

Real Time Monitoring of Railway Traffic Using Fiber Bragg Grating Sensors

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Abstract—In this work we present field tests concerning the application of Fiber Bragg Grating (FBG) sensors for the monitoring of railway traffic. The test campaigns are performed on the Spanish high speed line Madrid–Barcelona, with different types of trains (S-102 TALGO–BOMBARDIER, S-103 SIEMENS-VELARO and S-120 CAF). We located the FBG sensors in the rail track at 70 km from Madrid in the country side, where the trains primarily are tested during commercial operation with maximum speeds between 250–300 km/h. The FBG sensor interrogation system used allows the simultaneous monitoring of four FBG sensors at 8000 samples/s. The different position of the FBG sensors in relation with the rail can be used for different purposes such as train identification, axle counting, speed and acceleration detection, wheel imperfections monitoring and dynamic load calculation.

Index Terms— Optical fiber, Bragg gratings, structural monitoring, high speed train, weight in motion, dynamic load, comfort, plane, out-of-roundness, wear, rail.

I. INTRODUCTION

OVER the last few decades, rail transport has become one of the most effective means of transporting passengers and goods. According to recent statistics, the number of passengers will be doubled within 10 years while the volume of goods transported by railway will be tripled [1]. Thus, it is expected that the axle load will strongly increase in the next years, and the trains will operate at faster speeds. This fact puts major pressure on the infrastructures and therefore innovative maintaining and inspection techniques are required.

Conventional monitoring systems in railway infrastructures

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use strain gauge sensors to detect train dynamic load, train speed, axle load and wheel flats. The working principle of the strain gauge sensor is based in a variation of resistance caused by the strain transmitted to the rail during the train passage. While this sensing technology is well-known and consolidated, it is also inefficient for railway systems since it can be adversely affected by electromagnetic interferences [2].

Optical fiber sensors have gained increased acceptance in the civil engineering domain and now their use is widespread for structural health monitoring. Other application domains include aerospace, marine, oil & gas, and smart structures. A particularly new and interesting area of application of optical fiber sensors is railways. In opposition to conventional strain gauges, fiber Bragg grating (FBG) sensors assure immunity to electromagnetic fields and simple multiplexing, allowing the use of tens of sensors in a single fiber cable. Additionally, the possibility of remote operation is key in the railway environment. Previous works using FBG sensors for railway applications have been reported [3–5]. These works showed the possibility to assess the health of the rail and wheels using FBGs. It was also shown that FBG-based sensing systems could be used as axle counters and imbalance detectors. In [6] and [7] the FBGs were used to assess railway bridges. In this work we report on some tests using FBGs for dynamic load measurements in high-speed conditions (>200 km/h). These tests are carried out in the Madrid-Barcelona high-speed railway line, with trains running at commercial operation speeds.

II. HIGH SPEED LINE MADRID–BARCELONA: DESCRIPTION OF THE INSTRUMENTED SECTOR

A set of FBG sensors were placed in different positions in relation to the rail, with the purpose of testing the deformation on the rail caused by the trains in realistic conditions and high speed operation. The final aim is to improve the current strategies of maintenance and increase safety level of the high-speed railway network. To install the sensors, a straight sector was selected near the Brihuega High Speed maintenance base, around the KP 69+500 of the Madrid–Barcelona High Speed Line, comprising the transition between an embankment and a trench. The section selected for the tests has a technical building to support the testing campaigns.

There are two tracks, both selected for instrumentation. These tracks are considered as representative of the high-speed

line because they are in good conditions and do not require usual maintenance works. The subgrade at this site is a geological formation designated as "Paramo limestone".

The traffic conditions allow recording approximately 27 train passages every day on track I, and another 27 on track II. The speeds of these trains in the measurement section are in the interval ranging between 200 and 300 km/h. The trains were primarily tested during commercial operation. The different trains tested in the experimental campaigns are described in Table I. These three train types due to their characteristics, present different values of the measurable parameters (weight per axle, number of axle, length and distance between axles).

In relation to the high speed line superstructure components, the construction parameters applied have been very demanding so as to allow the development of maximum speeds of 350 kilometers per hour in commercial service (currently limited to 300 km/h) and to guarantee the interoperability of this infrastructure in accordance with European legislation.

