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# 1 **Humidity-activated shape memory effect on plasticized** 2 **starch-based biomaterials.**

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## 8 ABSTRACT

9 Humidity-activated shape memory behaviour of plasticized starch-based films  
10 reinforced with the innovative combination of starch nanocrystals (SNCs) and catechin  
11 as antioxidant were studied. In a previous work, we reported the processing of  
12 gelatinized starch-based films filled with SNCs and catechin as antioxidant agent, and  
13 we observed that this novel combination leads to starch-based film with enhanced  
14 thermal and mechanical performance. In this work, the humidity-activated shape  
15 memory behavior of the previous developed starch-based films was characterized. The  
16 moisture loss as well as the moisture absorption were studied since they are essential  
17 parameters in humidity-activated shape memory polymers to fix the temporary shape  
18 and to recover the original shape, respectively. Therefore, the effect of the incorporation  
19 of SNCs and catechin on the humidity-activated shape memory properties of plasticized  
20 starch was also studied. Moreover, the effectiveness of catechin to increase the polymer  
21 stability under oxidative atmosphere and the thermo-mechanical relaxation of all the  
22 starch-based materials were studied. The combination of plasticized starch matrix  
23 loaded with both, SNCs and catechin, leads to a multifunctional starch-based films with  
24 increased hydrophilicity and with excellent humidity-activated shape memory behavior  
25 with interest for potential biomedical applications.

## 26 **Highlights**

- 27 • The humidity-activated shape memory behaviour of starch biomaterials was  
28 studied;
- 29 • The shape memory mechanism was based on the plasticizing effect of humidity;
- 30 • The presence of SNCs and Cat, produced a significant increase on the  $R_r$  values.

31

32 **Keywords:** starch, shape memory, bending, catechin, humidity.

## 33 **1. Introduction**

34 Shape memory polymers (SMPs) have gained considerable interest during the last years  
35 in both academics and industrial sector, focusing the attention on their potential  
36 **application in the** biomedical sector (Peponi, Navarro-Baena, & Kenny, 2014; Yahia,  
37 2015). SMPs have the capacity to memorize their original shape after being deformed in  
38 a temporary shape under the application of external stimulus, such as temperature,  
39 humidity, pH, light, etc. (Olalla, Sessini, Torres, & Peponi, 2016). These shape changes  
40 are achieved throughout the “programming” and the “recovery” stages, regulated by the  
41 external stimulus (Peponi, Arrieta, Mujica-Garcia, & López, 2016). In the  
42 “programming” stage, the material is deformed and fixed in a “temporary shape”. Then,  
43 upon the application of an external stimulus, the material recovers its initial shape  
44 throughout the “recovery” stage (Chan et al., 2016). One of the most used stimuli is the  
45 temperature, therefore, thermally-activated SMPs have to be heated above their  
46 characteristic transition temperature ( $T_{trans}$ ) to induce their shape change (Peponi et al.,  
47 2017).

48 SMPs can be applied in several fields including biomedical applications. However,  
49 when SMPs are used for biomedical applications, two key points have to be considered.  
50 From one hand, the materials used need to respond to biocompatibility, non-toxicity,

51 biodegradability, sterilizability and specific mechanical properties (Ward Small,  
52 Singhal, Wilson, & Maitland, 2010). As example, biodegradable polymeric materials  
53 are being investigated in developing medical devices such as temporary biological  
54 wound dressing materials, temporary prostheses, three-dimensional porous structures as  
55 scaffolds for tissue engineering and for drug delivery in pharmacological applications  
56 (Arockianathan, Sekar, Kumaran, & Sastry, 2012; Nair & Laurencin, 2007). **From the**  
57 **other hand, it is necessary to use a stimulus compatible with the human body** (Chan et  
58 al., 2016; Lendlein, Behl, Hiebl, & Wischke, 2010). So, when working with thermally-  
59 activated SMPs for biomedical applications, a  $T_{trans}$  close to body temperature is  
60 required (Lendlein et al., 2010). In order to design SMPs with  $T_{trans}$  close to body  
61 temperature it is possible playing with their chemical composition or by adding  
62 nanofillers able to modify their thermal response (Behrens & Appel, 2016). However,  
63 moisture-activation of SMPs can be a good alternative as stimuli-responsive materials  
64 for biomedical applications (Chen, Hu, Yuen, & Chan, 2009; Sessini et al., 2016).  
65 Further investigations showed that the hydrogen bonding is the key player for the  
66 humidity activation as well as water absorbed in the polymer plays a main role in the  
67 shape recovery process (Zhang, Wang, Zhong, & Du, 2009). Therefore, in order to  
68 develop humidity-activated SMPs it is possible to use polymers with functional groups  
69 able to be involved in hydrogen bonding with water molecules or it is possible to use  
70 hydrophilic components.

71 Thus considering all these properties, biodegradability, hydrophilicity and  
72 biocompatibility, starch can be considered as an excellent material for the design of  
73 humidity-responsive SMPs for biomedical application. Starch, in fact, is a low cost  
74 polysaccharide, abundantly available in nature and one of the cheapest biodegradable  
75 polymers. It is produced by agricultural plants in the form of granules, which are  
76 hydrophilic (Raquez et al., 2008). Starch is mainly extracted from potatoes, corn, wheat,

