et al. 40

837 Mean estimated parameters (Parameter), standard error (SE) and lower and upper 95%

838 confidence intervals (LCI and UCI, respectively) of the final model of basal area change

839 (see Eq. (1)).

to peer Review

Ruiz-Benito P, Madrigal-González J, Ratcliffe S, Coomes DA, Kändler G, Lehtonen A, Wirth C, Zavala MA. Stand structure and recent climate change constrain stand basal area change in European forests: a comparison across boreal, temperate and Mediterranean biomes

C SUPPLE

APPENDIX 1. DESCRIPTION OF NATIONAL FOREST INVENTORIES OF SPAIN, GERMANY AND FINLAND

#### SPANISH NATIONAL FOREST INVENTORY

We used information from the second and third Spanish NFI (surveyed in the periods 1986-1996 and 1997-2007, respectively). The Spanish NFI plots are located on a 1 km<sup>2</sup> grid aver forested regions (Villaescusa and Díaz 1998; Villanueva 2004). The time interval between surveys ranged from 6 to 13 years (mean 11.1  $\pm$  0.9 years). Spanish NFI plots were sampled using a v

ariable radius technique with four concentric circular subplots of radius 5, 10, 15 and 25 m. Within each subplot, trees were included in the sample according to their diameter at breast height (d.b.h.), with trees of 7.5-12.4 cm measured in the 5 m radius subplot, those of 12.5-22.4 cm in the 10 m radius subplot, those of 22.5-42.4 cm in the 15 m radius subplot, and those with d.b.h. larger or equal to 42.5 cm in the 25 m radius subplot.

## GERMAN NATIONAL FOREST INVENTORY

We used information from the first and second German NFI. The German NFI uses a systematic grid of clusters, sampled in the periods 1986-1990 and 2001-2002 respectively. The size of the sample grid is 4 by 4 km, however, it is reduced in some federal states to either 2.83 by 2.83 km or 2 by 2 km. Each cluster is a quadrangle of 150 m in length with a sample plot on each corner. Trees with a d.b.h. of 10 cm or more in the first inventory and 7 cm in the second were selected by the angle-count method with a basal area factor (BAF) of 4 ( $m^2$  ha<sup>-1</sup>) if they are alive or recently dead.

### FINNISH NATIONAL FOREST INVENTORY

### Ecosystems

We used data from the permanent sample plots of the Finnish NFI from two consecutive surveys sampled in the periods 1985-1986 and 1995 (subset NFI8). This permanent sample plot data has a systematic grid of plot clusters in forested areas (Mäkipää and Heikkinen 2003). In Southern Finland the grid is 16 by 16 square km, with four plots in each cluster at 400 m. intervals, while in Northern Finland the grid is a 24 by 32 km rectangle with three plots per cluster, at 600 m. intervals. These permanent sample plot data were sampled using a variable radius technique with two concentric circular subplots of radius 5.64 m for trees under 10.5 cm d.b.h. and 9.77 m for trees of d.b.h.

10.5 cm or higher.

## REFERENCES

Mäkipää R, Heikkinen J. 2003. Large-scale changes in abundance of terricolous bryophytes and macrolichens in Finland. Journal of Vegetation Science 14: 497–508.

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Villanueva JA, Ed. 2004. Tercer Inventario Forestal Nacional (1997-2007). Comunidad de Madrid. Madrid: Ed. Ministerio de Medio Ambiente.

**APPENDIX 2.** FURTHER DETAILS REGARDING SELECTION OF CLIMATIC VARIABLES.

Each of the NFI plots was characterized by 22 climatic variables from WorldClim (Hijmans and others 2005) and CGIAR-CSI GeoPortal, using CGIAR-CSI Global-Aridity and Global-PET Database (Zomer and others 2007; 2008). The relationship between the initial set of highly correlated climatic variables (see Table S2) was explored using Principal Component Analysis in R (R Development Core Team, 2012). The first axis of the PCA (explaining 54% of the variance) was strongly and positively correlated with potential water availability and negatively correlated with potential evapotranspiration. The second axis (explaining 24% of the variance) was strongly correlated with mean temperature of the coldest quarter (°C) and temperature seasonality (°C). To select which indicator of climate performed better we compared single-predictor models using quadratic functional forms which individually used water availability, potential evapotranspiration, minimum temperature and temperature seasonality as predictors of stand basal area change. The best predictors of climate (according to Bayesian Information Criteria, BIC) were water availability and minimum temperatures and were retained for our modeling analysis (Table S2.1).