TABLE I
MAIN PROPERTIES OF THE TESTED TRAINS

TRAIN	S-102	S-103	S-120
Contractor	Talgo-Bombardier	Siemens-Velaro	CAF
Max speed (km/h)	330	350	250
Gauge	UIC	UIC	UIC/Iberian
Total length (m)	200.38	200.32	107.36
Total mass (t)	322	425	247
Number of axles	21	32	16
Mean mass in axle (t)	17	15	16
Distance first-last axle (m)	195.795	196.81	102.149
Axles distance in wagons (m)	13.14	14.875	16.2
Number of wagons	14	8	4

The tracks are laid on ballast, with a minimum thickness of 35 cm. The sleepers are of single block AI-99 type, prefabricated and made of pre-stressed concrete with the following characteristics: weight of 320 kg, length of 2600

mm, maximum base width of 300mm, and minimum height of 242 mm. The distance between sleeper axes is 0.60 m.

The rail used is the 60E1 type (UIC 60) with a hardness 2607300 HBW and tensile strength larger than 880 N/mm².

The stiffness of the track must be limited in order to reduce the vertical dynamic forces between wheels and rails. This is achieved by the use of rail pads under the rail. With this aim, the pads used in this line have 7 mm thickness and a static vertical stiffness of 100 kN/mm.

III. FIBER BRAGG GRATING SENSORS AND INTERROGATION UNIT

FBGs are very small, short-length single-mode fiber devices that display a periodic refractive-index variation in its core [7], [9]. When a broadband light transmits through the optical fiber, the FBG written in the core reflects back a wavelength (λ_B) depending on the Bragg condition $\lambda_B = 2n_e\Lambda$ where n_e is the effective refractive index of the core and Λ is the grating period. The shift in the reflected wavelength $\Delta\lambda_B$ of the Bragg grating sensor is approximately linear to any applied strain or temperature (within a certain measurement range). Therefore, the detection technique used by the monitoring system is to identify this wavelength shift as a function of strain or temperature.

The FBG sensors used for this application are 7 mm long with a reflectivity of more than 75% and bandwidths of 0.2 nm. Previous laboratory experiments proved that the FBGs used show a strain sensitivity of 1.2 pm/ $\mu\epsilon$ (maximum strain admitted $\pm 2000 \mu\epsilon$) and a temperature sensitivity of 10 pm/ $^\circ\text{C}$. Another unusual characteristics of the FBGs used is that the reflection spectrum has a Gaussian peak profile with a side lobe suppression $>10\text{dB}$.

In this campaign we selected six different positions of the sensors with respect to the rail. A total number of 20 FBG sensors have been installed, 10 per track (see fig. 1 for details of the disposition). Three consecutive spaces between sleepers have been selected. In the first space we put four FBG sensors: one in the middle between the two sleepers and adapted to the rail foot - this FBG sensor (P1) is working in pure flexion; a pair of them are adapted to the rail web with an angle with respect to the rail neutral line of 45° - these two FBG sensors

