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1 Biological Evaluation of Water Soluble Arene
2 Ru(II) Enantiomers with Amino-Oxime
3 Ligands

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1 ABSTRACT

2 New water soluble, enantiopure arene ruthenium compound $S_{Ru}S_N-(1R,4S)-[(\eta^6-p-$
3 $cymene)Ru\{\kappa NH(Bn),\kappa NOH\}Cl]Cl$ (Bn = benzyl, **1a'**) has been synthesized. The novel
4 compound along with that previously described $R_{Ru}R_N-(1S,4R)-[(\eta^6-p-$
5 $cymene)Ru\{\kappa NH(Bn),\kappa NOH\}Cl]Cl$ (**1a**) was evaluated by polarimetry, ultra-violet and
6 circular dichroism spectroscopy. The structure of novel ruthenium derivative **1a'** was
7 determined by single crystal X-ray crystallography. Both enantiomers have been tested
8 against several cancer cell lines *in vitro*: prostate PC-3, lung A-549, pancreas MIA
9 PaCa-2, colorectal HCT-116, leukemia Jurkat and cervical HeLa. Both enantiomers are
10 active and versatile cytotoxic agents, showing IC_{50} values from 2 to 12 times lower than
11 those found for cisplatin in the different cell lines evaluated. The mechanism of cell
12 death induced by the metal compounds was analyzed in A-549 and Jurkat cell lines.
13 Derivatives **1a** and **1a'** induced apoptotic cell death of A-549 cells while dose-
14 dependent cell death mechanisms have been found in the Jurkat cell line. Compound-
15 DNA interactions have been investigated by equilibrium dialysis, Fluorescence
16 Resonance Energy Transfer (FRET) melting assays and viscometric titrations,
17 revealing moderate binding affinity of **1a** and **1a'** towards duplex DNA. Finally, the
18 efficacy of **1a** in a preliminary *in vivo* assay of PC-3 xenografts in nude mice has been
19 evaluated, resulting in a promising inhibition of tumor growth by 45%. Analysis of
20 tumor tissue also showed a significant decrease of levels of crucial molecules in the
21 invasive phenotype of PC-3 cells.

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1 1. Introduction

2 Although cisplatin and its derivatives are widely used in the clinic, platinum-based
3 compounds possess various problems as anticancer drugs such as high levels of in vivo
4 toxicity, drug resistance and poor aqueous solubility [1]. The development of anti-
5 cancer metal drug candidates to overcome those disadvantages has produced a plethora
6 of possible chemotherapeutics [2-9]. Ruthenium compounds are among the most
7 promising candidates with currently KP1339 (sodium *trans*-[tetrachloridobis(1H-
8 indazole)-ruthenate(III)]) and NAMI-A (imidazolium *trans*-
9 [tetrachloridobis(dimethylsulfoxide)(1H-imidazole)-ruthenate(III)]) having entered
10 clinical trials [8,10-13]. Another promising class of antitumor ruthenium-based
11 compounds are the arene ruthenium RAPTA derivatives, with RAPTA-C ($[(\eta^6$ -
12 cymene)Ru(pta)Cl₂], pta = 1,3,5-triaza-7-phosphatricyclo[3.3.1]decane) in advanced
13 pre-clinical studies [14,15].

14 The effect of stereochemistry on biological activity is of great importance in
15 medicinal chemistry, as many of the biological targets are chiral [16-20]. About more
16 than half of the drugs currently in clinical use are chiral compounds, marketed as
17 racemates or as single enantiomers. The anticancer properties of chiral metal derivatives
18 have been largely explored [21-36], but the role of the stereochemistry in the biological
19 activity of non-platinum based compounds has been less investigated [18-20,37-48].
20 From those enantiomers isolated and studied, different antitumor activities by factors of
21 2-6 have been found for ruthenium [19,37,41,44,48], osmium [20], and titanium
22 [17,40,45,46] compounds. Thus, Manna et al [17] proposed that stereochemistry should
23 be considered in the design, modification, and improvement of active compounds.

24 Oxime groups offer significant advantages for biological application. They possess
25 stronger hydrogen-bonding abilities than alcohols or carboxylic acids and thus, they can

1 favor solubility of the resulting compounds in biological media [49]. In addition, some
2 oxime organic derivatives have been reported to have anticancer properties [50,51].
3 Oxime-containing Pt(II), Rh(III), Ir(III) and Ru(II) compounds with antitumoral
4 properties reported to date have shown strong anticancer activities whereas the
5 compounds do not interact with DNA in a similar way to cisplatin [52-57]. This fact
6 opens the gate to the discovering of therapeutic anticancer drugs with different
7 mechanisms of action than those of cisplatin and derivatives [58,59].

8 Studies of enantiopure arene ruthenium anticancer derivatives are scarce [19,20,48],
9 probably due to the difficult isolation of unique stereoisomers of such organometallic
10 compounds [22,60]. Recently, a Noyori-like arene Ru(II) catalyst have shown a broad
11 range of potent anticancer activities [48]. Other enantiopure, chiral-at-metal arene group
12 8 compounds that have demonstrated high cytotoxic profiles are the Os(II)
13 iminopyridine halide enantiomers of general formula [$\{(\eta^6\text{-}p\text{-cymene})\text{Os}(\text{ImpyMe})\text{I}\}\text{PF}_6$
14 ($\text{ImpyMe} = \text{N}-(2\text{-pyridylmethylene})\text{-1-phenylethylamine}$) [20]. Optically active RAPTA
15 analogues have been also studied showing good cytotoxic potency against human
16 ovarian carcinoma A-278 cells [19].

17 We have recently reported an optically active *p*-cymene ruthenium(II) compound with
18 an amino-oxime ligand derived from *R*-limonene, of formula $R_{\text{Ru}}R_{\text{N}}\text{-}(1S,4R)\text{-}[(\eta^6\text{-}p\text{-}$
19 $\text{cymene})\text{Ru}\{\kappa\text{NH}(\text{Bn}),\kappa\text{NOH}\}\text{Cl}\text{]Cl}$ (Bn = benzyl, **1a**) (Fig. 1), which possess relevant
20 antitumor properties. Our compound shows high solubility in water and significant
21 effects on cytotoxicity, cell adhesion to collagen and migration of androgen-
22 independent prostate PC-3 cancer cells while it does not seem to exhibit strong
23 interactions with plasmid DNA by electrophoretic mobility shift assays and Calf
24 Thymus (CT) DNA thermal denaturing experiments [61].

25 FIGURE 1

1 Encouraged by our previous results, we decided to explore the reactions of $[(\eta^6\text{-}p\text{-}$
2 $\text{cymene})\text{RuCl}_2]_2$ with the amino-oxime chiral organic compound $(1R,4S)\text{-}$
3 $\{\text{NOH},(\text{Bn})\text{NH}\}$ (**a'**, see Fig. 2) [62,63], derived from *S*-limonene. This naturally
4 occurring terpene is an inexpensive starting reagent, commercially available in an
5 optically pure form and easily tailored by stereoselective functionalization [64,65].

6 We report here on the synthesis and characterization of the novel Ru(II) enantiomer
7 $S_{\text{Ru}}S_{\text{N}}\text{-}(1R,4S)\text{-}[(\eta^6\text{-}p\text{-cymene})\text{Ru}\{\kappa\text{NH}(\text{Bn}),\kappa\text{NOH}\}\text{Cl}]\text{Cl}$ (**1a'**). The new compound
8 along with that previously described, **1a**, have been evaluated against several cancer cell
9 lines *in vitro*: prostate PC-3, lung A-549, pancreas MIA PaCa-2, colorectal HCT-116,
10 leukemia Jurkat and cervical HeLa. The mechanism of cell death induced by these
11 ruthenium complexes was analyzed in A-549 and Jurkat cell lines. DNA interactions of
12 both enantiomers have been investigated by Fluorescence Resonance Energy Transfer
13 (FRET) melting assays, dialysis and viscometric titrations experiments. Additionally,
14 we describe the efficacy of **1a** in an *in vivo* evaluation of PC-3 xenografts in nude mice.

15

16 **2. Experimental Section**

17 2.1. Chemicals and synthesis

18 Synthesis of ruthenium complexes **1a** and **1a'** were performed without exclusion of
19 moisture or air. Solvents were dried by known procedures and used freshly distilled.
20 $(1S,4R)\text{-}$, $(1R,4S)\text{-}[\text{NH}(\text{Bn}),\text{NOH}]$ (**a**, **a'**) and corresponding adducts $(1S,4R)\text{-}$, $(1R,4S)\text{-}$
21 $[\text{NH}(\text{Bn})\cdot\text{HCl},\text{NOH}]$ (**a**·**HCl**, **a'**·**HCl**) were prepared according to previous reports
22 [62,63,66,67]. *R*- or *S*-limonene and isopentyl nitrite were reacted following the
23 standard method described by Carman et al in 1977 [66]. *R*-limonene, *S*-limonene, $[(\eta^6\text{-}$
24 $p\text{-cymene})\text{RuCl}_2]_2$, and cisplatin (*cis*- $[\text{PtCl}_2(\text{NH}_3)_2]$) were purchased from Sigma-
25 Aldrich. Commercially available reagents were used without further purification.