77 rice, etc. It is composed of amylose (poly- $\alpha$ -1,4-D-glucopyranoside), a linear polymer  
78 and amylopectin (poly- $\alpha$ -1,4-D-glucopyranoside and  $\alpha$ -1,6-D-glucopyranoside), a  
79 branched polymer (Lourdin et al., 2015). **To extend the starch functionalities, several**  
80 **plants have been modified in their starch biosynthesis pathway to modulate the amount**  
81 **of amylose of starch granules (Firouzabadi, F. N., et al., 2007). In other cases starches**  
82 **are modified in their waxy form, for example characterized by a rich content of**  
83 **amylopectin (> 99%) to be used for the synthesis of starch nanocrystals, SNCs.**  
84 **(Bemiller, J. N., 1997). Therefore, starch can be used as polymer matrix in form of**  
85 **thermoplastic starch (TPS) by gelatinization of native starch (Averous, 2004) as well as**  
86 **nanofillers in form of starch nanocrystals, SNCs (Angellier, Choisnard, Molina-**  
87 **Boisseau, Ozil, & Dufresne, 2004). The glass transition temperature ( $T_g$ ) of starch is**  
88 **strongly affected by the relative humidity (RH) at which it is stored showing either a**  
89 **glass-like rigid and fragile mechanical behavior, or a rubber-like behavior (Lourdin,**  
90 **Coignard, Bizot, & Colonna, 1997). However, the brittleness of starches is frequently**  
91 **overcome by the addition of plasticizers to get the flexibility required for film**  
92 **applications (Jiménez, Fabra, Talens, & Chiralt, 2012). Among them, glycerol is a**  
93 **natural plasticizer widely used to develop TPS by reducing intra and intermolecular**  
94 **hydrogen bonds (Arrieta, Peltzer, del Carmen Garrigós, & Jiménez, 2013; Averous,**  
95 **2004; Jiménez et al., 2012). In the literature there are reports of different** biomedical  
96 applications of biodegradable starch-based films as SMPs, such as shape-memory  
97 resorbable materials (Beilvert et al., 2014), temporary biological wound dressing  
98 materials (Arockianathan, Sekar, Kumaran, & Sastry, 2012), scaffolds for bone tissue  
99 engineering applications (Martins et al., 2012) and drug delivery systems (Schmitt et al.,  
100 2015). **However, preliminary studies on shape memory effects on starch-based materials**  
101 **are presented by Chaunier et al. (Chaunier & Lourdin, 2009) in 2009 reporting an**  
102 **example of dual-shape capability of potato starch and by Véchambre et al. (Véchambre,**

103 Chaunier & Lourdin, 2010) in 2010, in which they studied the shape memory response  
104 of extruded potato starch triggered by humidity.

105 In order to improve its properties starch can be modified by adding nanofillers obtaining  
106 nanocomposites. In general, the addition of nanofillers to a polymer matrix increases its  
107 mechanical strength and stiffness and sometimes can generate functional properties,  
108 originating from the synergetic effect between both components (Peponi, Puglia, Torre,  
109 Valentini, & Kenny, 2014). Among bionanofillers, special attention has been paid to  
110 SNCs (Lin, Huang, Chang, Anderson, & Yu, 2011).

111 Green tea polyphenols, in particularly catechin (Cat), have gain interest owing to their  
112 multiple biological effects due to their strong antioxidant capacity (Castro López et al.,  
113 2012). Catechin is also interesting from a processing point of view since their  
114 antioxidant activity protects the polymer matrices during thermal and thermomechanical  
115 processing (Arrieta et al., 2014). Moreover, catechin can be used to improve shape  
116 memory response of polymeric matrices (Arrieta, Sessini & Peponi, 2017).

117 In our previous work (Sessini, Arrieta, Kenny, & Peponi, 2016) we reported the  
118 processing of gelatinized starch-based films filled with SNCs and catechin as  
119 antioxidant agent for edible films. It was observed that for film manufacturing the  
120 inherent brittleness of starch can be overcome by the addition of 35 wt % of glycerol as  
121 plasticizer (S-Gly35). Additionally, we observed that the novel combination of  
122 plasticized starch compounded with both SNCs and Cat leads to materials with  
123 enhanced thermal and mechanical performance.

124 Based on these previous results, the main objective of the present work is to design high  
125 performance humidity-activated shape memory starch-based films, for potential  
126 biomedical applications. With this purpose, the humidity-activated shape memory  
127 behavior was characterized for neat starch plasticized with 35 % of glycerol and its  
128 filled counterparts. In order to know the parameters to fix the temporary shape and to

129 recover the original shape, the moisture loss as well as the moisture absorption was  
130 studied evaluating also the effect of the incorporation of both SNCs and catechin on the  
131 humidity-activated shape memory properties of plasticized starch-based films.  
132 Moreover, the effectiveness of catechin to increase the polymer stability under oxidative  
133 atmosphere and the thermo-mechanical relaxation of all the starch-based materials were  
134 studied in order to characterize their thermal properties.

## 135 **2. Materials and Methods**

### 136 2.1 Materials

137 Native potato starch (moisture content of 12 % and amylose content of 18 - 21 %) was  
138 kindly supplied by Novamont. Glycerol and catechin dehydrate were purchased from  
139 Panreac Quimica (PRS) and Sigma-Aldrich, respectively. Waxy maize starch (N200)  
140 used to synthesize the SNCs, was supplied by Roquette Laisa (Spain). Sulfuric acid  
141 ( $H_2SO_4$ ) was purchased from Sigma-Aldrich. SNCs were synthesized by acid hydrolysis  
142 as reported in our previous work (Sessini et al., 2016). In brief, waxy maize starch was  
143 dispersed in an aqueous solution of  $H_2SO_4$  and heated at 40 °C under continuous  
144 mechanical stirring (100 rpm) for 5 days. The final suspensions were washed by  
145 successive centrifugations in distilled water (10,000 rpm for 10 minutes) until reaching  
146 neutral pH and then, it was filtered and stored at 4 °C.

### 147 2.2 Film preparation

148 The starch-based films were obtained by gelatinization of starch/water/glycerol  
149 dispersion (Sessini et al., 2016). Briefly, TPS was successfully obtained by  
150 gelatinization of an aqueous dispersion of 1 wt % of potato starch and 35 wt % of  
151 glycerol relative to the mass of starch. The dispersion was gelatinized at 80 °C during 15  
152 min under continuous stirring. Starch solution, was cast over polystyrene petri dish in a

153 ventilated oven at 35 °C for 24 h to obtain films with a thickness of about 100 µm. The  
154 obtained unfilled film was named S-Gly35. The polymeric matrix was reinforced by  
155 adding 1 wt % (relative to the mass of starch) of catechin (S-Gly35-Cat) and SNCs (S-  
156 Gly35-SNC), respectively. Moreover, the synergetic effect of both catechin and SNCs  
157 was evaluated in the starch-based films by adding together catechin and SNCs, both at 1  
158 wt % relative to the mass of starch, obtaining the sample named S-Gly35-Cat-SNC.