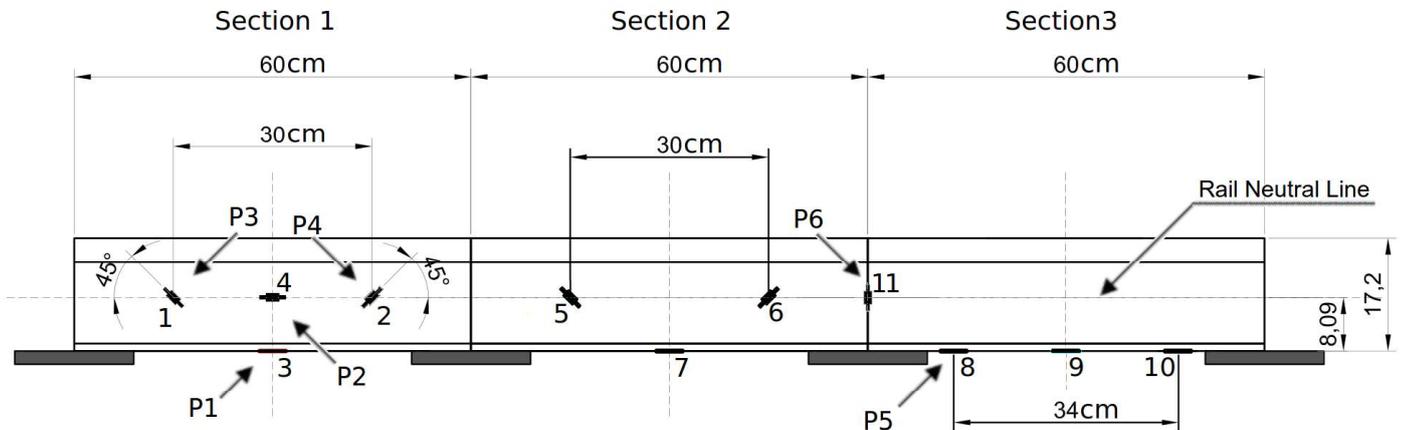


Fig. 1. Disposition of 10 FBG sensors along one of the rails.

(P3 and P4) are working in shear - and the last one (P2) is placed just on the rail neutral line in order to check temperature changes in the rail. In the second section between sleepers we use the same sensor structure without the temperature FBG and the third section has a vertical sensor just in the centre of the sleeper (P6) and three flexion sensors placed at different distances between the sleepers (among them, P5). The FBG sensors are directly pasted with an epoxy resin on the rail tracks. Prior to installation, the surface of the rail was polished to remove any oxide rests and ensuring that it was not get too irregular. The surface was cleaned with a tissue and alcohol. All the installation of the sensors was performed in the time period in which the current commercial service is stopped and when the usual maintenance operations allow it (from 1:00 to 4:00 AM). The typical temperature of the rail in this time period was between -5°C and $+10^{\circ}\text{C}$. The temperature and the roughness of the surface did not allow to use cyanocrilate or similar glues. As a good alternative, we used a fast-curing epoxy (5 minutes) withstanding 240 kg/cm^2 . 30 minutes after installation, the FBG sensors were covered and protected with silicone and power tape. The final result is displayed in Fig. 2. The installed FBG sensors have been running one year without losses in their performance even after heavy snows, extremely hot summer days and conventional operations of maintenance in the track.



Fig. 2. A section between sleepers covered and protected.

The interrogation unit used in the experimental campaigns is based on the BraggSCOPE technology [10, 11], which combines a high-power broadband optical source with a thin-film optical edge filter. The edge filter allows translating the wavelength variations into optical intensity variations, which can be easily recorded at high speed by means of a data acquisition card. Two edge filters (with positive and negative slopes) are used per Bragg wavelength, so as to ensure that the setup is self-referenced. The whole system allows a dynamic measurement of the Bragg wavelength at sampling frequencies beyond 1 kHz. These acquisition speeds are necessary in high-speed railway since the train covers the distance between

sleepers in less than 10 ms. By using add/drop wavelength-division multiplexing, it also allows the measurement of four sensors connected in series, operating within predefined wavelength bands. The central wavelengths of the bands used in our experiment are: 1541.49, 1547.86, 1554.28 and 1560.75 nm. The optical interrogation unit is connected to a PXI architecture system, so it is possible to increase the number of electrical and optical switching modules in the extra slots of the rack. For the electrical acquisition, NI-PXI6040 data acquisition cards are used. A special software application running under LabView (National Instruments) was developed specifically for controlling the source and detector system and for acquiring and saving the data from each sensor. This software allows reading and storing the data in wavelength variation of the four sensors up to a sampling frequency of 8000 samples/s in each of the four sensors. The data processing is made by a PC that records the train footprint traces and converts them to relative deformation units ($\mu\epsilon$). The PC and the interrogation unit were placed in the technical building around 40 m away from the tracks. In opposition to conventional electrical sensors, the virtually transparent behavior of optical fibers allows to have the interrogation unit very far from the measured points without any degradation on the measurement performance.

The FBG sensors were connected by optical cables to an optical fiber backbone that runs below tracks to the auxiliary office. In this office a PC and the demodulation unit read the sensors, store the data and display the train footprints. The passage of the trains displayed and stored with this PC can also be observed with any other computer in real time through conventional wireless communication technology.

In order to trigger the measurements we do not use any extra electrical or optical signal. Our system permanently takes measurements and the storage is activated whenever a train event is detected. Only the traces which present train passing are stored. This way we can avoid the installation of additional presence sensors and solve the problem of synchronization.