**Table S2.1.** Comparison of stand basal area change models based on BIC parameterized variables that could be used as representative of climate. Predictor variables are WAI (water availability), PET (potential evapotranspiration), Tmin (minimum temperatures) and TS (Temperature seasonality). Number of parameter (NP), Bayesian Information Criterion (BIC) and  $\Delta$ BIC are also shown.

Predictor	NP	BIC	ΔΒΙC
WAI	3	66873	0
PET	3	67365	492
Predictor	NP	BIC	ΔΒΙϹ
I I CUICIOI	TAT	DIC	
Tmin	3	69166	0

REFERENCES

Hijmans RJ, Cameron SE, Parra JL, Jones PG, Jarvis A. 2005. Very high resolution interpolated climate surfaces for global land areas. International Journal of Climatology 25: 1965-1978.

R Development Core Team. 2012. R: a language and environment for statistical computing. Vienna: R Foundation for Statistical Computing. <u>www.r-project.org</u>.

Zomer R, Bossio D, Trabucco A, Yuanjie L, Gupta D, Singh V. 2007. Trees and water: smallholder agroforestry on irrigated lands in Northern India. Colombo: International Water Management Institute.

Zomer RJ, Trabucco A, Bossio DA, Verchot LV. 2008. Climate change mitigation: A spatial analysis of global land suitability for clean development mechanism afforestation and reforestation. Agriculture, Ecosystems & Environment 126: 67-80.

TABLE S1. Main characteristics of the plot and sampling design from the three National

Forest Inventories used in this study (see more details in Appendix S1).

	Finland	Germany	Spain
Survey dates	1985/86 - 1995	1986/90 - 2001/02	1986/96 - 1997/2007
Sample plot design	Cluster design, number and grid size depend on location. Mostly 6 x 6 km and 7 x 7 km grid. 250 or 300 m between plots in a cluster. 10, 11 or 14 plots in a cluster	Cluster design, 4 subplots. Grid size depends on region. Standard grid size is 4 by 4 km	1 by 1 km grid of singl sample plots
Sample tree survey design	Variable radius	Angle-count	Variable radius
Plot size (m <sup>2</sup> )	100, 300	Variable, Basal Area Factor (BAF) 4 m <sup>2</sup> ha <sup>-1</sup>	79, 315, 707, 1964
Minimum tree d.b.h. (cm)	1	10, 7	7.5
No. plots included in study (percentage)	(4.00%)	(34.48%)	(61.52%)

## Ecosystems

**TABLE S2.** List of initial set of 22 climatic predictors of stand basal area change available from WorldClim (Hijmansand others 2005) andCGIAR-CSI GeoPortal, using CGIAR-CSI Global-Aridity and Global-PET Database (Zomer and others 2007; 2008).

CODE	VARIABLE	UNITS	DEFINITION
BIO1	Annual mean temperature	°C	The mean of all the weekly mean temperatures
BIO2	Mean diurnal range	°C	The mean of all the weekly diurnal temperature ranges
BIO3	Isothermality	%	The mean diurnal range divided by the annual temperature range
BIO4	Temperature seasonality	°C	Standard deviation *100
BIO5	Max temperature of warmest month	°C	Highest temperature of any weekly maximum temperature.
BIO6	Min temperature of coldest month	°C	Lowest temperature of any weekly minimum temperature.
BIO7	Temperature annual range	°C	Difference between BIO5 and BIO6

CODE	VARIABLE	UNITS	DEFINITION
BIO8	Mean temperature of wettest quarter	°C	The wettest quarter of the year is determined (to the nearest week), and the mean temperature of this period is calculated.
BIO9	Mean temperature of driest quarter	°C	The driest quarter of the year is determined (to the nearest week), and the mean temperature of this period is calculated.
BIO10	Mean temperature of warmest quarter	°C	The warmest quarter of the year is determined (to the nearest week), and the mean temperature of this period is calculated.
BIO11	Mean temperature of coldest quarter	°C	The coldest quarter of the year is determined (to the nearest week), and the mean temperature of this period is calculated.
BIO12	Annual precipitation	mm	The sum of all the monthly precipitation estimates.
BIO13	Precipitation of wettest month	mm	The precipitation of the wettest week or month, depending on the time step.
BIO14	Precipitation of driest month	mm	The precipitation of the driest week or month, depending on the time step.
BIO15	Precipitation seasonality (coefficient of variation)	mm	The coefficient of variation is the standard deviation of the weekly precipitation estimates expressed as a percentage of the mean of those estimates (i.e. the annual mean).
BIO16	Precipitation of wettest quarter	mm	The wettest quarter of the year is determined (to the nearest week), and the total precipitation over this period is calculated.