### 159 2.3 Characterization Techniques

160 Before characterization, all the starch-based films were stored for one week at 50 % RH.  
161 The SNCs dispersion into the polymer matrix was studied by Field Emission Scanning  
162 Electron Microscope (FE-SEM, Hitachi S8000). The cryo-fracture surfaces of the  
163 starch-based films filled with SNCs were observed. The films were previously frozen  
164 using liquid N<sub>2</sub> and then cryo-fractured. All the samples were chrome coated by an  
165 automatic sputter coater Quorum Tech Q 150T ES **previously to be observed by FE-**  
166 **SEM.**

167 Differential Scanning Calorimetry (DSC) analysis was performed in a Mettler Toledo  
168 DSC822e instrument under oxygen atmosphere (30 mL/min). Samples were heated  
169 from 30 to 300 °C at a heating rate of 10 °C min<sup>-1</sup> to determine the oxidation induction  
170 time (OIT) as well as the temperature at which a rapid increase in the rate of oxidation  
171 was observed (onset oxidation temperature, OOT). OIT and OOT values were  
172 calculated by extrapolating the tangent drawn on the steepest slope of exothermic  
173 reaction, which corresponds to the degradation, in the baseline (Erhan, Sharma, &  
174 Perez, 2006).

175 Dynamic Mechanical Thermal Analysis (DMTA) of the samples was carried out using a  
176 DMA Q800 from TA Instrument in film tension mode with an amplitude of 5 µm, a  
177 frequency of 1 Hz, a force track of 125 % and a heating rate of 2 °C·min<sup>-1</sup>. The samples

178 were cut from casted films into rectangular specimens of approximately 20 mm x 6 mm  
179 x 0.10 mm.

180 Surface wettability of the starch-based films was studied through static water contact  
181 angle (WCA) measurements using a KSV Theta goniometer. The volume of the droplets  
182 was controlled to be about 7.0  $\mu\text{L}$  and a charge coupled device camera was used to  
183 capture the images of the water droplets for the determination of the contact angles. The  
184 contact angle was determined by randomly putting 4 drops of distilled water with a  
185 syringe onto the film surfaces and the average values were used.

186 Moisture absorption was tested at room temperature (RT) after specimens were dried in  
187 a dry chamber ( $\text{RH} \leq 10\%$ ). The samples were put on a humidity chamber at  $\text{RH} = 72\%$   
188  $\%$ . Saturated salt solutions were used to provide specific relative humidity. Weight  
189 percentage of specimen was weighted after each 5 min firstly, and then the moisturizing  
190 time increased gradually when its absorption speed was slow (Chen et al., 2009; Vogt,  
191 Soles, Lee, Lin, & Wu, 2005). Finally, the dependency of moisture absorption on time  
192 was obtained. The same method was used to test the dependency of moisture loss vs  
193 time. The specimens were put on a dry chamber ( $\text{RH} \leq 10\%$ ) at RT until reach the  
194 equilibrium.

195 Humidity-mechanical cyclical experiments were carried out by bending test. The  
196 programming stage was performed in a dry chamber ( $\text{RH} \leq 10\%$ ) at RT, then the  
197 recovery stage was achieved in a humidity chamber ( $\text{RH} = 72\%$ ) at RT. The samples  
198 were cut from the casted films into rectangular specimens of approximately 40 mm x 15  
199 mm x 0.10 mm.

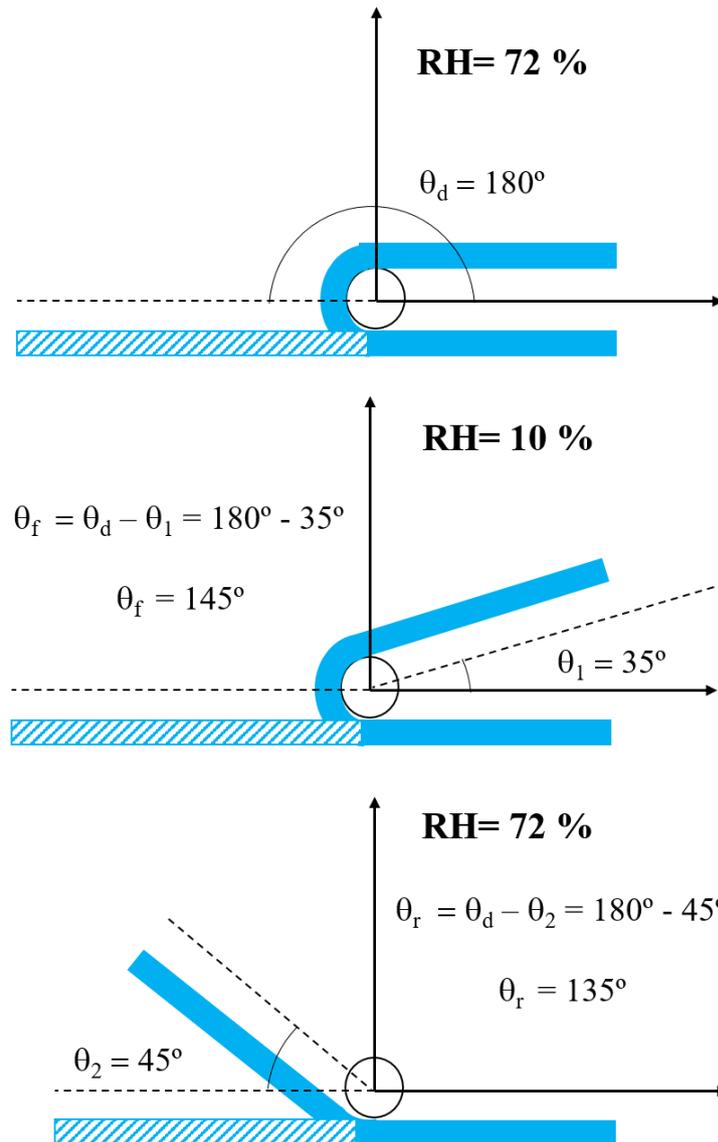
200 The shape recovery ratio ( $R_r$ ) was calculated from the ratio of the different angles before  
201 and after recovery using the recovered angles ( $\Theta_r$ ) and the deformed angles ( $\Theta_d$ ) in the  
202 temporary shape, as reported in the equation below:

203 
$$R_r(\%) = \frac{(\theta_d - \theta_r)}{\theta_d} \times 100$$
 Equation (1)

204 The shape fixity ratio ( $R_f$ ) can be calculated from the ratio of the fixed angle ( $\Theta_f$ ) and  
205 the  $\Theta_d$  as shown in the follow equation:

206 
$$R_f(\%) = \frac{\theta_f}{\theta_d} \times 100$$
 Equation (2)

207 The measure of the bending test angles has been carried out through an open source  
208 image-processing program, ImageJ. The measures have been taken for triplicate in order  
209 to calculate a standard deviation. **Scheme 1 indicates the bending test procedure for**  
210 **studying the shape memory behaviour of the materials, indicating the recovered and**  
211 **deformed angles as well as the humidity corresponding to each phase of the**  
212 **programming and recovery analysis.**



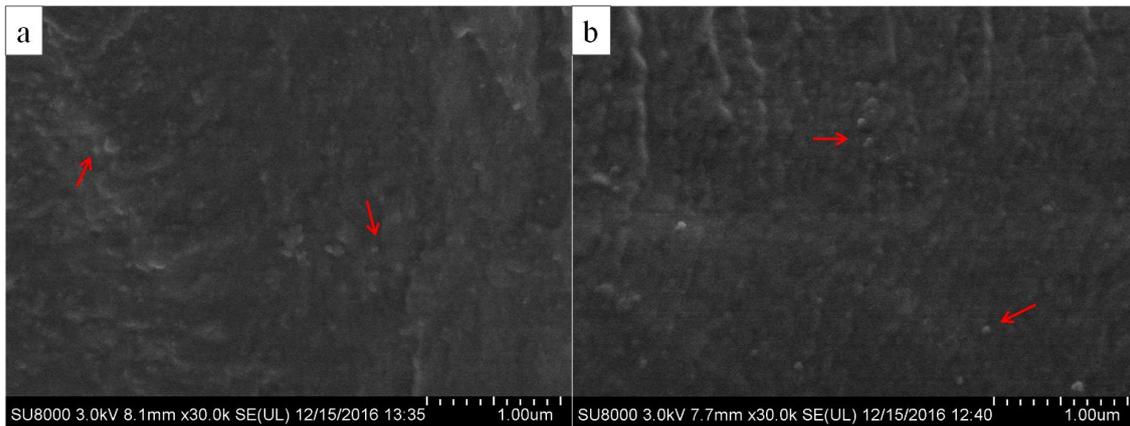
213

214 **Scheme 1. Bending test procedure for studying the shape memory behaviour of the**  
215 **materials, indicating the recovered and deformed angles as well as humidity.**

### 216 **3. Results and Discussion**

217 The cryo-fractured starch-based film surfaces (**surface of rupture on the thickness of the**  
218 **film**) were studied with FE-SEM in order to visualize the SNCs dispersion into the  
219 starch matrix. Figure 1 shows the cryo-fractured surface of the samples reinforced with  
220 SNCs, i.e. S-Gly35-SNC and S-Gly35-Cat-SNC. SNCs were visible in the FE-SEM

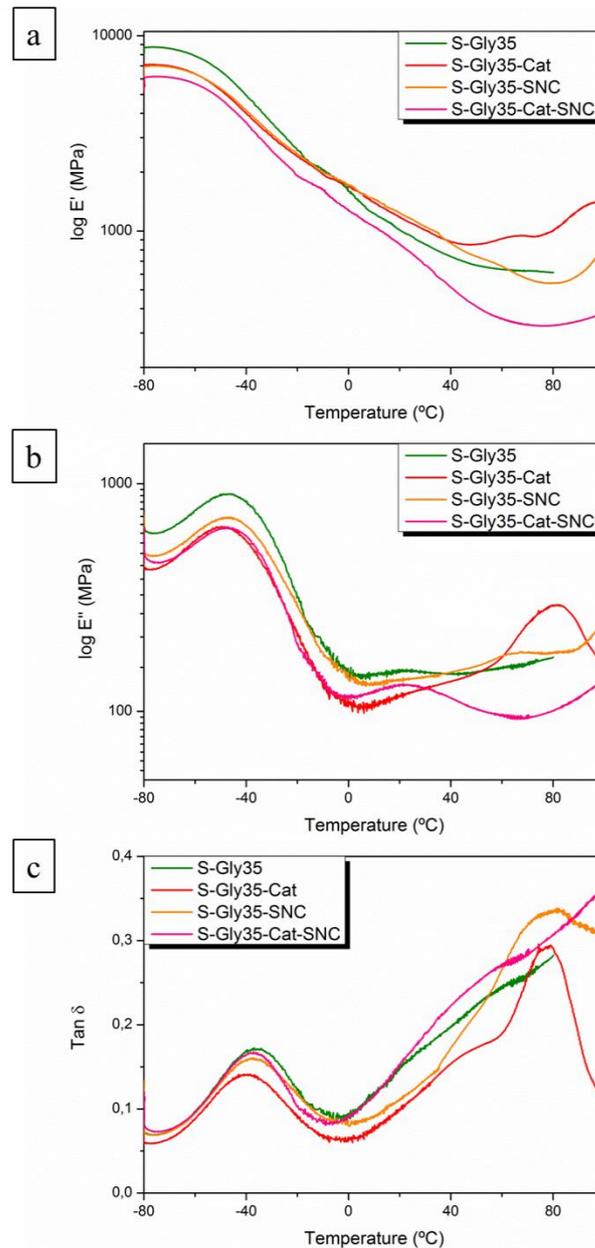
221 images, confirming their good dispersion into the plasticized starch-based film (See  
222 arrows in Figure 1).