1 Nuclear Magnetic Resonance (NMR) spectra were recorded on a Bruker 400
2 Ultrashield. ^1H and ^{13}C chemical shifts are reported relative to tetramethylsilane. ^{15}N
3 chemical shifts are reported relative to liquid ammonia (25 °C). Coupling constants J are
4 given in Hertz. Elemental analysis was performed on a LECO CHNS 932 Analyzer at
5 the Universidad de Alcalá or, alternatively, at the Universidad Autónoma de Madrid.
6 Infrared (IR) spectra were recorded on IR Fourier Transform (FT) Perkin Elmer
7 (Spectrum 2000) spectrophotometer on KBr pellets. The pH was measured in a
8 HANNA HI208 pHmeter in distilled water solutions. Circular Dichroism (CD) spectra
9 were recorded on a J-715 CD spectropolarimeter (Jasco, UK) at ambient temperature
10 (297 K). The spectra were determined at a concentration of 0.5 mM in water using a
11 quartz cuvette of 0.5 cm path length, scan speed of $20\text{ nm}\cdot\text{min}^{-1}$, 0.1 nm band width, 0.5
12 nm data pitch and 0.5 s of response time. Optical rotations of all the compounds
13 solutions were recorded on a Perkin Elmer 341 polarimeter, using the sodium D line
14 (589 nm) at ambient temperature (297 K) in a quartz cell of 1 dm path length. Specific
15 optical rotation values were calculated according to the equation $[\alpha]_D^{24} = 100\cdot\alpha_{\text{obs}}/l\cdot c$
16 [68]. Analytical balance and volumetric pipettes (2.0 mL) were used to prepare CHCl_3
17 solutions of the compounds at concentrations within a range of $7.50\text{-}7.80\text{ g}\cdot\text{mL}^{-1}$. Ultra-
18 violet visible (UV-vis) spectra were measured at room temperature on water solutions of
19 the compounds with a Perkin Elmer Lambda 35 spectrophotometer.

20 2.1.1. $S_{\text{Ru}}S_{\text{N}}\text{-}(1R,4S)\text{-}[(\eta^6\text{-}p\text{-cymene})\text{Ru}\{\text{kNH}(\text{Bn}),\text{kNOH}\}\text{Cl}\}\text{Cl}$ (**1a'**). An analogous
21 procedure to that described before for the synthesis of **1a** [61,67] was used. A
22 dichloromethane (10 mL) solution of **a'** (0.27 g, 0.98 mmol) and $[(\eta^6\text{-}p\text{-cymene})\text{RuCl}_2]_2$
23 (0.30 g, 0.49 mmol) was stirred for 30 min at room temperature. Evaporation of the
24 solvent affords a yellow solid. Yield: 0.25 g (83%). $[\alpha]_D^{23}$ ($\text{deg}\cdot\text{dm}^{-1}\cdot\text{dL}\cdot\text{g}^{-1}$) -94.2 ± 1.2
25 (**1a'** at $c = 0.764\text{ g}\cdot\text{dL}^{-1}$, $\alpha_{\text{obs}} = -0.720\text{ deg}$), $+94.3 \pm 1.2$ (**1a** at $c = 0.764\text{ g}\cdot\text{mL}^{-1}$, $\alpha_{\text{obs}} =$

1 +0.721 deg). Solubility in H₂O at 24 °C (mM): 28 ± 4 mM. Value of pH ([9.0 mM]) in
2 H₂O at 24 °C: 4.70. Analytical and spectroscopic data of the compound are identical to
3 those reported before [61,67] (see Supplementary data). Anal. Calcd for
4 C₂₇H₃₈Cl₂N₂ORu: C, 56.05; H, 6.62; N, 4.84; Found: C, 55.78; H, 6.57; N, 5.15. IR
5 (KBr, λ_{max}/cm⁻¹): 3400-3040 ν(NH/NOH), 1643, 1600 ν(C=N). UV-vis (0.1 mM in
6 H₂O): λ_{max} (ε): 246 (8111), 324 (1577), 422 (631). Since NMR spectra of the
7 compound in chloroform-*d*₁ changed dramatically within a concentration range of ca. 5-
8 62 mM, full characterization was carried out in methanol-*d*₄ [61]. ¹H NMR (plus HSQC,
9 plus HMBC, 400.1 MHz, 293 K, methanol-*d*₄): δ 7.45 (m, overlapped, C₆H₅), 5.85,
10 5.84, 5.46, 5.33 (all d, each 1 H, J_{HH} = 3, *p*-cymene-C₆H₄), 4.79 (s, 1H, =CH₂), 4.72
11 (second order system, 2H, -CH₂), 4.60 (s, 1H, =CH₂), 4.02 (br, 1H, NH), 3.60 (d, 1H,
12 J_{HH} = 16, -CH₂³), 2.53 (overlapped, 3H, *p*-cymene-CHMe₂ + -CH⁴ + -CH₂³), 2.14 (m,
13 1H, -CH₂⁶), 1.99 (s, 3H, *p*-cymene-CH₃), 1.83 (m, 2H, -CH₂⁵), 1.66, 1.65 (both s, each
14 3H, C_qCH₃ +CH₃-C=), 1.39 (m, 1H, -CH₂⁶), 1.22, 1.02 (both d, each 3H, J_{HH} = 6, *p*-
15 cymene-CH(CH₃)₂). ¹³C NMR (plus Attached Proton Test (APT), plus gradient
16 Heteronuclear Single Quantum Coherence (gHSQC), plus Heteronuclear Multiple Bond
17 Correlation (HMBC), 100.6 MHz, 293 K, methanol-*d*₄): δ 170.8 (-, C_q=N), 145.9 (-,
18 =C_q-Me), 137.1 (-, C_{ipso}-C₆H₅), 130.1, 129.5, 129.4 (all +, -C₆H₅), 113.2 (-, =CH₂),
19 108.9, 98.8 (both -, C_{ipso}-*p*-cymene), 87.5, 84.8, 83.3, 83.2 (all +, *p*-cymene:C₆H₄), 70.5
20 (-, C_q-NH), 55.9 (-, -CH₂Ph), 39.4 (+, -CH⁴), 35.4 (-, -CH₂⁶), 32.5 (+, *p*-cymene-
21 CHMe₂), 29.1 (-, -CH₂³), 25.1 (-, -CH₂⁵), 23.9 (+, *p*-cymene-CH(CH₃)₂), 22.3 (+, CH₃-
22 CNH), 20.8 (+, *p*-cymene-CH(CH₃)₂), 20.7 (+, CH₃-C=), 18.4 (+, CH₃-*p*-cymene). ¹⁵N
23 NMR (gHMBC, 40.5 MHz, 293 K, chloroform-*d*₁): δ 272.0 (C=N), 50.4 (NHBn). ¹⁵N
24 NMR (gHMBC, 40.5 MHz, 293 K, methanol-*d*₄): δ 266.7 (C=N), 50.0 (NHBn).

1 2.1.2. ¹H NMR experiments at physiological pH. Phosphate buffered saline solution
2 (PBS) was prepared according to Cold Spring Harbor Protocols
3 (<http://cshprotocols.cshlp.org/content/2006/1/pdb.rec8247>) using NaCl, KCl, Na₂HPO₄
4 and KH₂PO₄ in D₂O. Adjustment of pD (pD = pH* + 0.4, where pH* = pHmeter
5 reading in D₂O) was carried out using a solution of DCl (0.01M) or NaOD (0.01M) in
6 D₂O, with the help of a HANNA HI208 pHmeter..

7 2.2. Single-crystal X-ray structure determination

8 Yellow crystals of the pure enantiomer **1a'**·2CHCl₃ were grown from a hexane-
9 chloroform solution. The crystals were removed from the vial and covered with a layer
10 of a viscous perfluoropolyether. A suitable crystal was selected with the aid of a
11 microscope, mounted on a cryo-loop, and placed in the low-temperature nitrogen
12 stream of the diffractometer. The intensity data sets were collected at 200 K on a
13 Bruker-Nonius Kappa CCD diffractometer equipped with an Oxford Cryostream 700
14 unit. The molybdenum radiation ($\lambda = 0.71073$) was used, graphite monochromated,
15 and enhanced with an MIRACOL collimator.

16 The structure was solved, using WINGX package [69], by intrinsic phasing methods
17 (SHELXT) [70], and refined by least-squares against F² (SHELXL-2014/7) [70].
18 Crystals of **1a'** contained two independent molecules in the asymmetric unit, but there
19 were no significant differences between them. **1a'** crystallized with two molecules of
20 chloroform per ionic pair. All non-hydrogen atoms were anisotropically refined,
21 whereas the hydrogen atoms were included, positioned geometrically, and refined by
22 using a riding model. DELU and SIMU restraints were used for the aromatic ring
23 C(32)-C(37) of a benzyl group. *Crystal data for 1a'*·2CHCl₃: (C₂₉H₄₀Cl₈N₂ORu), FW =
24 817.30, Monoclinic, space group P2₁, crystal dimensions (mm³) 0.31 x 0.13 x 0.12, *a* =
25 8.865(2), *b* = 21.916(2), β = 90.49(1), *c* = 18.183(2) Å, *V* = 3532.7(8) Å³, *Z* = 4, ρ_{calcd} =

1 1.537 g cm⁻³, $\mu = 1.075 \text{ mm}^{-1}$, $F(000) = 1664$, θ range = 3.00 to 25.24 deg, no. of rflns
2 collected = 66843, no. of indep rflns / $R_{\text{int}} = 12759 / 0.174$, no. of data / restraints /
3 params = 12759 / 49 / 751, $R1 / wR2 (I > 2\sigma(I)) = 0.071 / 0.114$, $R1 / wR2$ (all data) =
4 0.132 / 0.134, GOF (on F^2) = 1.085, Absolute structure parameter = $-0.06(2)$. Final
5 difference Fourier maps did not show peaks higher than 0.699 nor deeper than -0.588
6 eÅ⁻³. CCDC-1572919 contains the supplementary crystallographic data for this paper.
7 These data can be obtained free of charge from The Cambridge Crystallographic Data
8 Centre via www.ccdc.cam.ac.uk/structures.