# Page 49 of 56

## Ecosystems

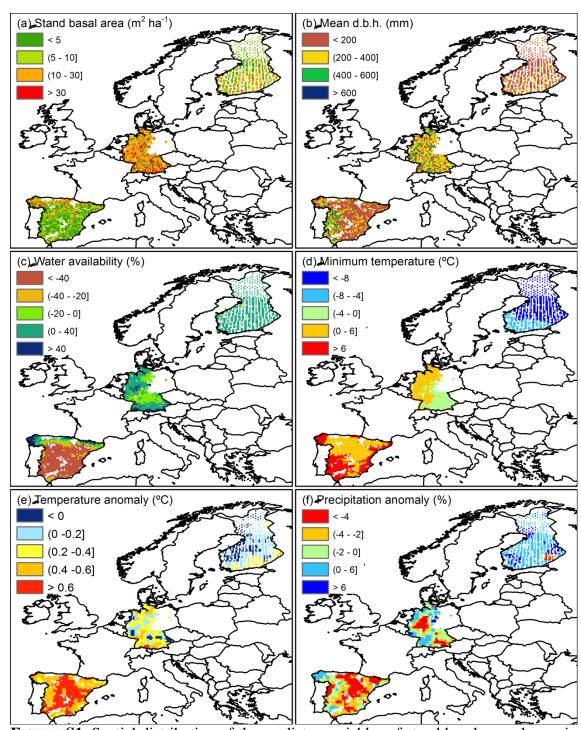
CODE	VARIABLE	UNITS	DEFINITION
BIO17	Precipitation of driest quarter	mm	The driest quarter of the year is determined (to the nearest week), and the tota precipitation over this period is calculated.
BIO18	Precipitation of warmest quarter	mm	Warmest quarter of the year is determined (to the nearest week), and the total precipitation over this period is calculated.
BIO19	Precipitation of coldest quarter	mm	The coldest quarter of the year is determined (to the nearest week), and the total precipitation over this period is calculated.
Aridity	Global potential aridity	adimensional	Quantify precipitation availability over atmospheric water demand using the ratio between mean annual precipitation and PET
WAI	Water availability index	%	Difference between precipitation and evapotranspiration relative to evapotranspiration (%)
PET	Global potential evapotranspiration	mm	$PET = 0.0023 \times RA \times (Tmean + 17.8) \times TD0.5$ , where <i>Tmean</i> is monthly temperature, <i>RA</i> is extra-terrestrial radiation and <i>TD</i> is temperature range.
			8

**TABLE S3.** Mean, standard error and 95% percentiles [min., max.] for each country of the NFIs used in this study (Spain, Germany, and Finland) for: stand basal area change (SBAc, m<sup>2</sup> ha<sup>-1</sup> yr<sup>-1</sup>), basal area growth rate (SBA<sub>gain</sub>, % yr<sup>-1</sup>), basal area loss rate (SBA<sub>loss</sub>, % yr<sup>-1</sup>), stand basal area (BA, m<sup>2</sup> ha<sup>-1</sup>), mean d.b.h. (d<sub>m</sub>, mm), water availability (WAI, %), minimum temperature (Tmin, °C), absolute temperature anomaly (TA, °C) and relative precipitation anomaly (PA, %).