223

224 Figure 1. FE-SEM images of the cryo-fractured surface of the starch-based materials  
225 reinforced with SNCs; a) S-Gly35-SNC and b) S-Gly35-Cat-SNC.

226 In order to study the main thermo-mechanical relaxation of our systems, DMTA was  
227 performed. The evolution of the storage modulus ( $E'$ ), loss modulus ( $E''$ ) and damping  
228 factor ( $\tan \delta$ ) as a function of temperature was measured over the temperature range of -  
229 80 °C to 100 °C and the results are presented in Figure 2. At temperature -80 °C, all the  
230 starch-based films exhibit high storage modulus values, indicating the rigidity of the  
231 freeze structure below  $T_g$ . The storage modulus falls in two steps, the first between -80  
232 and -30 °C and the second one, less evident, between -30 and 40 °C with the  
233 corresponding peaks in the loss modulus curve (Figure 2.b) around -47 °C and 21 °C,  
234 respectively. It was previously reported in the literature that for starch-based materials  
235 constituted by the three-constituent system such as water-glycerol-starch, the roles of  
236 the two plasticizers (glycerol and water) are dependent on their respective concentration  
237 (Lourdin, Bizot, & Colonna, 1997). In fact, depending on the plasticizer content, starch  
238 can show two different structural relaxations corresponding to a non-homogeneous  
239 material constituted by two different phases, a glycerol-rich phase and a starch-rich  
240 phase (Liu, Bhandari, & Zhou, 2006).



241

242 Figure 2. Dynamic mechanical thermal analysis, a) storage modulus, b) loss modulus

243

and c) damping factor, for all the starch-based films.

244

In our case, the results observed in Figure 2.b suggest that by using 35 wt % of glycerol,

245

two glass transitions of a phase-separated system on all the starch-based film curves can

246

be observed. The first peak in the loss modulus curves (Figure 2.b), named β relaxation,

247

is due to the glycerol-rich phase. The second peak in the loss modulus curve (Figure

248

2.b) around 21 °C, named α relaxation, was attributed to the T<sub>g</sub> of starch, confirming

249

previous results reported in literature (Forsell, Mikkilä, Moates, & Parker, 1997). As it

250 is shown in Figure 2.b and c, it can be concluded that the fillers does not disturb the  
251 mobility of glycerol-rich phase. On the contrary, when the SNCs were added into the  
252 starch-based matrix, the  $\alpha$  relaxation is found to increase and to shift to higher values of  
253 temperature, even more when only Cat were added. This is an indication that the  
254 presence of the filler hinders the molecular mobility of amylopectin chains, in  
255 agreement with the results obtained also by Viguié et al. (Viguié, Molina-Boisseau, &  
256 Dufresne, 2007) for plasticized waxy maize starch reinforced with SNCs. Viguié et al.  
257 reported that this phenomenon is most probably ascribed to a direct contact between  
258 amylopectin-rich domains of the matrix and starch nanocrystals, and to the formation of  
259 strong interactions between both components due to hydrogen bonding (Viguié et al.,  
260 2007). Thus, in our case, SNCs and particularly Cat (S-Gly35-SNC and S-Gly35-Cat),  
261 hindered the molecular mobility of amylopectin chains probably due to the formation of  
262 hydrogen bonding. In the case of S-Gly35-Cat-SNC, where 1 % of both, Cat and SNCs,  
263 were added into the starch-based films,  $\alpha$  relaxation temperature evidently decreased  
264 compare to the other filled films.

265 The temperatures of relaxation observed are summarized on Table 1.

266

267 Table 1: Summary of the relaxation temperatures taken from loss modulus diagram.

Sample	$\beta$ -relaxation (°C)	$\alpha$ -relaxation (°C)
S-Gly35	-45	21
S-Gly35-Cat	-49	79
S-Gly35-SNC	-46	64
S-Gly35-Cat-SNC	-45	25