9 2.3. Cell culture, cytotoxicity assays and cell death analysis

10 2.3.1. Cell culture

11 The androgen-unresponsive prostate cancer cell line PC-3 was obtained from the
12 American Type Culture Collection (Manassas, VA) and may be related to recurrent
13 prostate cancers that have achieved androgen independence. All culture media were
14 supplemented with 1% penicillin/streptomycin/amphoterycin B (Life Technologies,
15 Barcelona, Spain). The culture was performed in a humidified 5% CO₂ environment at
16 37 °C. After the cells reached 70–80% confluence, they were washed with PBS,
17 detached with 0.25% trypsin/0.2% ethylenediaminetetraacetic acid (EDTA) and seeded
18 at 30,000–40,000 cells·cm⁻². The culture medium was changed every 3 days. A-549
19 (lung carcinoma) cells were maintained in high glucose DMEM (Dulbecco's Modified
20 Eagle's Medium) supplemented with 5% fetal bovine serum (FBS), 200 U·mL⁻¹
21 penicillin, 100 µg·mL⁻¹ streptomycin and 2 mM L-glutamine. MIA PaCa-2 (pancreas
22 carcinoma), HCT-116 (colorectal carcinoma), HeLa (cervical cancer), Jurkat (leukemic
23 cancer), Jurkat-pLVTHM (obtained by transfection with nonspecific short hairpin
24 ribonucleic acid (shRNA)) and Jurkat-shBak (obtained by ribonucleic acid interference
25 (RNAi) of Bak) cells were maintained in Roswell Park Memorial Institute (RPMI) 1640

1 medium supplemented with 5% FBS, 200 U·mL⁻¹ penicillin, 100 µg·mL⁻¹ streptomycin
2 and 2 mM L-glutamine. Cultures were maintained in a humidified atmosphere of 95%
3 air:5% CO₂ at 37 °C. Adherent cells were allowed to attach for 24 h prior to addition of
4 compounds.

5 2.3.2. MTT Toxicity Assays

6 For toxicity assays, cells (5×10^4 for Jurkat cells and 10^4 for adherent cell lines) were
7 seeded in flat-bottom 96-well plates (100 µL/well) in complete medium. Adherent cells
8 were allowed to attach for 24 h prior to addition of cisplatin or tested compounds. Stock
9 solutions of ammonium-oxime pro-ligands were freshly prepared in 1% of dimethyl
10 sulfoxide (DMSO) in water, while cisplatin and *p*-cymene ruthenium compounds were
11 dissolved in water. The stock solutions were then diluted in complete medium and used
12 for sequential dilutions to desired concentrations. The final concentration of DMSO in
13 the cell culture medium did not exceed 0.1%. Control groups with and without DMSO
14 (0.1%) were included in the assays. Compounds were then added at different
15 concentrations in quadruplicate. Cells were incubated with compounds for 24 h, and
16 then cell proliferation was determined by a modification of the MTT-reduction method.
17 Briefly, 10 µL/well of [3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide]
18 (MTT) (5 mg·mL⁻¹ in PBS) was added, and plates were incubated for 1–3 h at 37 °C.
19 Finally, formazan crystals were dissolved by adding 100 µL/well *iso*-propanol (0.05 M
20 HCl) and gently shaking. The optical density was measured at 550 nm using a 96-well
21 multi-scanner auto-reader (Enzyme-Linked Immuno Sorbent Assay, ELISA).

22 2.3.3. Cell Death Analysis

23 Apoptosis hallmarks of cells treated with the metal compounds were analyzed by
24 measuring the exposure of phosphatidylserine. Cells were treated with the compound at
25 2.5 µM for 24 h and phosphatidylserine exposure was quantified by labeling cells with

1 annexin V-DY634 (Invitrogen). Annexin V was added at a concentration of $0.5 \mu\text{g}\cdot\text{mL}^{-1}$
2 in Annexin Binding Buffer (ABB), and cells were incubated at room temperature for 15
3 min. Finally, cells were diluted to $500 \mu\text{L}$ with ABB to be analyzed by flow cytometry
4 (FACScan, BD Bioscience, Spain). Cell morphology after treatment with metal
5 compounds was evaluated through optical microscopy.

6 2.4. DNA interaction studies

7 2.4.1. Equilibrium Dialysis

8 Duplex DNA from CT (Deoxyribonucleic acid, Activated, Type XV) was directly
9 purchased from Sigma Aldrich and used as provided. Duplex-forming oligonucleotides
10 ds17-1 (5'-CCA GTT CGT AGT AAC CC-3') and ds17-2 (5'-GGG TTA CTA CGA
11 ACT GG-3') were acquired High Performance Liquid Chromatography (HPLC) purified
12 and desalted from Integrated DNA Technologies (IDT). Dialysis membranes
13 (Spectra/Por® molecular porous membrane tubing MWCO: 3.5–5.0 kDa; 6.4 mm
14 diameter) were purchased from Spectrum Laboratories Inc. Aqueous solutions of
15 surfactant sodium dodecyl sulfate (10%) were purchased from Sigma Aldrich. The
16 buffer employed in this experiment was 10 mM phosphate buffer $\text{NaH}_2\text{PO}_4/\text{Na}_2\text{HPO}_4$,
17 $\text{pH} = 7.2$, with either 10 mM or 100 mM NaCl. The solutions of DNA were prepared in
18 the working phosphate buffer at $75 \mu\text{M}$ monomeric unit (m.u.) concentrations, in base
19 pairs. For the preparation of the short oligonucleotide solution, an annealing step was
20 needed, with heating at $90 \text{ }^\circ\text{C}$ for 10 min and then gradually cooling to $25 \text{ }^\circ\text{C}$ during 3
21 h. The solutions were left at $4 \text{ }^\circ\text{C}$ overnight.

22 Dialysis bags, previously washed with milli-Q water, were filled with $75 \mu\text{M}$ (m.u.)
23 of DNA duplex ($200 \mu\text{L}$ each bag) and placed in a beaker containing 225 mL of ca. 20
24 μM solution of the tested compound. The beaker was covered with parafilm and
25 aluminium foil and allowed to equilibrate during 24 h at room temperature. Experiments

1 were run, at least, in triplicate. Once the dialysis process had been completed, the
2 solutions from each dialysis bag were transferred to Eppendorf tubes. The content of
3 each bag was then mixed with an aqueous detergent solution (10%) to reach a 1%
4 concentration (v/v) of sodium dodecyl sulfate (SDS). The concentrations of free
5 compound in the dialysate solution and compound in the dialysis bags were determined
6 by absorbance measurements using the extinction coefficients of the metal complexes
7 (determined in the presence and absence of the detergent) and apparent association
8 constants were calculated [71].

9 2.4.2. DNA FRET melting assay

10 The DNA melting assay was performed on a quantitative PCR kit ABI PRISM® 7000
11 Sequence Detection System (Applied Biosystems) in a 96-well plate format (96-Well
12 Optical MicroAmp® Reaction Plate, Applied Biosystems, Life Technologies
13 Corporation). The oligonucleotide sequence employed in this experiment, F10T (5'-
14 FAM-AGC TAT TA TA /sp18/ TA TA GCT ATA-TAMRA-3') was produced, HPLC-
15 purified and desalted by IDT. FAM is 6-carboxyfluorescein and TAMRA is
16 carboxytetramethylrhodamine. The buffer system used in this experiment was: 10 mM
17 sodium cacodylate, 100 mM LiCl, (pH = 7.3). First, the duplex-forming oligonucleotide
18 was dissolved in water (Biotechnology Performance Certified, BPC grade) and a 50 µM
19 stock solution was prepared, which was then diluted to 0.5 µM. Then, the diluted DNA
20 solution was mixed with the working buffer (2x) and water (BPC grade). The DNA
21 solution was heated at 90 °C for 10 min, cooled down slowly for 3 h and left at 4 °C
22 overnight. Compounds to be tested were dissolved in water and approximately 1 mM
23 stock solutions were prepared. The exact concentrations were checked by UV-vis. Stock
24 solutions were then diluted with buffer to obtain 50 µM solutions of each compound. In
25 a 96-well microplate, DNA solutions were mixed with solutions of tested compound

1 and buffer to reach a total volume of 50 μL with a F10T concentration of 0.2 μM and a
2 compound concentration ranging between 1 and 10 μM .

3 The experimental protocol consisted of an incubation for 5 min at 24 $^{\circ}\text{C}$, followed by
4 a temperature ramp with heating rate 1 $^{\circ}\text{C}/\text{min}$. Fluorescence values corresponding to
5 the fluorophore FAM at wavelength of 516 nm (after excitation at 492 nm) were
6 collected at each degree of temperature. Afterwards, the fluorescence data were
7 normalized, plotted against temperature ($^{\circ}\text{C}$) at each compound concentration, and
8 melting temperatures (T_m) values were determined.

9 2.4.3. Viscometric titrations

10 Duplex DNA from CT (Deoxyribonucleic acid, Activated, Type XV) was purchased
11 from Sigma Aldrich and used as provided. The buffer employed in this experiment was
12 10 mM phosphate buffer $\text{NaH}_2\text{PO}_4/\text{Na}_2\text{HPO}_4$, pH = 7.2. The viscosimetric
13 measurements were performed in a Visco System AVS 470 at 25.00 ± 0.01 $^{\circ}\text{C}$, using a
14 microUbbelohde ($K = 0.01$) capillary viscometer. 6 mL of DNA solution (0.4 mM in
15 nucleotides) in phosphate buffer were equilibrated for 20 min at 25.00 $^{\circ}\text{C}$ and then 20
16 flow times were registered. Small aliquots (30–50 μL) of solutions of metal complexes
17 (1.6–2.3 mM) were added to the same DNA solution. Before each flow time
18 registration, the solutions were equilibrated for 20 min to 25.00 $^{\circ}\text{C}$ and then 20 flow
19 times were measured. With the averaged time of the different flow time measurements
20 and the viscometer constant, the viscosities (μ) for each point were calculated. The
21 viscosity results were plotted as $(\mu/\mu_0)^{1/3}$, where μ_0 represents the DNA solution
22 viscosity in the absence of the ligand, versus (r), representing the ratio $[\text{ligand}]/[\text{DNA}]$.