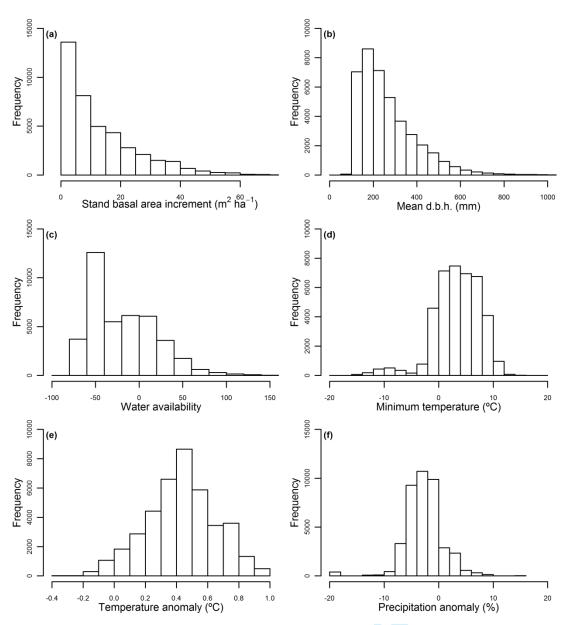
	Spain	Germany	Finland
SBAc	$0.31\pm0.003$	$0.83\pm0.008$	$0.47\pm0.009$
$(m^2 ha^{-1} yr^{-1})$	[-0.29, 1.53]	[-0.31, 2.57]	[-0.05, 1.31]
$SBA_{gain}$	$3.56 \pm 0.023$	$4.64\pm0.054$	$4.31\pm0.091$
$(\% yr^{-1})$	[0.38, 14.29]	[0.86, 19.55]	[0.60, 13.96]
<b>SBA</b> <sub>loss</sub>	0.7 ± 0.012	$0.35\pm0.014$	$0.21\pm0.021$
$(\% \text{ yr}^{-1})$	[0.00, 6.86]	[0.00, 3.66]	[0.00, 2.08]
BA	$10.21 \pm 0.06$	$24.57 \pm 0.16$	$10.07\pm0.21$
$(m^2 ha^{-1})$	[0.62, 37.57]	[4.00, 56.46]	[0.34, 29.87]
d <sub>m</sub>	$261.84 \pm 0.77$	$296.11 \pm 1.37$	$165.31 \pm 1.19$
(mm)	[116.00, 601.15]	[111.45, 581.65]	[106.98, 285.13]
WAI	$-30.72 \pm 0.19$	$15.97 \pm 0.3$	$15.69 \pm 0.21$
(%)	[-66.84, 54.56]	[-18.03, 90.71]	[0.55, 30.36]
	[]	[]	[0.00,00000]
	5 10 . 0 16	0.16 0.12	0.50 . 0.57
Tmin	$5.18 \pm 0.16$ [-0.10, 10.50]	$-0.16 \pm 0.13$ [-3.20, 2.00]	$-9.59 \pm 0.57$ [-14.60, -5.40]
(°C)	[-0.10, 10.50]	[-3.20, 2.00]	[-14.00, -3.40]
TA (°C)	$0.55 \pm 0.00$ [0.30, 0.90]	$0.23 \pm 0.00$ [-0.10, 0.50]	$0.1 \pm 0.00$ [0.00, 0.30]
	[0.50, 0.90]	[-0.10, 0.30]	[0.00, 0.50]
РА	2.52	0.40.0.00	2.52 0.05
(%)	$-3.52 \pm 0.02$ [-9.09, 1.96]	$-0.48 \pm 0.03$ [-6.67, 4.76]	$3.73 \pm 0.07$ [-2.00, 8.89]
	[-9.09, 1.90]	[-0.07, 4.70]	[-2.00, 8.89]

**TABLE S4.** BIC comparisons of stand basal area change models fitted with non-linear terms or with linear terms for each predictor variable. The full model with non-linear terms included the quadratic term of stand basal area (BA), mean d.b.h. (d<sub>m</sub>), water availability (WAI), minimum temperature (Tmin) and precipitation anomaly (PA); and the exponential form for temperature anomaly (TA).