IV. RESULT AND DISCUSSION

Samples of the traces detected by the sensors in positions P1, P3, P4, P5 and P6 are displayed in Fig. 3 (Bragg wavelength shift and equivalent strain versus time). The trace recorded with P2 has no relevant mechanical response under the train passage (as expected, since it is placed in the neutral line of the rail). This is a good choice then to detect the ambient temperature changes. These changes are nevertheless significant in time scales much longer than the train passage, so the information given by this sensor is not strictly necessary for the adequate determination of strain in the rail. Fig. 4 shows temperature changes recorded by these sensors throughout the first hours of the morning of a sunny day of March.

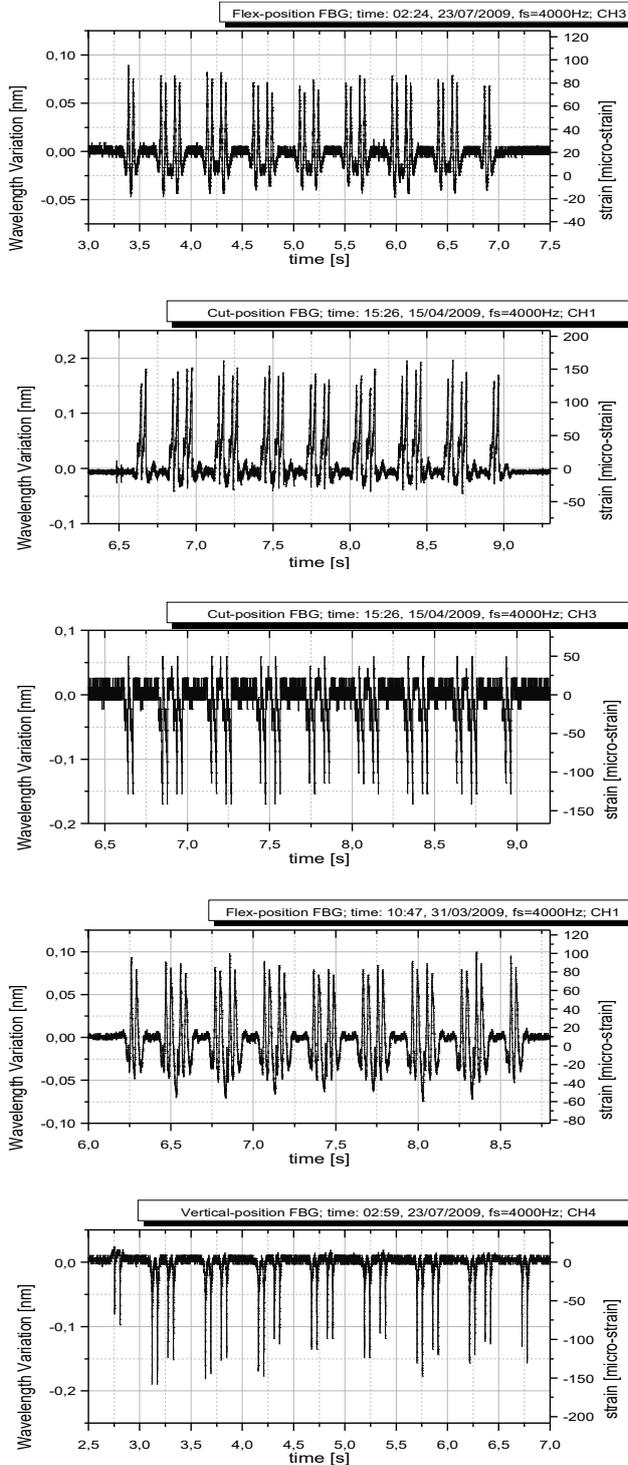


Fig. 3 Traces (time versus wavelength variation and time versus strain) obtained from sensors P1, P3, P4, P5 and P6 for a S103 train circulating with velocity between 250 and 300 km/h.

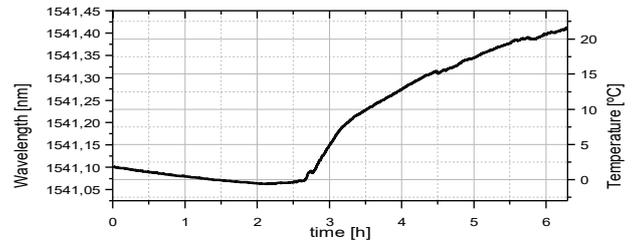


Fig. 4. Temperature variation of the rail between 5:00 and 11:00 AM in a sunny morning of March.