23 2.5. *In vivo* Test

24 2.5.1. Animals, xenografts, and processing of tumors

1 Athymic male nude mice (nu/nu) 4 weeks old were obtained from Harlan (Oxon,
2 UK) and maintained in microisolator units on a standard sterilizable diet. Mice were
3 housed under humidity- and temperature-controlled conditions, and the light/dark cycle
4 was set at 12 h intervals. Experimental procedures are carried out according to Spanish
5 Law 32/2007, Spanish Royal Decree 1201/2005, European Directive 609/86/CEE and
6 European Convention of Council of Europe ETS 123. PC-3 cells were incubated in the
7 absence or presence of 2.5 μM **1a** for 24 h. Then, they were washed with PBS, detached
8 with 25% trypsin/0.2% EDTA, centrifuged at $400 \times g$, and re-suspended in fresh
9 medium at 1×10^8 cells/mL. The cell suspension was mixed with Matrigel® (BD
10 Bioscience) synthetic basement membrane (1:1, v/v) and then injected subcutaneously
11 into the right flank of nude mice (5×10^6 cells/mouse). Ten animals were used per
12 group. Tumors were harvested after sacrifice at 6 weeks of subcutaneous cell injection.
13 Animals were divided into two groups: group 1, control; group 2, **1a**. Tumor volume
14 (mm^3) = (length \times width \times height \times 0.5236) was assessed every three or four days. The
15 experiment was ended on day 43. All mice were euthanized by cervical dislocation upon
16 study completion and tumors collected postmortem. Tumor specimens were frozen in
17 liquid nitrogen and maintained at -80°C for further experiments.

18 2.5.2. Isolation of tissue lysates

19 Tumor specimens were homogenized in 50 mM Tris-HCl (pH 7.6) containing 1%
20 Triton X-100, 200 mM NaCl, 10 mM CaCl_2 , 5 $\mu\text{g}\cdot\text{mL}^{-1}$ aprotinin, 5 $\mu\text{g}\cdot\text{mL}^{-1}$ leupeptin,
21 and 5 $\mu\text{g}\cdot\text{mL}^{-1}$ pepstatin and then rotated for 30 min in a cold room. The extract was
22 cleared by centrifugation at $15,000 \times g$ for 30 min at 4°C .

23 2.5.3. Determination of Vascular Endothelial Growth Factor (VEGF)

1 VEGF levels were determined in tumor homogenates (25 μ g) by ELISA, (human
2 VEGF DuoSet, R&D Systems, Madrid, Spain) according to the manufacturer's
3 instructions. Data were normalized to the protein concentration in each sample. *Gelatin*
4 *zymography*: The tumor homogenates were analyzed by zymography using 10% sodium
5 dodecyl sulfate polyacrylamide gel electrophoresis (SDS–PAGE) containing 0.1% (w/v)
6 gelatin (Sigma, Alcobendas, Spain) as the substrate. Each lane was loaded with a 3 μ g
7 protein and subjected to electrophoresis at 4 °C. Gels were washed twice in 50 mM Tris
8 (tris(hydroxymethyl)aminomethane, pH 7.4) containing 2.5% (v/v) Triton X-100 for 1
9 h, followed by two 10-min rinses in 50 mM Tris (pH 7.4). After SDS removal, gels
10 were incubated overnight in 50 mM Tris (pH 7.5) containing 10 mM CaCl₂, 0.15 M
11 NaCl, 0.1% (v/v) Triton X-100, and 0.02% sodium azide at 37 °C under constant
12 shaking. Then, gels were stained with 0.25% Coomassie Brilliant Blue R-250 (Sigma)
13 and destained in 7.5% acetic acid with 20% methanol. MMP-2 (metaloproteinase-2) and
14 MMP-9 (metaloproteinase-9) activities were semiquantitatively determined by
15 densitometry.

16 2.5.4. Data analysis

17 Results were subjected to computer-assisted statistical analysis using One-Way
18 Analysis of Variance ANOVA, Bonferroni's post-test, and Student's t-test. Data are
19 shown as the means of individual experiments and presented as the mean \pm SD
20 (Standard deviation). Differences of $P < 0.05$ were considered to be significantly
21 different from the controls.

22 3. Results and Discussion

23 3.1. Synthesis and characterization of metal compounds

1 Synthesis of the novel Ru(II) compound was carried out analogously to that of
2 previously described enantiomer **1a** [61,67]. Thus, the reaction of dimer $[(\eta^6\text{-}p\text{-}$
3 $\text{cymene})\text{RuCl}_2]_2$ with amino-oxime derivative (1*R*,4*S*)-{NOH,(Bn)NH} (**a'**), proceeds
4 also stereoselectively to afford enantiomerically pure $S_{\text{Ru}}S_{\text{N}}\text{-}(1R,4S)\text{-}[(\eta^6\text{-}p\text{-}$
5 $\text{cymene})\text{Ru}\{\kappa\text{NH}(\text{Bn}),\kappa\text{NOH}\}\text{Cl}\text{Cl}$ (**1a'**) (Fig. 2).

6 FIGURE 2

7 Since Ru(II) compound **1a'** is a chiral-at-metal complex with a new stereogenic centre
8 at the amino ligand, four different diastereomers distinguishable by NMR spectroscopy
9 could be formed, namely $R_{\text{Ru}}S_{\text{N}}\text{-}(1R,4S)\text{-}$, $R_{\text{Ru}}R_{\text{N}}\text{-}(1R,4S)\text{-}$, $S_{\text{Ru}}S_{\text{N}}\text{-}(1R,4S)\text{-}$ or $S_{\text{Ru}}R_{\text{N}}\text{-}$
10 $(1R,4S)\text{-1a'}$. ^1H and ^{13}C NMR spectra of the solid showed the existence of only one
11 diastereomer in solution, which has been fully characterized as $S_{\text{Ru}}S_{\text{N}}\text{-}(1R,4S)\text{-}[(\eta^6\text{-}p\text{-}$
12 $\text{cymene})\text{Ru}\{\kappa\text{NH}(\text{Bn}),\kappa\text{NOH}\}\text{Cl}\text{Cl}$ (**1a'**). Epimerization [22,60,72,73] was never
13 observed in chloroform- d_1 , acetone- d_6 , methanol- d_4 , water- d_2 or PBS solutions over
14 time (up to 72 h), within a temperature range of 10-60 °C (see Supplementary data, Fig.
15 S4, S5, S6, S9), suggesting a preferred mode of the ligand chelation [74-79]. Similar
16 results had previously been observed by us during the synthesis and characterization of
17 derivative **1a** [61].

18 Analytical and spectroscopic data of the novel compound **1a'** are identical to those
19 reported before for **1a** [61,67] (see Experimental Section and Fig. S3-S9, S11). The UV-
20 vis spectrum of **1a** or **1a'** (see Supplementary data, Fig S11) shows two absorption
21 bands at 324 and 422 nm, followed by a more intense band at 246 nm. Both derivatives
22 gave complementary CD spectra (Fig. 3), with opposite Cotton effects at 246, 284, 320
23 and 380 nm. Although CD cannot give information on the absolute configuration, these
24 results confirm that the molecular structures of **1a** and **1a'** are mirror images [20].

1 **FIGURE 3**

2 Calculated data of specific optical rotation in chloroform solution for the pro-ligands
3 and metal compounds ($[\alpha]_D^{23}$ (deg·dm⁻¹·dL·g⁻¹) = +130 ± 1.3 **1a**, -127 ± 1.3 **1a'**, +94.3 ±
4 1.2 **1a**, -94.2 ± 1.2 **1a'**) evidence again the enantiomeric relationship of the
5 stereoisomers. Furthermore, absolute configuration of compound **1a'** has been
6 confirmed through X-ray structure determination (Fig. 4, Table S1, S2, Fig. S13). X-ray
7 molecular structure of **1a** was reported elsewhere [67].

8 The solid-state structure of **1a'** contains two independent molecules in the asymmetric
9 unit, with no substantial differences between them (Table S2), and with the same
10 absolute configuration of the four chiral centers. An ORTEP diagram of one of these
11 independent molecules is shown in Fig. 4. The compound adopts the expected piano-
12 stool geometry, with the ruthenium atom bound to the arene ligand through η^6 bonding.
13 All the bond lengths and angles are in agreement with analogous oxime ruthenium
14 compounds previously reported [56,57,67,80].

15 **FIGURE 4**

16

17 3.2. *In vitro* cell studies

18 3.2.1. Anti-proliferative studies

19 Chiral compound **1a** has already shown their promising anticancer properties on the
20 human prostate cancer cell line PC-3 [61].

21 Epimerization at the Ru(II) center of **1a** or **1a'** after a 72 h incubation period under
22 physiologically relevant conditions does not occur (Fig. S9). This fact suggests that the
23 complexes are stable enough to allow further investigations into the effect of chirality
24 on their anti-proliferative effectiveness. Thus, in order to compare and evaluate the

1 versatility of the different enantiomers, the cytotoxic activity of pro-ligands **a**·HCl and
2 **a'**·HCl and metal compounds $[(\eta^6\text{-}p\text{-cymene})\text{RuCl}_2]_2$, **1a** and **1a'** was now assessed on
3 a wide variety of human cancer cell lines, i.e. prostate PC-3, lung A-549, pancreas MIA
4 PaCa-2, colon HCT-116, leukemia Jurkat-T, and cervical HeLa. The *in vitro* effect of
5 the compounds on cytotoxicity was evaluated by monitoring their ability to inhibit cell
6 growth using the MTT assay after 24 h of incubation time.