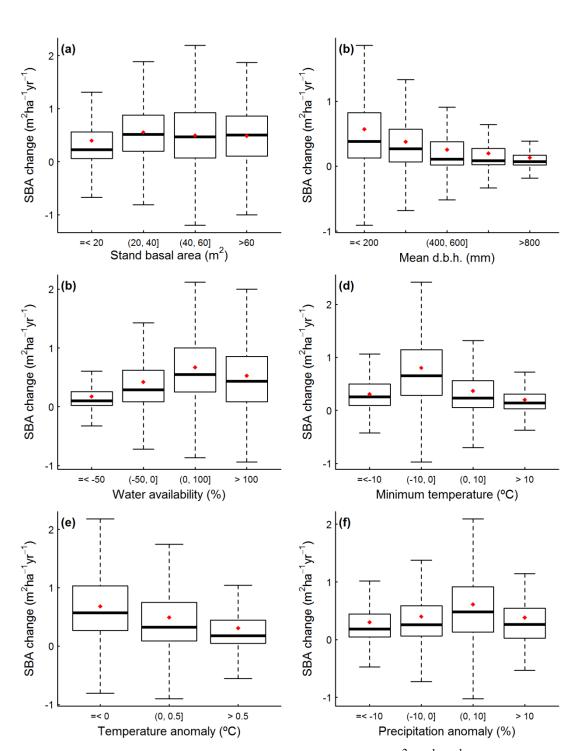
<b>REML</b> = <b>FALSE</b>	BIC	∆BIC
WAI linear	57937	0
TA linear	58977	1040
Full model	<u>58988</u>	1051
PA linear	58981	1044
BA linear	58993	1056
Tmin linear	59259	1322
d <sub>m</sub> linear	59591	1654



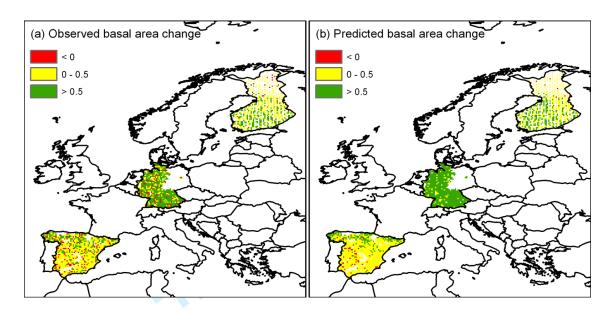
**FIGURE S1.** Spatial distribution of the predictor variables of stand basal area change in the NFIs included in the study: (a) stand basal area ( $m^2 ha^{-1}$ ), (b) mean d.b.h. (mm), (c) water availability (%), (d) minimum temperature (°C), (e) absolute temperature anomaly (°C), and (f) relative precipitation anomaly (%) in the Spanish, German and Finish NFIs at a spatial resolution of 0.2 x 0.2 degrees.



**FIGURE S2.** Histograms of the predictor variables of stand basal area change: (a) stand basal area (m<sup>2</sup> ha<sup>-1</sup>), (b) mean d.b.h. (mm), (c) water availability (%), (d) minimum temperature (°C), (e) temperature anomaly (°C), and (f) precipitation anomaly (%) in the Spanish, German and Finish NFIs

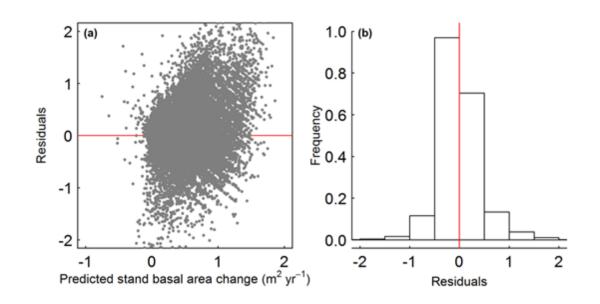


**FIGURE S3.** Box-whisker plots of stand basal area change  $(m^2 ha^{-1} yr^{-1})$  along (a) stand basal area  $(m^2 ha^{-1})$ , (b) mean d.b.h. (mm), (c) water availability (%), (d) minimum temperature (°C), (e) absolute temperature anomaly (°C) and (f) relative precipitation anomaly (%).



**FIGURE S4.** Spatial distribution of (a) observed stand basal area change (m<sup>2</sup> ha<sup>-1</sup> yr<sup>-1</sup>); and (b) predicted stand basal area change (m<sup>2</sup> ha<sup>-1</sup> yr<sup>-1</sup>) in the Spanish, German and Finnish NFIs at a spatial resolution of  $0.2 \times 0.2$  degrees, showing a correlation of 0.9.





**FIGURE S5.** Scatterplot of residual versus predicted stand basal area change ((a),  $m^2$  ha<sup>-1</sup> yr<sup>-1</sup>) and histogram of the residuals (b) for the best supported model (see Eqn. 1 and parameter values in Table 3).



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