Each individual wheel passing through the FBG sensor is clearly identifiable. The minimum induced strain due to a wheel passing on the track is more than 140 pm - peak to peak - and the noise in the determination of the Bragg wavelength is less than 10 pm, giving a SNR better than 12 dB (1.2 pm means $1 \mu\epsilon$).

These FBG sensor traces show a high immunity to electromagnetic fields. No noise coming from the catenary can be seen, in comparison with the one appearing in conventional strain gauges in Fig. 5.

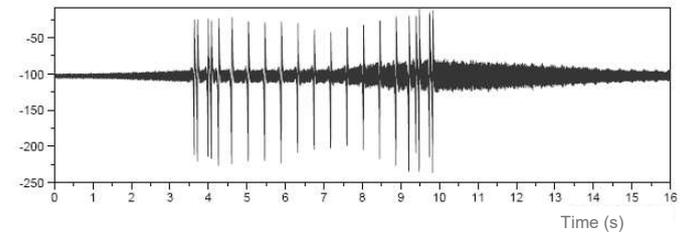


Fig. 5. Strain gauge sensors trace obtained at train passage. The noise close to horizontal line is due to electromagnetic interferences.

The analysis of these traces can lead to very interesting applications in the railway domain, and in particular for high-speed systems. In the following we identify and validate several of them.

A. Axle counter and train type identification

Axle counting is an elementary security measure in railway control. As a train passes, the number of axles is counted by means of a magnetic sensor. Two of such counting units determine when the train is on a section or not. In high-speed trains, magnetic brakes are used in the train vehicles. These are composed of large metal pieces mounted on the bogie of the vehicle, just a few centimeters above the track. Conventional axle counters can sometimes detect these as another axle, leading to false alarms. A way to avoid these false alarms would be to use optical axle counters.

Axle counting using the FBG sensing system shown above is obtained by counting the number of successive “rapid” shifts in the wavelength of the optical signal reflected by the FBG. By comparing the number of axles counted and the characteristics of the trains (Table I shows the principal differences between trains), we can also use this system to identify the type of train circulating in the network. We have employed the FBG sensing system as a regular axle counter and have never observed any miscount so far (operation over

more than one year without faults).