7 While pro-ligands **a**·HCl, **a'**·HCl and metal compound $[(\eta^6\text{-}p\text{-cymene})\text{RuCl}_2]_2$ are
8 poorly cytotoxic in all tested cell lines ($\text{IC}_{50} > 150 \mu\text{M}$ under this experimental
9 conditions), both enantiomers **1a** and **1a'** are versatile cytotoxic agents, with IC_{50} values
10 ranging from 4.0 to 18.4 μM in the different cell lines tested (Table 1).

11 TABLE 1

12 These ruthenium compounds showed better cytotoxic profiles than those found for
13 cisplatin (from 2 to 12 times more active), with only minor differences observed
14 between the two enantiomers.

15 Our compound, with an IC_{50} value of 7.2 μM , is as cytotoxic in lung cancer A-549 cells
16 as the promising iminophosphorane Ru(II) compound $[(\eta^6\text{-}p\text{-cymene})\text{Ru}(\text{Ph}_3\text{P}=\text{NCO}-2\text{-}$
17 $\text{NC}_5\text{H}_4\text{-}\kappa\text{N},\text{O})\text{Cl}]\text{Cl}$ ($\text{IC}_{50} = 9.5 \mu\text{M}$, 24 h) [81]. **1a** is also as active as Sadler's
18 compound $[(\eta^6\text{-C}_6\text{H}_5\text{Ph})\text{Ru}(\text{en})\text{Cl}][\text{PF}_6]$ (RM175, en = ethylenediamine) in colon
19 carcinoma HCT-116 cells ($\text{IC}_{50} = 16 \mu\text{M}$) [82]. A highly efficient ruthenium complex
20 against colorectal cancer cells is the cyclopentadienyl Ru(II) carbohydrate containing
21 compound described by Florindo et al (IC_{50} values of 0.45 μM in HCT-116 cells, 72 h,
22 as potent as oxaliplatin ($[\text{Pt}(\text{oxalate})(R,R\text{-}1,2\text{-diaminocyclohexane})]$), the first choice of
23 treatment for colon carcinoma patients in advanced stages [83]). Other promising Ru(II)
24 compounds are the cyclopentadienyl ciprofloxacin derivate reported by Ude et al [84],
25 with IC_{50} values after 24 h of exposure to the drug as low as 0.25 μM (A-549), 1.33

1 (HCT-116) and 1.46 (PC-3) and the 2,2'-bipyridine derivative $[(\eta^5-$
2 $C_5H_5)Ru(bypy)(PPh_3)][CF_3SO_3]$ (TM34, $IC_{50} = 0.54$ in PC-3 cells, 72 h) [85].

3 3.2.2. Mechanism of cell death

4 The mechanism of cell death induced by these chiral oxime compounds was analyzed
5 in A-549 and Jurkat cell lines.

6 Cell morphology evaluation of A-549 cells indicated that ruthenium derivatives **1a**
7 and **1a'** induced apoptotic cell death, characterized by condensed nuclei and membrane
8 blebbing. Cis-platin was included in the experiment as a positive control, since
9 cisplatin-treated cells show typical apoptotic morphology (Fig. S14).

10 We analyzed the implication of mitochondria in the toxicity of metal compounds. In
11 the intrinsic pathway of apoptosis, initiated by cell damage, the pro-apoptotic Bax and
12 Bak proteins are required for the release of cytochrome C from mitochondria. Thus,
13 cells lacking these two proteins cannot activate the intrinsic pathway and are usually
14 resistant to chemotherapy drugs. Jurkat cells do not express Bax, due to a genetic
15 deletion, and the subline Jurkat-shBak cells, obtained by RNAi of Bak [86], are
16 deficient in both proteins. Thus, Jurkat-shBak cell line constitutes a model of human
17 leukemia cells deficient in the intrinsic (mitochondrial) pathway of apoptosis. A cell
18 line transfected with a nonspecific shRNA (named Jurkat pLVTHM) was used as a
19 control in these experiments, to discard any unspecific effect due to the transfection and
20 selection process necessary to generate the Jurkat shBak subline.

21 A comparison between the effect of **1a** and starting materials on Jurkat-T cell
22 apoptosis has been performed. As shown in Fig. 5, **1a** (2.5 μM), but not the starting
23 materials **a·HCl** (5 μM), $[(\eta^6-p\text{-cymene})RuCl_2]_2$ (2,5 μM), or the combined dose of
24 both, induced cell death in a high percentage of Jurkat control (pLVTHM) cells but not

1 in Jurkat-shBak cells, suggesting that, **1a** induced cell death through the intrinsic
2 pathway at these concentrations.

3 FIGURE 5

4 Dose-response experiments using the MTT assay (Fig. S15) showed that both
5 enantiomers **1a** and **1a'** exhibited toxicity at concentrations higher than 10 μ M in both
6 Jurkat cell lines, suggesting that Bax/Bak-independent cell death mechanisms can be
7 also activated by these compounds. DNA interaction analysis described below revealed
8 that the two enantiomers partially bind to DNA. In a cellular context, this interaction
9 with DNA could likely induce DNA damage and activation of the intrinsic pathway of
10 apoptosis. Interestingly, at higher doses both compounds can circumvent the lack of
11 Bax and Bak, indicating that apoptosis-resistant tumors that are commonly resistant to
12 chemotherapy could be sensitive to them through alternative cell death mechanisms.

13 3.3. DNA binding

14 Having established the antitumor properties of metal compounds **1a** and **1a'**, we then
15 set out to study their interactions with DNA as a potential cellular target, as DNA
16 recognition might partially account for the observed biological activity. With this in
17 mind, we have studied DNA binding by using equilibrium dialysis, fluorescence-based
18 DNA melting experiments and DNA viscometric titrations.

19 Dialysis experiments, based on the fundamental thermodynamic principle of
20 equilibrium dialysis [71,87], were performed to determine apparent binding constants
21 between DNA and the metal compounds. As the DNA targets, we selected CT DNA
22 and a short oligonucleotide duplex of known sequence (ds17,17 bp).

23 The results obtained for compounds **1a** and **1a'** using two different DNA sequences
24 are summarized in Table 2. Experiments were run based on adaptation of the protocol

1 described by Chaires [71], with some modifications as described in the Experimental
2 section.

3 TABLE 2

4 Table 2 shows that these compounds have a modest to good binding affinity for
5 duplex DNA, with apparent association constants in the order of 10^4 M^{-1} . In general, no
6 significant differences in DNA affinity were found between the two enantiomers,
7 although **1a** showed a two-fold better binding affinity in the case of CT DNA, whereas
8 **1a'** displayed a better affinity towards the particular sequence of oligonucleotide ds17.

9 As part of our study on DNA interactions we were interested in determining the effect
10 that these compounds may exert on the DNA denaturing temperature, T_m . We utilized a
11 variable-temperature (FRET-melting) assay, an experiment that reduces DNA
12 consumption while assessing a wide range of tested compound concentrations, it can be
13 adapted to a high-throughput fashion, and it has been extensively used in the last years
14 to determine the degree of thermal stabilization of different DNA structures in the
15 presence of potential ligands [88]. Thus, FRET experiments were used to establish
16 whether either the precursor ligands **a**·HCl and **a'**·HCl or the metal complexes **1a** and
17 **1a'** were able to thermally stabilize duplex DNA structures.

18 In these experiments, a 10-bp oligonucleotide (F10T) labeled with two fluorophores,
19 FAM at its 5' end and TAMRA at the 3' end, was selected [89]. If the metal complex
20 binds to DNA affecting the stability of the helix, changes in the value of DNA T_m
21 should be expected. Stabilization of duplex DNA usually results in increased values of
22 T_m .

23 Metal complexes **1a** and **1a'** were analysed for their ability to affect duplex DNA
24 melting in the 1-10 μM concentration range. However, under these conditions, these
25 complexes did not produce a significant change in the DNA melting temperature

1 ($\Delta T_m = -2$ °C at 10 μ M, Fig S16). Furthermore, none of the enantiomers of the precursor
2 ligands, **a**·HCl or **a'**·HCl, showed DNA stabilization. These results are in good
3 agreement with previous reported DNA melting experiments on CT DNA with the
4 metal compound **1a** [61] and seem to suggest that the compounds may interact with
5 DNA in an external, mainly electrostatic fashion or through partial recognition of the
6 DNA grooves.

7 Finally, DNA viscometric titrations were carried out as it is well known that viscosity
8 measurements can provide a simple way to discriminate between the different binding
9 modes of potential DNA ligands (especially non-covalent, such as intercalation *versus*
10 groove or external binding) [90]. According to the theory of Cohen and Eisenberg [91],
11 from gradual titration of DNA solutions with the compounds of interest, linear plots of
12 the cubed root of the relative DNA viscosity $(\eta/\eta_0)^{1/3}$ versus the molar ratio of bound
13 ligand to DNA nucleotide (r) can be obtained. The slope values in these plots correlate
14 well with the DNA-ligand binding modes. Groove binding compounds normally display
15 a slope close to 0.0, whereas classical mono-intercalants result in a slope close to 1.0
16 [90,91]. Experimentally, the slopes associated with prototype minor-groove binders,
17 such as pentamidine, range from -0.3 to 0.2 [92], while those of classical mono-
18 intercalators, such ethidium bromide, can vary from 0.80 to 1.50 [92-94].

19 Complexes **1a** and **1a'** showed a linear $(\eta/\eta_0)^{1/3}$ versus r correlation in the typical r
20 range used in these experiments (Fig. 6) and produced some modification of the
21 viscosity of the DNA solution at increasing concentrations, with negative slope values
22 of -0.36 in the case of the enantiomer **1a** and -0.38 for the **1a'** counterpart.