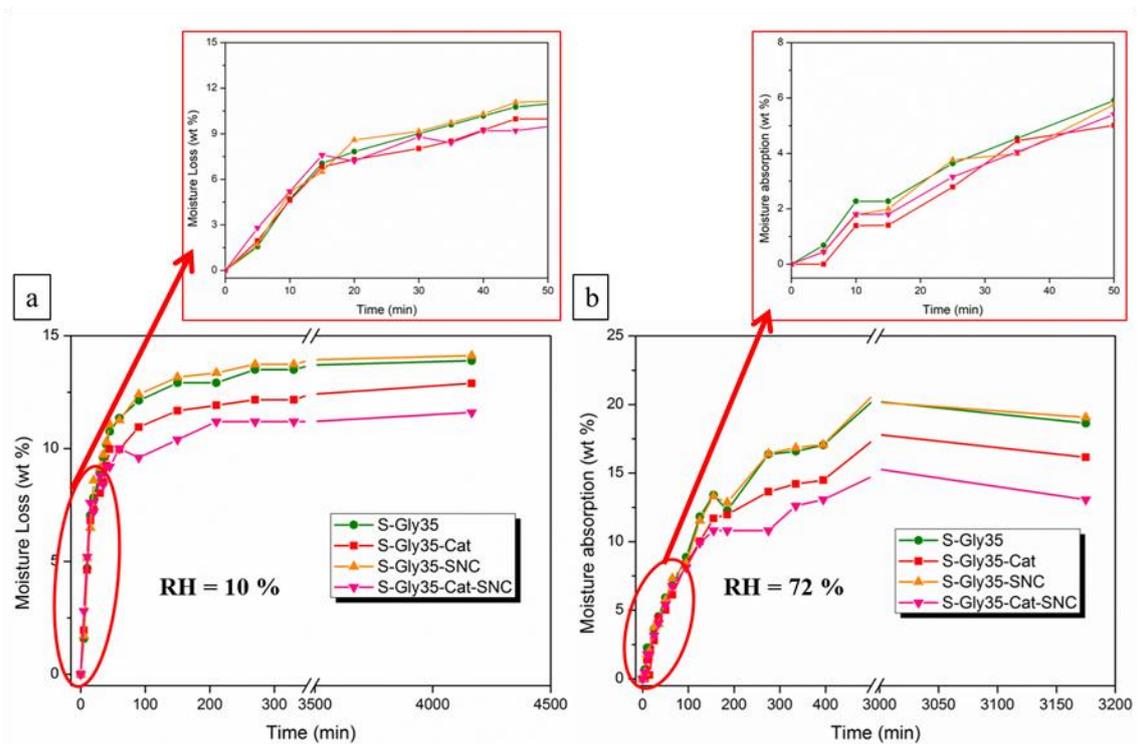
268

269 This result suggests that the presence of SNCs probably weakens the interchain  
270 interactions between starch matrix and catechin leading to an increase of molecular  
271 mobility of amylopectin chains and thus recovering the same mobility of its neat  
272 counterpart.

273 Starch-based materials are highly sensitive to humidity and their  $T_g$  decrease upon the  
274 water content absorbed into the material. Indeed, the humidity is capable to depress the  
275 glass transition of starch below room temperature. **Lourdin et al. (Lourdin et al., 1997)**  
276 **reported that the  $T_g$  of potato starch occurs below or above room temperature as a**  
277 **function of both the plasticizer and the water content. They showed that for potato**  
278 **starch with 35 wt % of glycerol, a content of water higher than 13 wt % is necessary to**  
279 **obtain a  $T_g$  below room temperature.** Therefore, humidity is used as external stimulus  
280 needed by these starch-based materials to present humidity-activated shape memory  
281 behavior at room temperature **due to the plasticizing effect of water.** At RH = 72 % the  
282 materials present a rubber-like behaviour, thus it was possible to modify their shape and  
283 to fix the temporary shape only reducing the RH. In fact at RH = 10 % the materials  
284 present a glass-like rigid behavior and they were capable to fix their temporary shape.  
285 Finally the recovery was activated with humidity (RH = 72 %). The materials became  
286 rubber-like again and the forces stored into the material during the programming, drove  
287 the recovery process. For that reason, the shape memory mechanism proposed here  
288 depends on the plasticizing effect of humidity on starchy materials.

289 Therefore, in order to define how many **minutes** are needed to activate both  
290 programming and recovery steps in the humidity-mechanical cycles used for the  
291 evaluation of the humidity-activated shape memory behavior, the dependency of  
292 moisture loss as well as of its absorption as a function of time were studied at RT.  
293 Figure 3.a and b present the dependencies of the moisture loss (fundamental for the  
294 temporary shape fixity) and the moisture absorption (fundamental for the original shape

295 recovery) with time for all the starch-based films. In Figure 3.a, it can be observed that  
296 all the starch-based films lost the moisture very quickly within the first 90 min, showing  
297 the same slope of the moisture loss curves for all the samples analyzed. Then, they tend  
298 to reach their **equilibrium state** after 300 min. Whereas, in S-Gly35-SNC the wt % of  
299 moisture lost was pretty much the same of its neat counterpart (S-Gly35). In the case of  
300 S-Gly35-Cat the wt % of moisture lost is lower than S-Gly35, and even more for S-  
301 Gly35-Cat-SNC. The same trend is shown in Figure 3.b for the moisture absorption.  
302 Indeed S-Gly35 and S-Gly35-SNC followed the same trend of moisture absorption,  
303 absorbing around 19 wt % of water at the equilibrium, 16 wt % for S-Gly35-Cat and 13  
304 wt % for S-Gly35-Cat-SNC have been obtained. The results showed that the presence of  
305 catechin in the starch matrix decreased the total wt % of moisture lost and absorbed,  
306 decreasing evens more with the presence of SNCs. Considering the high amount of  
307 hydroxyl groups on catechin and SNCs surfaces, it is expected that they will interact  
308 with water since the amount of water uptake is directly related with their polar free  
309 fraction able to interact with water (Arrieta et al., 2014).  
310 Nevertheless, in the case of S-Gly35-Cat-SNC, the well interaction established between  
311 catechin and SNCs, leded to less available -OH groups of both materials to interact  
312 with water, and thus S-Gly35-Cat-SNC formulation shows the lowest water absorption.



313

314 Figure 3. Dependencies on time of: a) moisture loss and b) moisture absorption.

315 The less water absorption was followed by the S-Gly35-Cat starch-based film since  
316 their -OH groups can interact better with plasticized starch matrix than SNCs, as it was  
317 already commented in DMTA results. For all starch-based films the slop of moisture  
318 loss (Figure 3.a) was much higher than that of moisture absorption (Figure 3.b) showing  
319 a faster kinetic for moisture loss process. In fact, in Figure 3.b, it can be observed that  
320 all the starch-based films absorbed much moisture within the first 150 min and then  
321 they tend to reach their saturated state after 450 minutes though they reach a middle  
322 maximum due to the swelling-deswelling phenomenon known as “overshooting effect”  
323 (Yin, Ji, Dong, Ying, & Zheng, 2008; Zhu, Ma, Wang, Zhang, & Zhang, 2016), then  
324 they reached the equilibrium.

325 However, in order to study the shape memory behaviour it is not necessary that the  
326 samples reach their equilibrium state. And from the zoom of both graphics of the  
327 moisture loss and moisture absorption, it is possible to see that their activation starts at

328 about 20 minutes. For this reason a time of 40 minutes has been choose for studying the  
329 shape memory response of these starch-based materials.