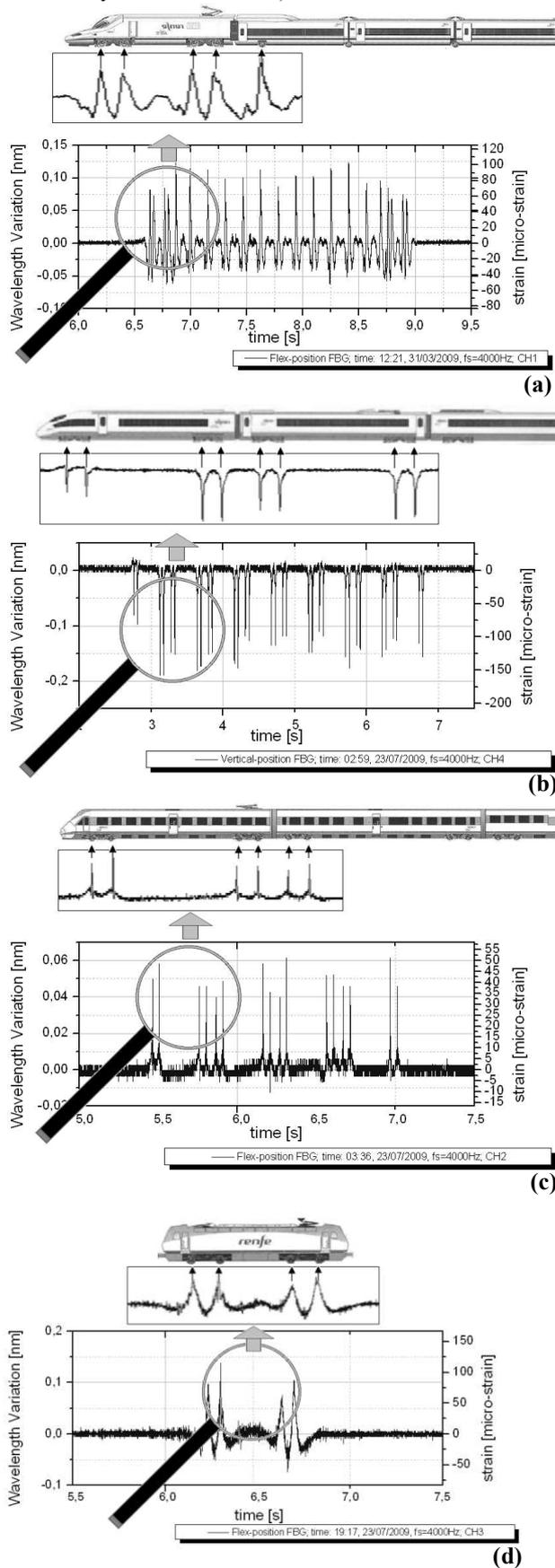


Fig. 6. Axle counting and train type identification.

Fig. 6 shows some examples of axle counting and train type identification using different sensors and different trains. In (a), we identified a S102 train (21 axles, 4 axles in the tractor head, 13 axles in 12 wagons and 4 axles in the back tractor) using sensor P1. In (b) we use the sensor P4 to identify a train type S103 (this train has distributed traction in 32 axles, 4 axles in each wagon up to a total of 8 wagons). In (c) we use a sensor in position P5 that identifies a S120 train (the traction is distributed in 16 axles, four axles per wagon). Finally in (d) we use a sensor in position P1 that identifies a maintenance locomotive running at 70 km/h.

B. Train speed and acceleration.

After having identified the train and given that the distances between the wheels are known for each specific kind of train, the train speed can be simply computed by using the trace obtained with just one FBG sensor, independently of its position in the rail. The average speed of the trains is calculated from the total distance between the first and last axle divided by the time spent between both detections. The instantaneous speed is obtained similarly using the first and the last axle but in the same wagons, so comparing the first car speed and last car speed we calculate the acceleration. Table II shows some of the results obtained. The uncertainty has been calculated using the time resolution of the acquisition system, the calibration uncertainty and mechanical tolerances [12].

An interesting result can be seen in Table II for the S-102 train. The average speed recorded is lower than the speed measured for the first and last wagon. This is because the train enters the instrumented sector decelerating but leaves the sector accelerating (the train is rather long, 200 meters as shown in table 1). Thus the average speed in the sector is smaller than the speeds recorded for the first and last wagon when they enter and leave the instrumented sector, respectively. A detailed inspection of the speeds of all the wagons passing over the instrumented sector confirms this behavior. Concerning the acceleration, in this case it should be interpreted as a “net” acceleration (the net acceleration of the train from the time in which it enters the instrumented sector and the time in which it leaves it).

TABLE II
TYPICAL VALUES OF THE AVERAGE AND INSTANTANEOUS SPEED OF THE DIFFERENT TRAINS AND THEIR UNCERTAINTY

Train type	S103	S102	S120
Average speed (km/h)	300.596	205.607	206.121
Uncertainty (%)	0.007%	0.007%	0.012%
Speed of first wagon (km/h)	300.705	208.662	204.771
Speed last wagon (km/h)	300.706	207.774	206.784
Uncertainty (%)	0.10%	0.09%	0.07%
Sign of acceleration	0	-	+

C. Dynamic load monitoring.

The dynamic load is an important parameter for railway infrastructure managers because excessive load in the vehicles cause degradation both in the vehicles and in the infrastructure.

We have studied the dynamic load of a train passing at regular speed (between 200 and 300 km/h). In order to monitor dynamic load we use the sensors in P3 and P4 positions. These two sensors are located in the rail web with an angle of 45° with respect to the neutral line of the rail, and therefore they are intended to measure shear strain. The wavelength shift measured with these sensors is converted to strain (the conversion constant for our FBG sensors is 1.2 pm/με). With the shear strain measured from these two sensors, one can derive the dynamic load using mechanical laws.