23 FIGURE 6

24 It is evident from these results that these metal complexes do not interact with double
25 stranded DNA by inserting the aromatic ring between the base pairs, thus a classical

1 intercalating interaction can be directly ruled out. This is no surprising taking into
2 account the relative small surface of the arene ring. The viscosity slope values fall
3 within or are close to the experimental values of typical groove binding ligands, but the
4 negative slope may be also suggestive of a slight shortening of the DNA double helix,
5 producing an overall effect of DNA compaction. It is known that metal complexes that
6 bind DNA by a partial or non-classical intercalation (binding in the grooves or in the
7 sugar-phosphate backbone) may decrease the DNA contour length by bending or
8 kinking the DNA helix [95-97]. Although further studies should be carried out to
9 determine the precise nature of this DNA interaction, these experiments suggest that
10 DNA could act as a potential cellular target for these metal complexes and their
11 interaction might partially contribute to the observed biological effect.

12

13 3.4. *In vivo* analysis

14 3.4.1. Effect of treatment of PC-3 cells with compound **1a** on the growth of 15 xenografted PC-3 human prostate cancer cells

16 PC-3 cells were incubated in the absence or presence of **1a** for 24 h and then injected
17 subcutaneously into the right flank of nude mice. Ten animals were used per group.
18 Final tumor volume measurements revealed that the tumor growth was significantly
19 inhibited by 45% ($1,719 \pm 206 \text{ mm}^3$) in **1a** group after 43 days, as compared with those
20 from control group which measured $961 \pm 160 \text{ mm}^3$ (Fig. S17, Table 3). Furthermore,
21 the mean tumor weight was significantly reduced to $1,008 \pm 103 \text{ mg}$ compared with that
22 in the control group ($1,633 \pm 153 \text{ mg}$), corresponding to a decrease of about 39% (Table
23 3). The Tumour Doubling Time (TDT) in the **1a** group was extended and was
24 significantly different ($P < 0.05$) from doubling times in the control group (Table 3).

25 TABLE 3

1 Metal compounds which have demonstrated to be effective in decreasing tumor
2 growth in an in vivo assay of nude mice bearing PC-3 tumor xenografts are the water
3 soluble analogue of oxaliplatin, [Pt(*S,S*-1,2-
4 diaminocyclohexane)(phen)]Cl₂·1.5H₂O·0.5HCl [98] and the promising Tacke's
5 compound Titanocene-Y (bis-[(*p*-methoxybenzyl)cyclopentadienyl]titanium(IV)
6 dichloride) [99].

7 3.4.2. Effect of treatment of PC-3 cells with **1a** on the expression of the activity
8 of metalloproteinases-9 and -2 and on the expression of VEGF of xenografted PC-3
9 human prostate cancer cells

10 A significant correlation between the expression of MMP-9, MMP-2, and VEGF has
11 been observed in cell lines as well as in tissue specimens of prostate cancer [100,101].
12 Overactive MMPs contribute to an almost complete loss of the basement membrane
13 proteins in most cancers including prostate carcinomas [102]. Moreover, it has been
14 described that the invasion and the motility of prostate tumor cells were increased by
15 MMP-2 and MMP-9 [103]. Several studies have shown that VEGF is closely correlated
16 with neovascularization and prognosis in many solid tumors. Thus, an increased
17 expression of VEGF in prostate cancer [104], as well as a positive correlation between
18 VEGF and Gleason score, tumor grade, and microvessel density, has been observed
19 [104-107]. The successful antimetastatic NAMI-A compound was found to inhibit
20 angiogenesis induced by VEGF *in vivo* [108].

21 The activity of both gelatinases was assessed by zymography assays (Fig. 7A). Latent
22 forms of MMP-9 (95 kDa) and MMP-2 (72 kDa) were detected. The densitometric
23 analysis showed that the activities of the latent-MMP forms decreased significantly by
24 45-49% ($P < 0.001$) in the **1a** group as compared with the control group. In order to
25 determine whether these tumors presented increased angiogenesis and its possible

1 variations, we checked VEGF₁₆₅ levels by an ELISA assay. VEGF₁₆₅ expression
2 showed a significant decrease of 48% in **1a** group (Fig. 7B).

3 FIGURE 7

4 In this first approach of establishing the potential therapeutic role of the compound
5 **1a**, we exposed such a complex to androgen-independent prostate cancer cells and
6 observed that it could affect the molecular machinery leading to a decrease in the
7 tumorigenic capability of cells to represent the more aggressive form of prostatic
8 adenocarcinoma or castration-resistant prostate cancers. In addition, levels of crucial
9 molecules in the invasive phenotype as the main pro-angiogenic factor and the
10 metalloproteinases -9 and -2 are found decreased.

11 These results suggest that the efficacy of **1a** as potential chemotherapeutic should be
12 further explored. Additional experiments to determine the intraperitoneal efficacy of **1a**
13 on nude mice PC-3 xenografts has been scheduled for the near future.

14

15 4. Conclusions

16 The use of optically active amino-oxime ligands derived from natural products is a
17 useful and inexpensive strategy to synthesize water soluble, enantiopure arene
18 ruthenium compounds. The oxime-containing Ru(II) compounds evaluated, **1a** and **1a'**,
19 have shown potent anticancer activities against a broad range of different cancer cell
20 lines, with no significant differences between the two ruthenium enantiomers. Both
21 compounds induced apoptotic cell death of A-549 cells while dose-dependent cell death
22 mechanisms have been found in the Jurkat cell line. This last fact could be of interest in
23 the treatment of apoptosis-resistant tumors that are commonly resistant to
24 chemotherapy. Compound-DNA interactions have been investigated by a variety of
25 techniques, leading to the conclusion that these metal complexes likely interact with

1 double stranded DNA by external electrostatic interactions and/or groove binding, while
2 a classical intercalation into the double strand DNA can be ruled out. The efficacy of **1a**
3 in a preliminary *in vivo* assay of PC-3 xenografts in nude mice resulted in a promising
4 inhibition of tumor growth by 45%. Analysis of tumor tissue showed a significant
5 decrease of VEGF₁₆₅ expression and of latent-MMP forms activities, proteins correlated
6 with angiogenesis and invasion and motility of prostate tumor cells, respectively. These
7 results, along with those described before regarding the ability of **1a** to affect the
8 metastatic phenotype of PC-3 cells *in vitro*, makes this oxime containing ruthenium
9 compound a valuable choice for further investigations.

10

11 **Abbreviations**

12 A-549 Human cervical carcinoma cell line

13 A-278 Human ovarian cancer cell line

14 ABB Annexin Binding Buffer

15 APT Attached Proton Test

16 Bn benzyl

17 BPC grade Biotechnology Performance Certified grade

18 Cisplatin *cis*-[PtCl₂(NH₃)₂]

19 CD Circular Dichroism

20 COSY Correlation Spectroscopy

21 Cq Quaternary carbon

22 CT Calf Thymus

1	DMSO	dimethylsulfoxide
2	DMEM	Dulbecco's Modified Eagle's Medium
3	DNA	Deoxyribonucleic acid
4	EDTA	ethylenediaminetetraacetic acid
5	ELISA	Enzyme-Linked Immuno Sorbent Assay
6	FAM	6-carboxyfluorescein
7	FBS	Fetal Bovine Serum
8	FRET	Fluorescence Resonance Energy Transfer
9	FT	Fourier Transform
10	F10T	5'-FAM-AGC TAT TA TA /sp18/ TA TA GCT ATA-TAMRA-3'
11	HCT-116	Human colorectal carcinoma cell line
12	HeLa	Human cervical cancer cell line
13	HSQC	Heteronuclear Single Quantum Coherence spectroscopy
14	HMBC	Heteronuclear Multiple Bond Correlation spectroscopy
15	HPLC	High Performance Liquid Chromatography
16	IDT	Integrated DNA Technologies
17	IR	Infrared
18	Jurkat	Human leukemic cancer cell line

- 1 Jurkat-pLVTHM Human leukemic cancer cell line obtained by transfection with
- 2 nonspecific short hairpin ribonucleic acid
- 3 Jurkat-shBak Human leukemic cancer cell line obtained by ribonucleic acid
- 4 interference of Bak
- 5 MIA PaCa-2 Human Pancreas Carcinoma cell line
- 6 MMP-2 metaloproteinase-2
- 7 MMP-9 metaloproteinase-9
- 8 MTT 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide
- 9 NMR Nuclear magnetic resonance
- 10 Oxaliplatin [Pt(oxalate)(*R,R*-1,2-diaminocyclohexane)]
- 11 PAGE PolyAcrylamide Gel Electrophoresis
- 12 PBS Phosphate buffered saline solution
- 13 PC-3 Human androgen-independent prostate cancer cell line
- 14 RM175 $[(\eta^6\text{-C}_6\text{H}_5\text{Ph})\text{Ru}(\text{ethylenediamine})\text{Cl}][\text{PF}_6]$
- 15 RNA ribonucleic acid
- 16 RNAi ribonucleic acid interference
- 17 RPMI Roswell Park Memorial Institute
- 18 SDS Sodium Dodecyl Sulfate
- 19 shRNA short hairpin ribonucleic acid

- 1 TAMRA Carboxytetramethylrhodamine.
- 2 TDT Tumour Doubling Time
- 3 Tm melting temperature
- 4 TM34 $[(\eta^5\text{-C}_5\text{H}_5)\text{Ru}(\text{bipy})(\text{PPh}_3)][\text{CF}_3\text{SO}_3]$
- 5 Titanocene-Y bis-[(*p*-methoxybenzyl)cyclopentadienyl]titanium(IV) dichloride
- 6 UV-vis ultraviolet-visible
- 7 VEGF Vascular Endothelial Growth Factor

8

9

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16 **Appendix A. Supplementary data.** Supplementary data associated with this article can
17 be found in the online version, at <http://>. These data include: Representative NMR, UV-
18 vis and CD spectra of compounds **a·HCl**, **a'·HCl**, **1a**, **1a'**. Elemental analysis data of
19 **1a**. Selected biological data. Selected crystallographic data and bond lengths and angles
20 for X-ray molecular structures of **1a'**.