330 Therefore, we determined the conditions to be used for the humidity-mechanical cycles  
331 performed in the bending test configuration at RT:

- 332 – Programming: conditioning the sample at RH = 72 % for 40 minutes and then  
333 bending the samples under humidity conditions. Drying the samples at RH ≤ 10  
334 % for 40 minutes under constant bending.
- 335 – Recovery: releasing the bending in dry condition to obtain the fixation of  
336 temporary shape and recovering the original shape at RH = 72 % for 40 minutes.

337 These experimental conditions confirm that a time of 40 min is enough to change the  
338 physical behaviour of the starch-based material. In order to evaluate their shape-  
339 memory response, four different humidity-mechanical cycles were completed for each  
340 sample. In Figure 4, an example of humidity-mechanical bending cycle for all the  
341 materials is reported.

342 The  $R_r$  and  $R_f$  values obtained for each cycle of the starch-based films are reported in  
343 Table 2. The presence of both fillers, SNCs and Cat, produced a significant increase on  
344 the shape recovery ratio showing that the presence of particles gives higher stiffness to  
345 the systems allowing the better recovery of their original shape.

346 Table 2.  $R_r$  and  $R_f$  values for all the humidity-activated bending cycles performed for all  
347 the samples studied.

Sample	$R_r$ (%)				$R_f$ (%)				
	Cycle	1	2	3	4	1	2	3	4
S-Gly35		95±2	91±1	89±1	89±1	96±1	99±1	98±1	94±1
S-Gly35-Cat		98±1	99±1	99±1	99±1	97±1	96±1	96±2	98±1

S-Gly35-SNC	99±1	98±1	99±1	98±1	98±1	97±1	98±1	97±1
S-Gly35-Cat-SNC	95±1	97±1	96±1	98±1	98±1	96±1	96±1	93±1

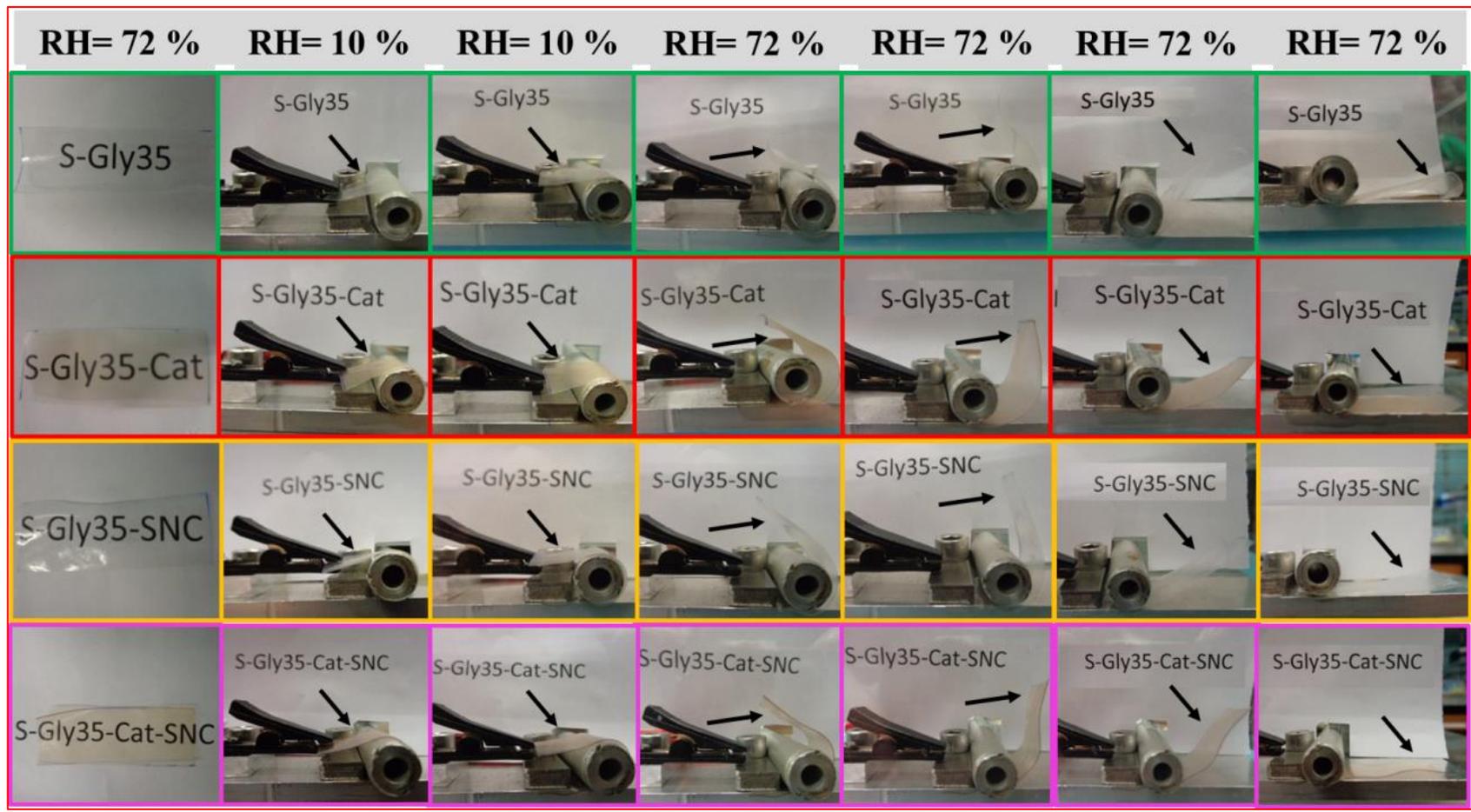


Figure 4. Example of humidity-activated bending cycles for all the starch-based films.