The dynamic load (Q) is calculated with the well known equation of the elasticity theory (see [13] and [14], for instance) using the difference of the two strain traces (P3 and P4) expressed in με through the equation 1:

$$Q_{xz} = \frac{2 \varepsilon_{xz} G b_G I_y}{S_y} \quad (1)$$

where Q_{xz} is the vertical load in the centre of the rail section between sleepers, ε_{xz} is the differential strain measured by subtracting the strain traces of P3 and P4, G is the tangential elasticity module, b_G is the width of the section in the rail neutral line, I_y the inertial momentum of the section and S_y is the static momentum of the lower part of the rail. Using the values of the UIC 60 rail we obtain:

$$\frac{G b_G I_y}{S_y} = 0.17344 \quad (2)$$

and so the load for each wheel is obtained as:

$$Q_{xz} = 0,34688 \varepsilon_{xz} \text{ (KN)} \quad (3)$$

Fig. 7 (a and b) shows the traces obtained with P3 and P4 for a S-103 train running in track I. In Fig. 7 (c) the calculated dynamic load for each wheel is depicted. In the case of Fig. 7, the dynamic load of each wheel oscillates between 7 and 9 tons, a little higher than the nominal static load defined for this train (mean mass per axle is 15 t in the S-103). This is in good agreement with the expected values.

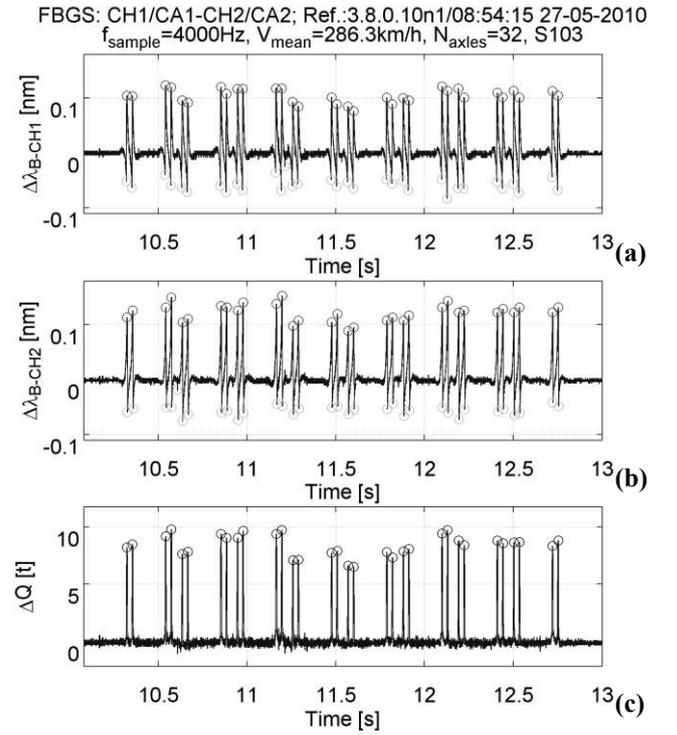


Fig. 7. Dynamic load for a S-103 train running in track I. (a & b) are the traces obtained from the shears sensors (positions P3 and P4) and (c) is the calculated dynamic load.

Fig. 8 shows the same traces for the S-120 train obtained in track II. In this case the dynamic load of each wheel oscillates between 6 and 9 t, with a mean value near or even below the static mass expected (mean mass per axle is 16 ton in S-120). The difference found between the dynamic loads measured and the static mass per axle of the train is under study. These differences could be explained by different adherence of the epoxy, or imbalance between axles. The differences in the calibration factor due to the adherence of the epoxy could be eliminated by calibration of the track sensors with a known train.