21

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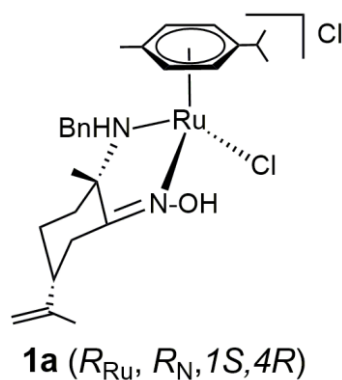
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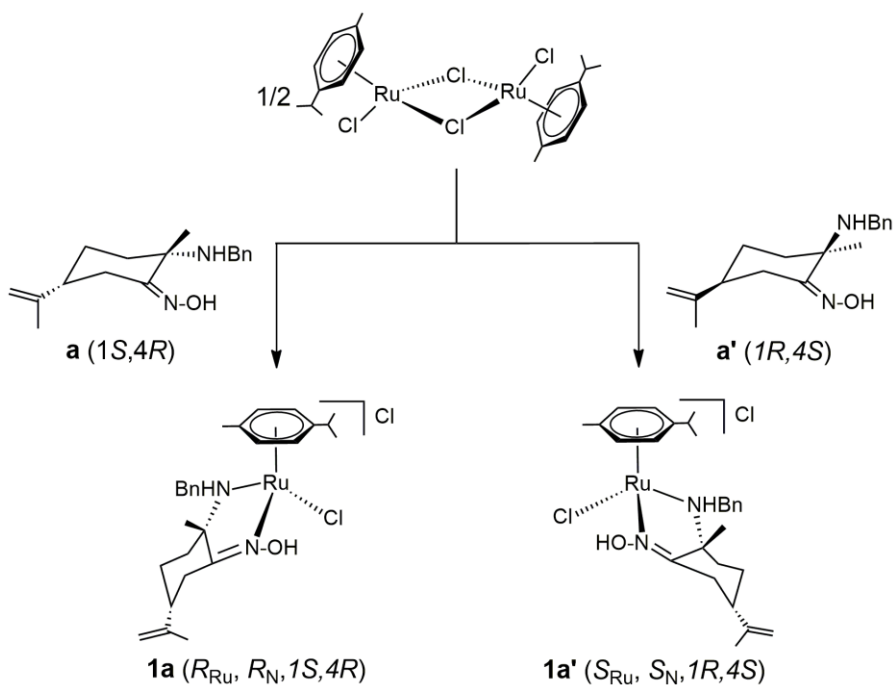
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4 Figures and Tables

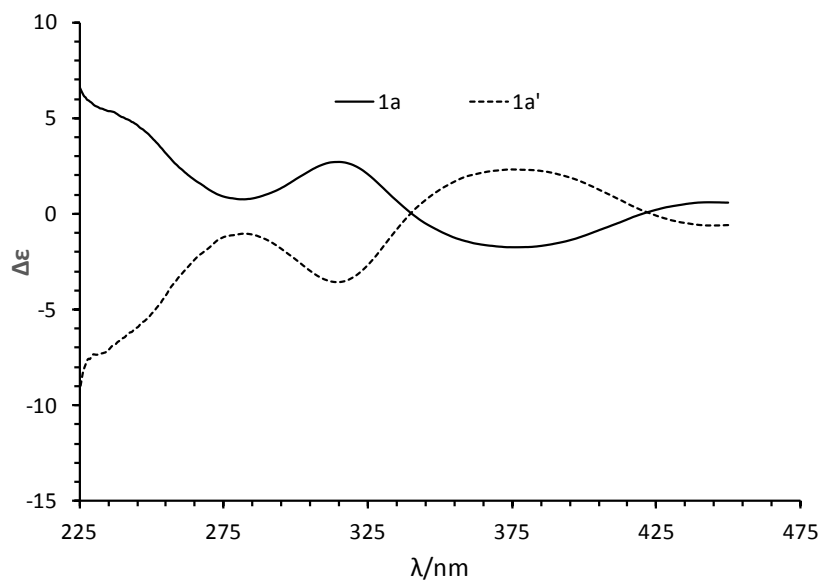
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7 **Fig. 1.** Optically active ruthenium compound containing an amino-oxime ligand derived
8 from *R*-limonene.



10 **Fig. 2.** Synthesis of optically active amino-oxime ruthenium compounds.



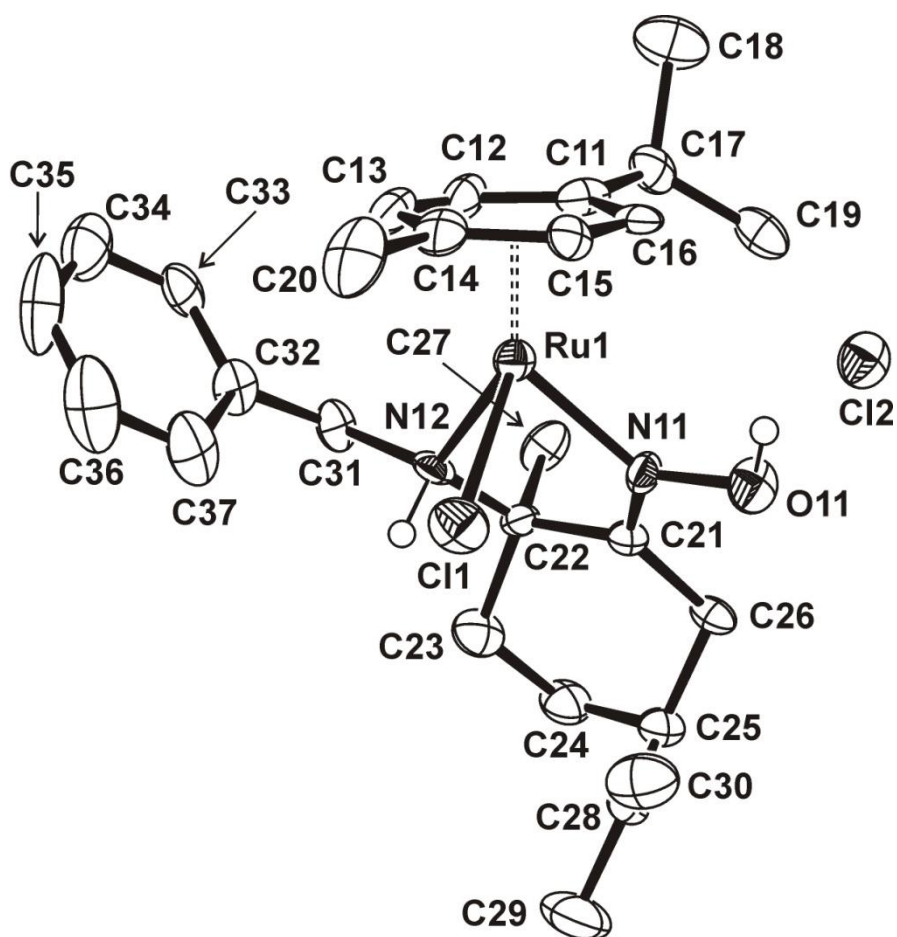
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2 **Fig. 3.** The CD spectra of enantiomers **1a** and **1a'** in water solution.

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2 **Fig. 4.** ORTEP drawing of compound **1a'** with 50% probability ellipsoids. Hydrogen
 3 bonded to carbon atoms have been omitted for clarity. Representative lengths (Å) and
 4 angles (deg): Ru(1)-Ct(1) 1.674; Ru(1)-Cl(1) 2.404(4); Ru(1)-N(11) 2.067(10); Ru(1)-
 5 N(12) 2.173(10); N(11)-O(11) 1.381(13); Cl(1)-Ru(1)-N(11) 82.9(3); Cl(1)-Ru(1)-
 6 N(12) 81.8(3); N(11)-Ru(1)-N(12) 75.8(4); Ru(1)-N(11)-O(11) 124.0(8); Ru(1)-N(11)-
 7 C(21) 121.6(8); O(11)-N(11)-C(21) 114.4(10); Ru(1)-N(12)-C(22) 111.1(7); Ru(1)-
 8 N(12)-C(31) 120.6(8); C(22)-N(12)-C(31) 112.7(10); (Ct(1) is the centroid of the
 9 C(11)-C(16) ring).