In Table 2 it is easy to note that the obtained  $R_f$  and  $R_r$  values reflect the excellent ability to both, fix the temporary and recover the original shape, respectively, even for the neat starch-based film, S-Gly35. The  $R_r$  values increased for S-Gly35-Cat, S-Gly35-SNC and S-Gly35-Cat-SNC, reaching values close to 100 %, increasing the recovery ability of the neat matrix. Moreover,  $R_f$ , close to 100 % for the neat matrix, did not change with the addition of the fillers to the matrix.

In order to study the possible biomedical application of these shape memory starch-based materials, the wettability and hydrophobic characteristics of their surfaces were determined from WCA measurements. It is known that the WCA is dependent on the cohesive and adhesive molecular forces within water and between the solid surface and water, respectively. A WCA higher than  $65^\circ$  is typical used as a threshold for hydrophobic surfaces, meanwhile WCA lower than  $65^\circ$  are related to hydrophilic surfaces (Arrieta et al., 2014; Vogler, 1998).

Surface wettability of artificial materials for biomedical applications is one of the most important factors affecting the cells adhesion. In fact, cells adhesion is not good on surfaces having too low or too high wettability (Wang et al., 2012; Yuan et al., 2013). In particular, with respect to surface wettability of polymeric materials, cells effectively adhere onto polymer surfaces presenting moderate wettability that is with WCA in the range of  $40-70^\circ$  (Arima & Iwata, 2007). In Figure 5, the WCA values for all the starch-based materials are reported. S-Gly35 showed a WCA of  $83\pm 4^\circ$ , while the other films showed lower WCA. This means that the incorporation of SNCs and catechin increases the wettability of the starch-based films. Indeed when SNCs were added to the system, the contact angle decrease until  $66\pm 3^\circ$  and when catechin was added a contact angle of  $63\pm 3^\circ$  was reported.

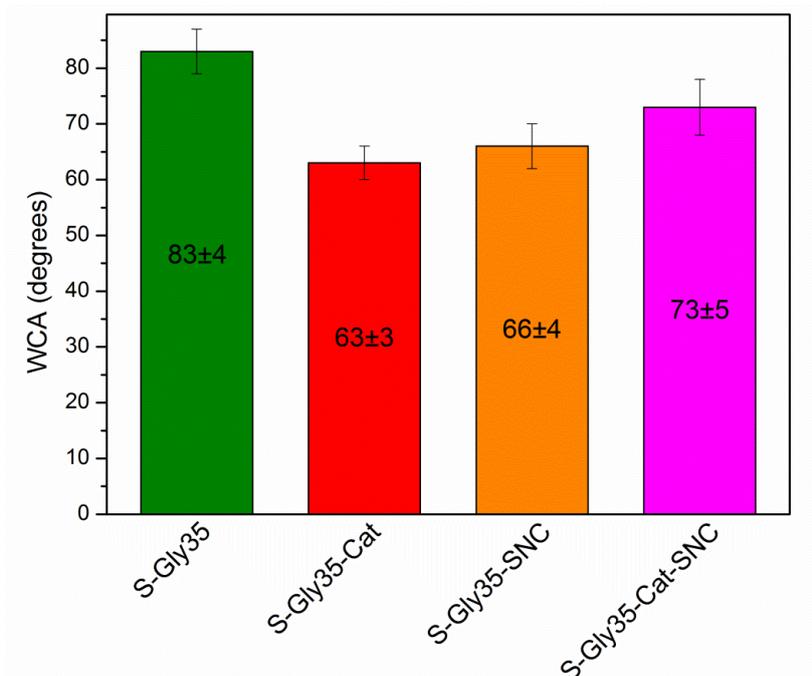


Figure 5. Wettability of all the starch-based films.

The decrease in WCA of the filled films is related with the high amount of –OH groups of catechin and SNCs whit surface orientation, which interact with the water at the material surfaces leading to more hydrophilic surfaces (M. Arrieta, López, López, Kenny, & Peponi, 2016). On the contrary, when both particles were incorporated to the starch matrix, the WCA increased compared to the other filled films, but it still decreases compared to its neat counterpart. The higher WCA observed in S-Gly35-Cat-SNC with respect to the other filled films could be related with the fact that some –OH groups of catechin and SNCs are interacting between them and thus are less available to interact with the water molecules at the material surfaces, thus confirming our previous results. However, it can be concluded that the obtained starch-based films, present the ideal moderate wettability needed for cells adhesion and that they might be use as potential shape-memory materials for biomedical applications.

#### 4. Conclusions

In this work, the humidity-activated shape memory behaviour of starch-based film reinforced with the innovative combination of SNCs and the antioxidant catechin were studied. FE-SEM showed well dispersed SNCs while DSC analysis under oxidative conditions revealed that catechin protect the starch-based matrix against thermo-oxidation. The addition of both particles to the polymeric matrix produced materials with more hydrophilic surfaces due to the surface orientation of -OH groups. Meanwhile, the positive interaction between Cat and SNCs into the S-Gly35-Cat-SNC starch-based film leads to a material with reduced moisture loss as well as reduced moisture absorption. The influence of catechin and SNCs addition on their thermal relaxation was studied by DMA and it showed that the combination of both particles probably weakness the interchain interactions between starch and catechin leading to an increase of molecular mobility of amylopectin chains. The plasticizing effect of moisture on starchy materials was the mechanism involved in the humidity-activated shape memory behavior, depressing the glass transition of starch below room temperature. Therefore, actuation of this starch-based material can be achieved at room temperature only using humidity. The obtained  $R_f$  and  $R_r$  values reflect the excellent ability to both, fix and recover the temporary and original shape respectively, even for the neat film. The presence of both fillers, SNCs and Cat, produced a significant increase on the shape recovery ratio values allowing a better recuperation of the original shape.

The combination of plasticized starch matrix loaded with both, SNCs and Cat particles, leads to a multifunctional starch-based film with increased hydrophilicity and with humidity-activated shape memory behavior with interest for potential biomedical applications.

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