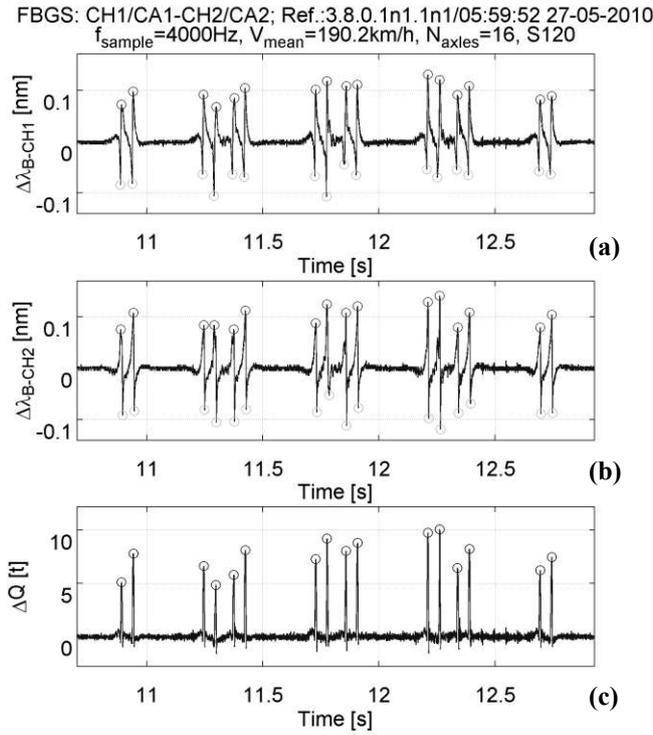


Fig. 8. Dynamic load for a S-120 train running in track II. (a & b) are the traces of the shears sensors (positions P3 and P4) and (c) is the calculated dynamic load.

D. Wheel imperfection detecting

In high-speed railways, wear in the train wheels due to strong braking is frequent. The most evident consequence of this wear is the appearance of abnormal abrasions in the wheel thread called “flats”. Flat spots in the wheel cause strong impacts in the normally smooth power flow from the wheels to the rails. For this reason, wheel flats are a major source of problems in railway systems since they cause strong degradation both in the vehicles and in the infrastructure. Furthermore, they bring noise and discomfort to passengers and they may even cause derailments in extreme cases. The strongest and clearly visible defect in a wheel is the “plane”, a specific point of a wheel without roundness. The energy generated by a plane is strong and periodical and can be simply identified in the dynamic load trace. The repetition frequency is related to the train speed and the diameter of the wheel through the equation:

$$f_p [\text{Hz}] = \frac{v_{\text{train}} [\text{m/s}]}{\pi D [\text{m}]} \quad (4)$$

These periodical impacts are visible when the defective wheel is near the sensor but if the defect is very evident, they can also be visible relatively far from the defective wheel (through the vibrations induced in the rail). An example of plane wheel is visible in Fig. 9 (a) near $t=12.5\text{s}$ and in detail in Fig. 9 (b). The wheel with plane is probably in $t=12.4\text{s}$.

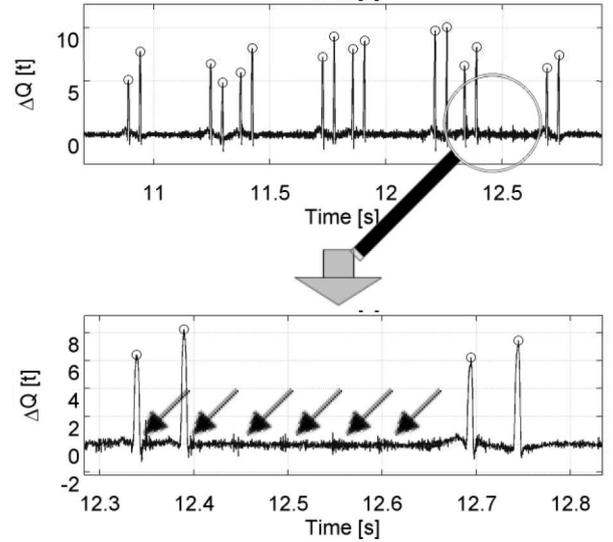


Fig. 9. Trace of a S-120 with flat wheel effects and detail.

V. CONCLUSION

In conclusion, we have shown that fiber optic sensing technology is adequate for railway security monitoring systems. In this particular paper, we have presented a preliminary study on the use of FBG sensors for monitoring railway footprints of high speed trains (passing at speeds between 200 and 300 km/h, in the high speed line Madrid-Barcelona). The results illustrate that there is a consistence between the signals recorded and the wheel-rail interactions under the same operational conditions.

The health of the rail, like of the wheel, can influence the deformation of the rail following the passage of the train and therefore they can both be diagnosed by analyzing and comparing the strain information measured by the FBG sensors along the time. We have demonstrated the possibility to use these sensors placed in different positions in the rail and track to know: temperature of rail, train speed and acceleration, axle counter and train type identification, dynamic load estimation and wheel defects. Further studies must be done in order to improve the dynamic load estimation results and to refine the thresholds necessary to classify a wheel as “defective”.

The experience obtained from this study also highlights the potential difficulties in realizing this remote-sensing setup in practice. This is undoubtedly very useful for defining further works and seeking for improvements on both effectiveness and reliability of defect detection.

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