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1 **Table 1.** IC₅₀ values (μM) of metal compounds **1a** and **1a'** in a variety of human cell
2 lines.^{a,b}

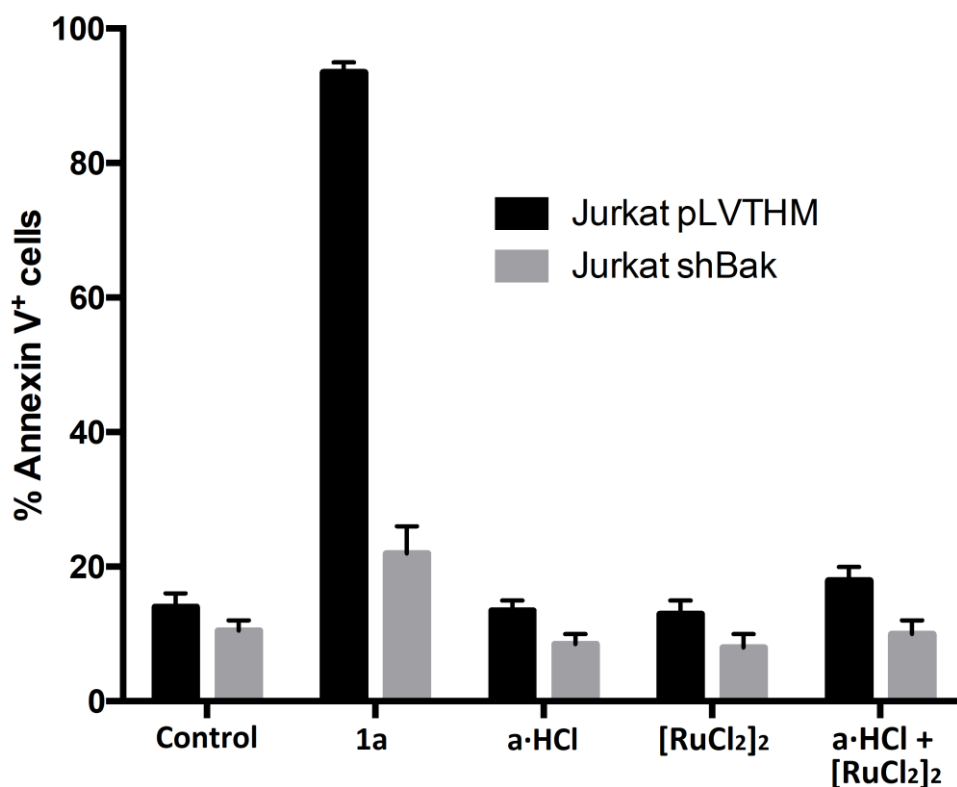
	Metal compounds		
	1a	1a'	Cisplatin
PC-3	8.70 ± 1.50	14.0 ± 2.4	104.2 ± 8.1
A-549	7.2 ± 1.5	9.1 ± 2.4	114.2 ± 9.1 ^b
MIA PaCa-2	9.7 ± 2.1	13.1 ± 2.6	76.5 ± 7.4 ^b
HCT-116	11.5 ± 2.0	18.4 ± 1.8	34.9 ± 3.0 ^b
Jurkat-T	4.0 ± 0.7	4.7 ± 0.9	10.8 ± 1.2 ^b
HeLa	7.5 ± 1.2	6.7 ± 1.4	--

3 ^a Data are expressed as mean ± S.D. (n = 4)

4 ^b Values obtained with the same technique, cell lines and incubation times
5 [32,45,81,109,110].

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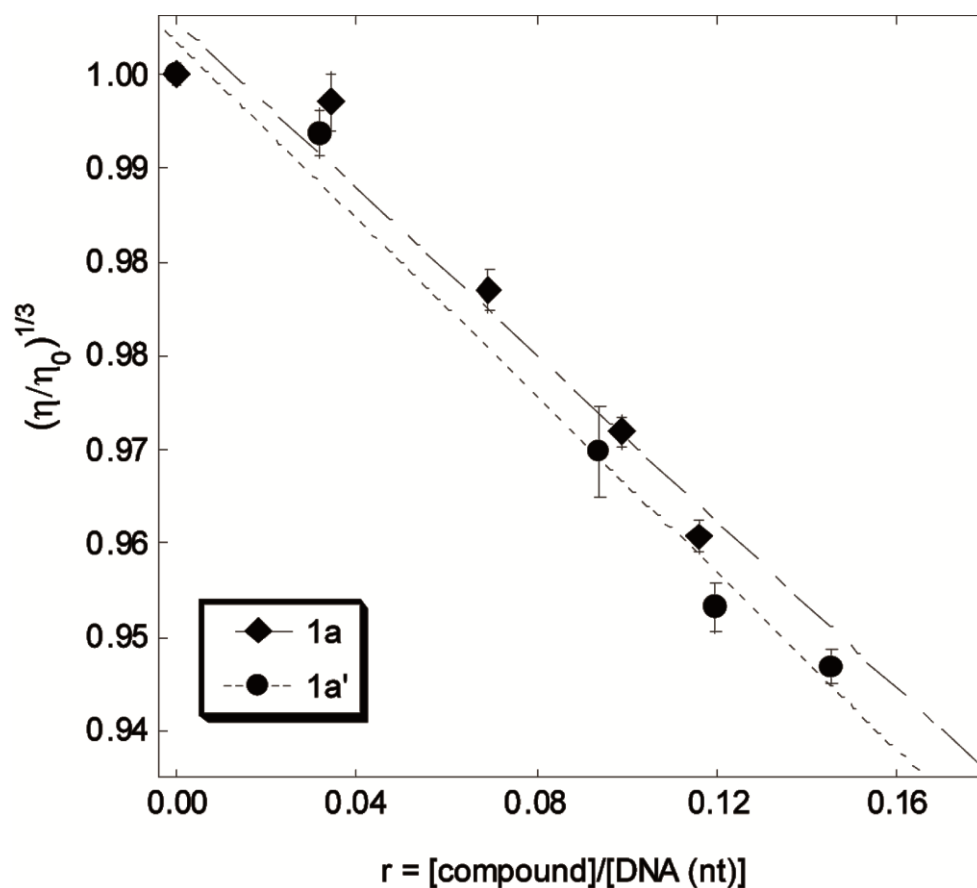
2 **Fig. 5.** Comparison of the effect of $[(\eta^6\text{-}p\text{-cymene})\text{RuCl}_2]_2 + \mathbf{a}\cdot\text{HCl}$, $\mathbf{a}\cdot\text{HCl}$ and **1a** on
 3 Jurkat-T cell apoptosis after 24 h of exposure ($[\text{RuCl}_2]_2 = [(\eta^6\text{-}p\text{-cymene})\text{RuCl}_2]_2$).

4 **Table 2.** DNA apparent association constants of ruthenium(II) compounds obtained by
 5 equilibrium dialysis^(a).

	Compound 1a	Compound 1a'
DNA	$K_{\text{app}} (\text{M}^{-1}) \times 10^{-4}$	$K_{\text{app}} \times 10^{-4}$
Calf Thymus (CT)	3.0 ± 0.3	1.5 ± 0.2
ds17 sequence	2.4 ± 0.2	7.9 ± 0.3

6 ^a Metal complex solutions were equilibrated with 75 μM of nucleic acid (in each
 7 dialysis bag) for 24 h at room temperature. UV-visible spectra were recorded after
 8 detergent addition and the concentrations of free and DNA-bound ligands determined.
 9 The competition dialysis data were used to calculate the 1a and 1a' apparent association
 10 constants, given by the equation $K_{\text{app}} = C_{\text{b}} / (C_{\text{f}})(S_{\text{total}} - C_{\text{b}})$, where C_{b} is the amount of
 11 metal complex bound, C_{f} is the free metal complex concentration and $S_{\text{total}} = 75 \mu\text{M}$,
 12 in monomeric units.

13



1
2 **Fig. 6.** Viscometric titrations of *Calf Thymus* (CT) DNA and metal complexes **1a** and
3 **1a'**, at 25 °C (10 mM sodium phosphate buffer, pH 7.2).

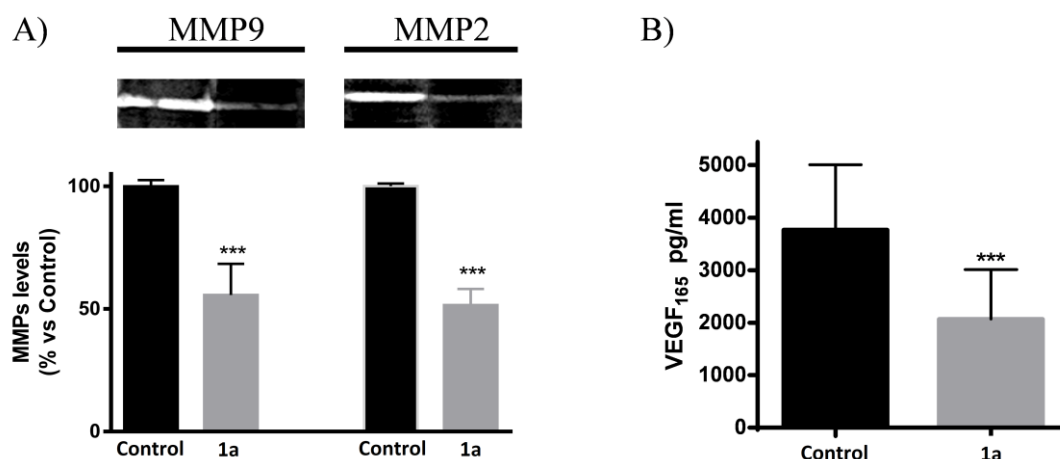
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5 **Table 3.** Effect of treatment of PC-3 cells with **1a** on tumor weight, tumor burden and
6 Tumor Doubling Time (TDT). Values are mean ± SE. *, $P < 0.05$ vs. control group.

Groups	Tumor weight, mg (% inhibition)	Tumor burden, mg/g body weight (% inhibition)	TDT, days (% increase)
Control (n = 10) ^a	1,633.8 ± 153	53.4 ± 5.6	8.68 ± 0.52
1a (n = 10) ^a	1,008 ± 103 (39)*	36.6 ± 3.1 (68)*	10.84 ± 0.58 (25)*

7 ^a (number of animals)

8

1



2

3 **Fig. 7.** Effect of treatment of PC-3 cells with compound **1a** on A) the activity of
4 metalloproteinase 9 and 2, and B) the expression of the proangiogenic factor VEGF₁₆₅.

5 Androgen-independent prostate cancer cells were incubated in the absence or presence

6 of **1a** (2.5 μ M) for 24 h. The cell suspension was mixed with Matrigel® and injected

7 subcutaneously into the right flank of nude mice (5×10^6 cells/mouse). Ten mice were

8 used in each group. Pro-MMPs activities, as well as VEGF₁₆₅ levels, were determined in

9 tumor homogenates (25 μ g) by ELISA and gelatin zymography. Data in each bar are the

10 means \pm SE. ***, $P < 0.001$ vs. control group.

11